

Preprints are preliminary reports that have not undergone peer review. They should not be considered conclusive, used to inform clinical practice, or referenced by the media as validated information.

L5-S1 Foraminal Stenosis Degeneration after L4-5 Lumbar Spinal Stenosis for TLIF: Impact of Preoperative Spinal Muscle Quality

Minghang Chen

The First Affiliated Hospital of Wenzhou Medical University

Peng Zhang

The First Affiliated Hospital of Wenzhou Medical University

Jiaxin Lai

The First Affiliated Hospital of Wenzhou Medical University

Sheng Li

The First Affiliated Hospital of Wenzhou Medical University

Weijie Yu

The First Affiliated Hospital of Wenzhou Medical University

Shikang Fan

The First Affiliated Hospital of Wenzhou Medical University

Xin Yan

The First Affiliated Hospital of Wenzhou Medical University

Yaozhi He

The First Affiliated Hospital of Wenzhou Medical University

Honglin Teng (**■** 907173102@qq.com)

The First Affiliated Hospital of Wenzhou Medical University

Research Article

Keywords: lumbar foraminal stenosis, lumbar fusion surgery, muscle fatty infiltration, muscle cross-section area, adjacent segment degeneration, TLIF, erector spinae, multifidus

Posted Date: March 24th, 2023

DOI: https://doi.org/10.21203/rs.3.rs-2716407/v1

License:
() This work is licensed under a Creative Commons Attribution 4.0 International License. Read Full License

Abstract

Study design: Retrospective cohort analysis.

Objective: Our study aimed to investigate the effect of preoperative lumbar muscle quality (including muscle cross-sectional area (CSA) and muscle fatty infiltration rate (FIR) on L5-S1 foraminal stenosis degeneration after L4-5 TLIF.

Summary of Background Data: Adjacent segment degeneration (ASD) was a major spinal fusion complication. The paraspinal muscle had been proven to be an essential factor influencing the happening of ASD. However, few studies had investigated the association between paraspinal muscle and adjacent segment foraminal stenosis degeneration (ASD-FS).

Methods: One hundred-thirteen patients diagnosed with lumbar spinal stenosis at L4-5 were involved. Paraspinal muscle measurements were obtained preoperatively and bilaterally from axial T2-weighted MR images. The parameters included the, psoas cross-sectional area (p-CSA), erector spinae cross-sectional area (es-CSA), multifidus cross-sectional area (m-CSA), psoas fatty infiltration rate (p-FIR), erector spinae fatty infiltration rate (es-FIR), and multifidus fatty infiltration rate(m-FIR). The foraminal parameters were obtained in the Computed Tomography system bilaterally, including posterior disc height (PDH), disc-to-facet distance (D-F), foraminal height (FH), and foraminal area (FA). The association between muscle quality and ASD-FS had also been studied.

Results: At the last follow-up, the DF, FH, and FA were significantly decreased compared to pre-operation, and the decrease in FA was significantly positively related to es-FIR and m-FIR.

Conclusion: FIR for lumbar muscles preoperative was a predictor for L5-S1 ASD-FS after TLIF surgery, and patients who had higher es-FIR and higher m-FIR were more inclined to develop L5-S1 ASD-FS.

Background:

Lumbar spinal stenosis (LSS) was a common degenerative spinal disease in elderly individuals. After a detailed report of TLIF surgery by Harms et al¹ in 1998, TLIF surgery had become the major surgical treatment for LSS. Cole C D and McCall T D¹ reported that compared with PLIF, TLIF was more minimally invasive, had less structural exposure, and minimized lamina, facet, and pars dissection. Adjacent segment degeneration (ASD) was a major concern following fusion surgery. However, few studies had discussed adjacent segment degeneration of foramen.^{3–6}

The pathology of lumbar foraminal stenosis was first reported in 1927.⁷ It might cause be caused by posterolateral osteophytes, herniated discs, laterally bulging annulus fibrosus, subluxation of the facet, and hypertrophic ligamentum.⁸ The concept of foraminal stenosis was defined as a lateral spinal stenosis. ⁷ The reconstructed sagittal images provided better visualization of the foramen. The foramen of L5-S1, because of its anatomical and functional features and the lumbosacral junction were more susceptible to significant loading from the trunk and tended to have a higher incidence of stenosis degeneration.⁸

The paraspinal muscles played an important role in the stability of the entire spine and the effectiveness of spine surgery. Muscle quality was evaluated using the muscle cross-sectional area (CSA) and fatty infiltration rate (FIR).

Previous studies had reported that the group with lower CSA and higher muscle FIR were more likely to have LBP, ASD, facet joint arthropathy, and spinal misalignment.^{9–14}

To our knowledge, the correlation between spinal muscle quality and ASD-FS had not been investigated, and the purpose of our study was to investigate the effect of pre-operative spinal muscle CSA and FIR in the region of interest on foraminal stenosis degeneration of L5 -S1 after L4-L5 TLIF.

	Fallenits Characteris					
	LTA	RTA				
	Mean ± SD	Mean ± SD	p-value			
sex	0.00	0.00	0.496			
year	62.08 ± 0.09	62.93 ± 8.27	0.609			
BMI	24.54 ± 2.50	23.43 ± 3.20	0.831			
VAS	5.85 ± 1.69	5.67 ± 1.44	0.544			
ODI	32.44 ± 5.13	32.22 ± 4.99	0.819			
LTA: left transforaminal approach; RTA: right transforaminal approach.						
p < 0.05 is marked by *, and p < 0.01 is marked by**.						

Patients And Methods:

Participants

Between January 2018 and October 2021, 113 patients (54 males and 59 females) were hospitalized in When Zhou Medical University Affiliated First Hospital was included in this study. Based on the different transforaminal decompression approaches, we divided patients into the left transforaminal approach (LTA, men 30, women 29) and right transforaminal approach (RTA, men 24, women 30). All included patients had preoperative computed tomography scans and magnetic resonance imaging.

The study protocol was approved by the institutional review board of Wenzhou Medical University. The procedures were conducted in accordance with the ethical standards of the Declaration of Helsinki and approved by the local ethics committee. All participants provided informed consent to participate in this study. Participants' personal information was anonymized and deidentified before analysis.

Inclusion and Exclusion Criteria

All participants met the following inclusion criteria:(1) failure of conservative treatment after a minimum of 3 months, (2) age 40 years or above, (3) single-level TLIF surgery at the L4–L5, and (4) a follow-up period of 1 year. The exclusion criteria were: (1) surgery was performed by someone other than the corresponding author, (2) follow-up < 1 year, (3) any patient BMI \geq 30kg/m², (4) age < 40 years, (5) multilevel fusion surgery, (6) abnormal muscle activity or ambulation due to parkinsonism or neuromuscular disease, and (7) Lumbar spondylolisthesis,

lumbar isthmic spondylolysis, spine scoliosis, lumbosacral transitional vertebrae and lumbar intervertebral instability in L5-S1(dynamic segment angle change > 5°).

Surgical Technique

All the patients were placed in the prone position. The segments were located using C-arm X-rays radiography before surgery. Lateral and anteroposterior images were obtained before the operation to determine the position of the pedicle of the surgical segment. We used a posterior median incision and then separated the natural cleavage plane between the multifidus and longissimus muscles to expose the bilateral facet joints. After identification of the traversing and exiting nerve roots, an aggressive full discectomy was performed in Kambin's triangle¹⁸. An appropriate height cage (Medtronic Sofamor Danek, Memphis, USA) filled with bone obtained from laminectomy, bone morphogenetic protein(rhBMP-2,4mg, from Hangzhou Jiu yuan, China) was inserted into the intervertebral space, and pedicle screws and rob system were implanted. Artificial bone or ilium was not used in any patients. Patents were asked to be in bed as much as possible for 1 month.

Clinical Measurements

Through the picture archiving and communication systems (PACS), of our hospital, the relevant imaging examination data of patients who met the above-mentioned inclusion conditions were measured.

Foraminal Parameters measurement

A 64-row multidetector computed tomography system (version 3.0; INFINITT Healthcare Co., Ltd., Seoul, South Korea, slice < 5 mm), was used in all patients preoperatively, 1-month post-operation, 6 months post-operation, and 12 months post-operation in our clinical follow-up.

The anatomical boundaries of the foramen were composed of: the adjacent superior-inferior vertebral pedicles, posteroinferior margin of the superior vertebral body, intervertebral disc, posterosuperior margin of the inferior vertebral body, and ligamentum flavum and facets joint as the posterior boundaries (Fig. 1a). We selected the bilateral L5/S1 nerve root entrances to the foramen, which appeared as the area between the medial edges of the superior and inferior pedicle cortical bone connection in the sagittal plane. The foraminal parameters included:

Posterior disc height (PDH, disc-to-facet distance (D-F), foraminal height (FH), and foraminal area (FA) (Fig. 1b).

Change in foraminal parameters ($\Delta f p$ %) was defined as the absolute value of the rate: the foraminal parameters minus the foraminal parameters ahead and, then divided by the foraminal parameters ahead. The changes in foraminal parameters were expressed using the following formula:

Paraspinal Muscle Measurements

We measured spinal muscle CSA and FIR to quantitatively evaluate muscle quality including the psoas, erector spinae, and multifidus on a 1.5-T MRI superconducting imaging system (Siemens, Avanto, Germany). Measurements of muscle CSA and FIR were observed by Image J software using the thresholding technique in T2-weighted axial images (Fig. 2,3). And we excluded the 'tent', which was defined as the region between the fascial plane and erector spinae^{19,20}. All measurements were performed bilaterally at the level of L4-5. A region of

interest (ROI) was used. Including: psoas cross-sectional area (p-CSA), erector spinae cross-sectional area (es-CSA), multifidus cross-sectional area (m-CSA), psoas fatty infiltration rate (p-FIR), erector spinae fatty infiltration rate (es-FIR), and multifidus fatty infiltration rate (m-FIR).

CSA was defined as the entire area of the region of interest. Similarly, using the thresholding technique, we obtained the area of fatty tissue in the muscle, which not only included the intermuscular but also the fatty tissue inside the muscles.

The muscle fatty infiltration rate (FIR) was expressed as the following formula:

$$Muscle FIR (\%) = \frac{The Area of Fatty Tissue in Lumbar Muslce}{CSA for Lumbar Muslce}$$

Table 2 Foraminal Parameters in Pre-operation, 1-month Post-operation, 6 Months Post-operation, and 12 Months Postoperation in Groups.

		Т0		T1	·	T2		Т3		
groups		Mean ± S	Mean ± SD		Mean ± SD		Mean ± SD		Mean ± SD	
		Left side	Right side	Left side	Right side	Left side	Right side	Left side	Right side	
LTA	PDH(mm)	3.56 ± 1.22	3.51 ± 1.30	3.88 ± 1.40	3.63 ± 1.30	3.69 ± 1.27	3.51 ± 1.22	3.52 ± 1.25	3.34 ± 1.17	
	D-F(mm)	5.60 ± 2.11	5.42 ± 1.60	5.47 ± 1.73	5.16 ± 1.49	5.27 ± 1.63	4.94 ± 1.39	4.91 ± 1.55	4.74 ± 1.37	
	FH (mm)	21.05 ± 2.61	20.75 ± 2.63	20.80 ± 2.48	20.03 ± 2.62	20.02 ± 2.12	19.67 ± 2.38	19.13 ± 2.15*	19.05± 2.65	
	FA (mm ²)	66.03 ± 26.10	64.53 ± 23.06	59.35 ± 22.41	57.85 ± 18.97	55.41 ± 20.23	54.32 ± 17.00	51.85± 19.21*	50.74 ± 16.05	
RTA	PDH(mm)	3.09 ± 1.54	3.07 ± 1.57	3.54 ± 1.65	3.52 ± 1.61	3.33 ± 1.52	3.32 ± 1.52	3.14 ± 1.45	3.20 ± 1.48	
	D-F (mm)	5.22 ± 1.86	5.51 ± 1.66	5.01 ± 1.72	5.46 ± 1.63	4.73 ± 1.66	5.25 ± 1.55	4.53 ± 1.59	5.01 ± 1.56	
	FH (mm)	20.45 ± 2.88	20.25 ± 2.77	20.14 ± 2.67	20.09 ± 2.47	19.10 ± 2.41	19.49 ± 2.49	18.78 ± 2.40	18.49 ± 2.49	
	FA (mm ²)	59.59 ± 24.38	60.22 ± 20.29	56.70 ± 21.12	57.63 ± 18.53	53.36 ± 19.29	53.75± 15.83	49.39 ± 17.69	51.05 ± 15.50	

LTA: left transforaminal approach; RTA: right transforaminal approach;

T0: time of pre-operation; T1: time of 1-month post-operation; T2: time of 6 months post-operation; T3: time of 12 months post-operation.

PDH, posterior disc height; D-F, disc-to-facet distance; FH, foraminal height; FA, foraminal area.

Compared with outcomes in follow-up time point for ahead, p < 0.05 is marked by *, and p < 0.01 is marked by *

*.

Statistical Analysis

Statistical evaluation was performed using IBM SPSS Statistics 26 software (SPSS Inc., IBM Company Headquarters, Chicago, IL, USA). All values were expressed as mean standard deviation. Correlations between the paraspinal muscle and foraminal parameters were computed using Pearson correlation analysis. An independent sample t-test was performed to compare the differences in radiographic measurements. P-value < 0.05 is defined as statistical significance.

All parameters above were measured by an experienced orthopedics surgeon.

Foraminal parameter			Т0	Т3	
			Mean ± SD	Mean ± SD	P-value
LTA	PDH	Left side	3.56 ± 1.22	3.52 ± 1.25	0.851
		Right side	3.51 ± 1.30	3.34 ± 1.17	0.460
	D-F	Left side	5.60 ± 2.11	4.91 ± 1.55	0.043*
		Right side	5.42 ± 1.60	4.74 ± 1.37	0.014*
	FH	Left side	21.05 ± 2.61	19.13 ± 2.15	< 0.01**
		Right side	20.75 ± 2.63	19.05 ± 2.65	< 0.01**
	FA	Left side	66.03 ± 26.10	51.85 ± 19.21	< 0.01**
		Right side	64.53 ± 23.06	50.74 ± 16.05	< 0.01**
RTA	PDH	Left side	3.09 ± 1.54	3.14 ± 1.45	0.899
		Right side	3.07 ± 1.57	3.20 ± 1.48	0.788
	D-F	Left side	5.22 ± 1.86	4.53 ± 1.59	0.039*
		Right side	5.51 ± 1.66	5.01 ± 1.56	0.109
	FH	Left side	20.45 ± 2.88	18.78 ± 2.40	< 0.01**
		Right side	20.25 ± 2.77	18.49 ± 2.49	< 0.01**
	FA	Left side	59.59 ± 24.38	49.39 ± 17.69	0.014*
		Right side	60.22 ± 20.29	51.05 ± 15.50	0.010*
				l e na na e e la c	

Table 3

LTA: left transforaminal approach; RTA: right transforaminal approach;

T0: time of pre-operation; T3: time of 12 months post-operation;

PDH, posterior disc height; D-F, disc-to-facet distance; FH, foraminal height; FA, foraminal area.

p < 0.05 is marked by *, and p < 0.01 is marked by **.

Table 4
Statistic Difference for 1-month Post-operation and 12 Months Post-operation in Foraminal
Parameters.

Foramir	nal parame	ter	T1	Т3				
			Mean ± SD	Mean ± SD	P-value			
LTA	PDH	Left side	3.88 ± 1.40	3.52 ± 1.25	0.139			
		Right side	3.63 ± 1.30	3.34±1.17	0.211			
	D-F	Left side	5.47 ± 1.73	4.91 ± 1.55	0.063			
		Right side	5.16 ± 1.49	4.74 ± 1.37	0.112			
	FH	Left side	20.80 ± 2.48	19.13 ± 2.15	< 0.01**			
		Right side	20.03 ± 2.62	19.05 ± 2.65	0.045*			
	FA	Left side	59.35 ± 22.41	51.85 ± 19.21	0.050*			
		Right side	57.85 ± 18.97	50.74 ± 16.05	0.030*			
RTA	PDH	Left side	3.54 ± 1.65	3.14 ± 1.45	0.181			
		Right side	3.52 ± 1.61	3.20 ± 1.48	0.273			
	D-F	Left side	5.01 ± 1.72	4.53 ± 1.59	0132			
		Right side	5.46 ± 1.63	5.01 ± 1.56	0.142			
	FH	Left side	20.14 ± 2.67	18.78 ± 2.40	< 0.01**			
		Right side	20.09 ± 2.47	18.49 ± 2.49	< 0.01**			
	FA	Left side	56.70 ± 21.12	49.39 ± 17.69	0.050*			
		Right side	57.63 ± 18.53	51.05 ± 15.50	0.048*			
LTA: lef	LTA: left transforaminal approach; RTA: right transforaminal approach;							
T1: time of 1-month post-operation; T3: time of 12 months post-operation;								
PDH, posterior disc height; D-F, disc-to-facet distance; FH, foraminal height; FA, foraminal area.								
p < 0.05 is marked by *, and p < 0.01 is marked by **.								

Results:

Patients Characteristics

There were no significant differences found in patient demographics, including sex, age (average LTA: 62.08 ± 0.09 , RTA: 62.93 ± 8.27), BMI (average LTA: 24.54 ± 2.50 , RTA: 23.43 ± 3.20), ODI, VAS score preoperative in two groups(p > 0.05) (Table 1).

Foraminal Parameters and Correlations

We did not find any significant changes in the data at 1-month post-operation vs. pre-operation and at 6 months post-operation vs. 1-month post-operation (Table 2). In the comparison between 12 months post-operation and 6 months post-operation, we saw a statistical difference in FH in LTA-left (p = 0.025) and FA in LTA-left (p = 0.053), but there were no more data to show that the changes in FH and FA were significant (Table 2). However, in the comparison between pre-operation and 12 months post-operation, we found significant decrease in D-F (LTA-left: p = 0.043, LTA-right: p = 0.014; RTA-left: p = 0.039), FH(LTA-left: p < 0.01, LTA-right: p < 0.01; RTA-left: p < 0.01, LTA-right: p = 0.010)(Table 3). Moreover in the comparison between 1-month post-operation and 12 months post-operation, we found significant decrease in D-F (LTA-left: p < 0.01, LTA-right: p < 0.01, LTA-left: p < 0.01, LTA-right: p = 0.014; RTA-left: p < 0.01, LTA-right: p = 0.014, RTA-left: p = 0.010)(Table 3). Moreover in the comparison between 1-month post-operation and 12 months post-operation, we found a significant decrease in FH (LTA-left: p < 0.01, LTA-right: p = 0.045; RTA-left: p < 0.01, RTA-right: p < 0.01), and FA (LTA-left: p < 0.01, LTA-right: p = 0.045; RTA-left: p < 0.01, RTA-right: p < 0.01), and FA (LTA-left: p = 0.030; RTA-left: p = 0.045; RTA-left: p < 0.01, RTA-right: p < 0.01), and FA (LTA-left: p = 0.030; RTA-left: p = 0.045; RTA-left: p = 0.048) (Table 4).

According to the independent sample T-test outcomes, we analyzed the relationship between foraminal parameter changes in muscle quality in pre-operation vs. 1-month post-operation, 1-month post-operation vs. 12 months post-operation, and pre-operation vs. 12 months post-operation. Correlations for spinal muscle CSA were presented in Table 5, and correlations for spinal muscle FIR were presented in Table 6. "-" for a negative relationship.

For 1-month post-operation, when compared with pre-operation, the change in PDH was negatively correlated with **p-CSA** and **es-CSA**, the change in D-F was positively correlated with **es-FIR** and **m-FIR**, the change in FH was negatively correlated with **es-CSA**, positively correlated with **es-FIR** and **m-FIR**, the change in FA was negatively correlated with **es-CSA**, and positively correlated with **es-FIR** and **m-FIR**.

In the analysis of the correlation between muscle quality and foraminal parameter changes in pre-operation vs. 12 months post-operation, we found that, regardless of LTA or RTA, the change in FA was positively related to **es-FIR** and **m-FIR.** However, no full correlations were observed for PDH, D-F, and FH.

For1-month post-operation vs. 12 months post-operation, no matter the LTA or RTA, we observed that the change of PDH, D-F, FH, and FA were positively correlated with **es-FIR** and **m-FIR**.

			PDH (%)		D-F (%)		FH (%)		FA (%)	
			left	right	left	right	left	right	left	right
LTA	Т0	psoas	344**	306*	208	302*	190	210	226	363**
	vs. T1	erector spinae	262*	336**	229	324*	276*	332*	388**	269*
		multifidus	231	208	261*	140	224	159	210	186
	T1	psoas	309*	152	107	167	.006	238	212	103
	VS. T3	erector spinae	123	238	180	187	212	169	205	150
	10	multifidus	186	095	119	.049	049	207	215	115
	Т0	psoas	226	155	061	.062	052	007	231	285*
	vs. T3	erector spinae	292*	148	167	011	054	.136	310*	306*
		multifidus	030	.005	317*	.032	.006	079	291*	071
RTA	Т0	psoas	346*	403**	452**	150	188	338*	155	227*
	vs. T1	erector spinae	277*	293*	173	268*	461**	306*	166	223
		multifidus	442**	290*	188	145	202	094	169	057
	T1	psoas	236	342*	084	192	111	268*	138	119
	vs. T3	erector spinae	047	254	111	132	326*	216	152	147
		multifidus	009	236	198	147	.176	161	205	165
	Т0	psoas	217	329*	332*	240	043	293*	060	261
	vs. T3	erector spinae	321*	205	081	185	191	078	088	212
		multifidus	329*	233	213	164	146	314*	100	234

Table 5 Correlation (r) Between Change of Foraminal Parameters and Muscle CSA

CSA: cross-sectional area.

T0 vs. T1: pre-operation vs. 1-month post-operation; T1 vs. T3: 1-month post-operation vs.12 months post-operation; T0 vs. T3: pre-operation vs. 12 months post-operation;

PDH, posterior disc height; D-F, disc-to-facet distance; FH, foraminal height; FA, foraminal area;

p < 0.05 is marked by *, and p < 0.01 is marked by **.

			PDH (%))	D-F (%)		FH (%)		FA (%)	
			left	right	left	right	left	right	left	right
LTA	Т0	psoas	0.195	0.009	.186	.186	.206	.150	.150	.150
	VS.	erector spinae	.158	.127	.273*	.389**	.405**	.436**	.350**	.494**
	T1	multifidus	.054	.224	.260*	.357**	.279*	.380**	.431**	.461**
	T1	psoas	.183	081	.007	.219	017	.035	.215	.082
	VS.	erector spinae	.266*	.285*	.409**	.433**	.451**	.334**	.455**	.301*
	Т3	multifidus	.384**	.367*	.500**	.351**	.340*	.420**	.501**	.468**
	Т0	psoas	022	.059	.052	.083	.204	.013	.157	.211
	VS.	erector spinae	.069	.080	.249	.256*	.374**	117	.462**	.451**
	Т3	multifidus	001	.082	.170	.354**	.390*	.270*	.554**	.495**
RTA	Т0	psoas	009	042	.322*	.227	.059	.189	.221	.407**
	VS.	erector spinae	.129	019	.415**	.458**	.356**	.488**	.301*	.304*
	T1	multifidus	.046	133	.474**	.395**	.293*	.476**	.282*	.371*
	T1	psoas	.274*	.166	.193	.170	.118	.187	.019	.134
	VS.	erector spinae	.326*	.392*	.354**	.363*	.298*	.383**	.378**	.343*
	Т3	multifidus	.410**	.492**	.431**	.409**	.276*	.430**	.331*	.330*
	Т0	psoas	.127	036	.411**	.224	.148	.099	.060	.087
	VS.	erector spinae	021	052	.573**	.324*	.144	.415**	.447**	.452**
	Т3	multifidus	111	.269*	.516**	.472**	.088	.449**	.383**	.292*

Table 6 Correlation (r) Between Change of Foraminal Parameters and Muscle FIR

FIR: fatty infiltration rate;

T0 vs. T1: pre-operation vs. 1-month post-operation; T1 vs. T3: 1-month post-operation vs.12 months post-operation; T0 vs. T3: pre-operation vs. 12 months post-operation;

PDH, posterior disc height; D-F, disc-to-facet distance; FH, foraminal height; FA, foraminal area;

p < 0.05 is marked by *, and p < 0.01 is marked by **.

Discussion:

As mentioned before, ASD was common after lumbar fusion surgery, and stenosis of the adjacent segment foramen was also often observed. Ryu D S et al ²¹reported that reoperation was most likely for foraminal stenosis in patients with ASD (P = 0.001). Our study aimed to investigate the relevance of preoperative paraspinal muscle quality on the occurrence of L5-S1 ASD-FS after L4-5 fusion surgery.

Orita S et al ⁸ defined three major types of anatomical foramen stenosis: (1) vertical stenosis, (2) transverse stenosis, and (3) circumferential stenosis. Type 1 foraminal stenosis was mainly about foraminal height decrease, type 2 foraminal stenosis was about foraminal width decrease, and type 3 was a combination of the above pathological types. As we described previously, the lumbar foramen was a polygonal area, and reduction of any side would lead to stenosis of the lumbar foramen. In our study, we found a significant decrease in D-F, FH, and FA (pre-operation vs. 12 months post-), and in FH, FA (1-month post- vs. 12 months post-). There was no doubt that the FA decreased significantly during our follow-up, in other words, the foramen did become narrow, and that the decrease in FA might be due to the decrease in D-F and FH. The reason for the decrease in FH and D-F might be that fusion surgery accelerated degeneration in the facet joint, which could no longer maintain the foraminal height and even subluxation occurred.^{10–13}

PDH was the only increase in foraminal parameters at 1-month postoperatively. However, to our knowledge, few studies had reported this finding. What caused this change? We speculated that the removal of the facet joints during the surgical procedure resulted in a temporary relaxation of the adjacent segmental disc and another factor that patients were asked to be on bed rest as much as possible for 1-month post-operation might not be ignored.

Correlations between foraminal parameter changes and muscle quality were analyzed. For 1-month postoperation versus pre-operation, the changes in PDH were negatively related to p-CSA and es-CSA, while the changes in FH and FA were negatively correlated not only with CSA (es-CSA) but positively with FIR (es-FIR, m-FIR), while a positive correlation for D-F was seen in muscle FIR(es-FIR, m-FIR), and at 1-month versus 12 months postoperatively, foraminal parameter changes were more associated with muscle FIR (es-FIR, m-FIR), rather than muscle CSA. Our results indicated that muscle CSA might significantly influence foraminal parameters to change in surgery. However, for the long-term process, the muscle FIR was a more predictive factor. Furthermore, in preoperation versus 12 months post-operation, the result that the change in FA was closely related to FIR supported our hypothesis. Therefore, how the paraspinal muscles worked?

Spinal muscle quality influenced the effectiveness of surgery. Previous studies had reported that in patients undergoing posterior lumbar interbody fusion (PLIF), a smaller CSA was associated with a poorer fusion rate. ^{15,16} Wang W et al¹⁷ pointed out that a smaller multifidus area and higher multifidus fatty infiltration rate on preoperative MRI scans were significantly associated with higher ODI scores, both preoperatively and postoperatively. In the lumbar muscle system, the psoas which was attached directly to the vertebral bodies anterolaterally acted as the primary flexor muscle group, and the multifidus, and erector spinae acted as strong extensor muscle groups. ²²They worked together to maintain the balance and stability of the lumbar spine. Fusion surgery increased the pressure in the disc and facet joint in the adjacent segments^{1, 22–23,37}. The biomechanical pressure increase promoted disc degeneration, further disc herniation, extrusion of the lumbar foramen, and a decrease in foraminal height.^{23,29–31} For erector spinae, McGill et al²⁴ pointed out that under external compression the erector spine reduced the compression force from 20–35% in a body experiment. When the multifidus was studied as individual muscles, they seem to act more as segmental stabilizers to enable the separate control of individual vertebrae. ²⁵ Electromyography studies confirmed this result and found that the multifidus played a role

in controlling intersegmental motion.^{26–27} From the above, we more strongly believed that with a higher spinal muscle FIR especially in the multifidus and erector spinae, patients were more likely to develop ASD-FS after fusion surgery.

This study had several strong points. All surgical operations were performed in the natural cleavage plane between the multifidus and longissimus muscles to minimize the damage to the muscle. This approach had the advantages of less blood loss, fewer ASD rates, and fewer additional surgical procedures. ^{32,33}We took minimized damage to spinal muscle and patients. And we divided the spinal muscles into the left side and right side of the patient rather than evaluating them together in that chronic degenerative lumbar spine pathology was associated with muscle degeneration, the muscle quality on different sides in one varied and it was not reasonable enough to integrate them into the discussion.^{34–36} Moreover, our measurements of the foramen area were comprehensive, including not only foraminal height but width, which could help us understand the ASD-FS in a 3-dimensional way. In addition, this study was the first to evaluate spinal muscle quality as a prognosticator of ASD-FS after TLIF surgery; thus, this study could be a cornerstone for further studies analyzing the factors influencing postoperative radiological foraminal stenosis in fusion surgery.

Why did we choose L5-S1 level as our research subjects? In terms of anatomical factors, the L5-S1 disc was at the lowermost part of the spine and was the most variable area of lumbar spine activity. The disc of L5-S1 was also more prone to be detected degeneration, in lumbar fusion and LBP patients.^{38,39} Though the presence of preoperative disc degeneration did not show a significant correlation with the development of postoperative ASD.⁴⁰

Finally, as with any study, this study also had some limitations, including its retrospective design, relatively small sample size, and short follow-up period. Furthermore, we were not able to distinguish fatty tissue intermuscular from that inside the muscles. Moreover, further studies were required to investigate the increase in PDH in L5-S1 foramen after fusion surgery.

Conclusion:

In our 1-year clinical follow-up, whether on the surgical or non-surgical side of TLIF surgery, we found that patients with a higher degree of spinal muscle fatty infiltration rate, especially for the erector spinae and multifidus, were more likely to develop ASD-FS.

Abbreviations:

Abbreviations	Definition
LSS	lumbar spinal stenosis
ASD	adjacent segment degeneration
CSA	cross-sectional area
FIR	fatty infiltration rate
ASD-FS	adjacent segment foraminal stenosis degeneration
es-CSA	erector spinae cross-sectional area
es-CSA	erector spinae cross-sectional area
m-CSA	multifidus cross-sectional area
p-FIR	psoas fatty infiltration rate
es-FIR	erector spinae fatty infiltration rate
m-FIR	multifidus fatty infiltration rate
PDH	posterior disc height
D-F	disc-to-facet distance
FH	foraminal height
FA	foraminal area
LTA	left transforaminal approach
RTA	right transforaminal approach
ODI	Oswestry Disability Index
VAS	Visual Analogue Score
∆fp%	Change in foraminal parameters

Declarations:

Ethics approval and consent to participate: The study protocol was approved by the institutional review board of Wenzhou Medical University. The procedures were conducted in accordance with the ethical standards of the Declaration of Helsinki and approved by the local ethics committee. All participants provided informed consent to participate in this study. Participants' personal information was anonymized and deidentified before analysis.

Consent for publication: Not applicable.

Availability of data and materials: The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Competing interests: The authors declare that there are no competing interests.

Funding: Not applicable.

Authors' contributions: MH.C and HL.T participated in the design of the study and wrote the main body of the paper, P.Z collected the data, JX.L and WJ.Y and SK.F analyzed and supplemented the data, X.Y and YZ.H provided the tables and images, and all authors had read the article.

Acknowledgements: Not applicable.

References:

- 1. Harms JG, Jeszenszky D (1998) Die posteriore, lumbale, interkorporelle Fusion in unilateraler transforaminaler Technik. Orthop Traumatol 10:90–102.
- Cole C D, McCall T D, Schmidt M H, et al. Comparison of low back fusion techniques: transforaminal lumbar interbody fusion (TLIF) or posterior lumbar interbody fusion (PLIF) approaches[J]. Current reviews in musculoskeletal medicine, 2009, 2(2): 118–126.
- 3. Xia X P, Chen H L, Cheng H B. Prevalence of adjacent segment degeneration after spine surgery: a systematic review and meta-analysis[J]. Spine, 2013, 38(7): 597–608.
- 4. Poh S Y, Yue W M, Chen J, et al. P148. Clinical and Radiological Review of Adjacent Segment Degeneration after Transformaminal Lumbar Interbody Fusion[J]. The Spine Journal, 2008, 8(5): 172S.
- 5. Okuda S, Yamashita T, Matsumoto T, et al. Adjacent segment disease after posterior lumbar interbody fusion: a case series of 1000 patients[J]. Global spine journal, 2018, 8(7): 722–727.
- Wang T, Ding W. Risk factors for adjacent segment degeneration after posterior lumbar fusion surgery in treatment for degenerative lumbar disorders: a meta-analysis[J]. Journal of orthopaedic surgery and research, 2020, 15(1): 1−16.
- 7. Putti V (1927) New conceptions in the pathogenesis of sciatic pain. Lancet 2:53–60.
- 8. Orita S, Inage K, Eguchi Y, et al. Lumbar foraminal stenosis, the hidden stenosis including at L5/S1[J]. European Journal of Orthopaedic Surgery & Traumatology, 2016, 26(7): 685–693.
- 9. Demoulin C, Crielaard J M, Vanderthommen M. Spinal muscle evaluation in healthy individuals and low-backpain patients: a literature review[J]. Joint Bone Spine, 2007, 74(1): 9–13.
- 10. Kalichman L, Hodges P, Li L, et al. Changes in paraspinal muscles and their association with low back pain and spinal degeneration: CT study[J]. European Spine Journal, 2010, 19(7): 1136–1144.
- 11. Han G, Jiang Y, Zhang B, et al. Imaging evaluation of fat infiltration in paraspinal muscles on MRI: a systematic review with a focus on methodology[J]. Orthopaedic Surgery, 2021, 13(4): 1141–1148.
- 12. Kalichman L, Carmeli E, Been E. The association between imaging parameters of the paraspinal muscles, spinal degeneration, and low back pain[J]. BioMed research international, 2017, 2017.
- 13. Kalichman L, Klindukhov A, Li L, Linov L. Indices of paraspinal muscles degeneration: reliability and association with facet joint osteoarthritis. Feasibility study. Clin Spine Surg. 2016; 29(9):465–470.
- 14. Kim J Y, Paik H K, Ahn S S, et al. Paraspinal muscle, facet joint, and disc problems: risk factors for adjacent segment degeneration after lumbar fusion[J]. The Spine Journal, 2016, 16(7).
- 15. Choi M K, Kim S B, Park B J, et al. Do trunk muscles affect the lumbar interbody fusion rate?: correlation of trunk muscle cross sectional area and fusion rates after posterior lumbar interbody fusion using stand-alone cage[J]. Journal of Korean Neurosurgical Society, 2016, 59(3): 276–281.

- 16. Choi M K, Kim S B, Park C K, et al. Cross-Sectional Area of the Lumbar Spine Trunk Muscle and Posterior Lumbar Interbody Fusion Rate[J]. Clinical spine surgery, 2017, 30(6): E798-E803.
- 17. Wang W, Sun Z, Li W, et al. The effect of paraspinal muscle on functional status and recovery in patients with lumbar spinal stenosis[J]. Journal of Orthopaedic Surgery and Research, 2020, 15(1): 1–6.
- 18. Kambin P (1993) Arthroscopic microdiscectomy of the lumbar spine. Clin Sports Med 12:143–150.
- 19. Crawford R J, Cornwall J, Abbott R, et al. Manually defining regions of interest when quantifying paravertebral muscles fatty infiltration from axial magnetic resonance imaging: a proposed method for the lumbar spine with anatomical cross-reference[J]. BMC musculoskeletal disorders, 2017, 18(1): 1–11.
- 20. Berry D B, Padwal J, Johnson S, et al. Methodological considerations in region of interest definitions for paraspinal muscles in axial MRIs of the lumbar spine[J]. BMC musculoskeletal disorders, 2018, 19(1): 1–9.
- 21. Ryu D S, Park J Y, Kuh S U, et al. Surgical outcomes after segmental limited surgery for adjacent segment disease: the consequences of makeshift surgery[J]. World Neurosurgery, 2018, 110: e258-e265.
- 22. Hansen L, De Zee M, Rasmussen J, et al. Anatomy and biomechanics of the back muscles in the lumbar spine with reference to biomechanical modeling[J]. Spine, 2006, 31(17): 1888–1899.
- 23. Park P, Garton HJ, Gala VC, et al. Adjacent segment disease after lumbar or lumbosacral fusion: review of the literature. Spine (PhilaPa 1976). 2004;29:1938–1944.
- 24. McGill S M, Norman R W. Effects of an anatomically detailed erector spinae model on L4L5 disc compression and shear[J]. Journal of biomechanics, 1987, 20(6): 591–600.
- 25. Aspden R M. Review of the functional anatomy of the spinal ligaments and the lumbar erector spinae muscles[J]. Clinical Anatomy: The Official Journal of the American Association of Clinical Anatomists and the British Association of Clinical Anatomists, 1992, 5(5): 372–387.
- 26. Donisch EW, Basmajian JV. Electromyography of deep back muscles in man. Am J Anat 1972;1:25–36.
- 27. Moseley GL, Hodges PW, Gandevia SC. Deep and superficial fibers of the lumbar multifidus muscle are differentially active during voluntary arm movements. Spine 2002;27:E29 36.
- 28. Cholewicki J, Panjabi MM, Khachatryan A. Stabilizing function of trunk flexor-extensor muscles around a neutral spine posture. Spine 1997;22:2207–12.
- 29. Ou C-Y, Lee T-C, Lee T-H, Huang Y -H. Impact of Body Mass Index on Adjacent Segment Disease After Lumbar Fusion for Degenerative Spine Disease. Neurosurgery 2015;76:396–402.
- 30. Liang J, Dong Y, Zhao H. Risk factors for predicting symptomatic adjacent segment degeneration requiring surgery in patients after posterior lumbar fusion. J Orthop Surg Res. 2014;9:97–102.
- Umehara S, Zindrick MR, Patwardhan AG, et al. The biomechanical effect of postoperative hypolordosis in instrumented lumbar fusion on instrumented and adjacent spinal segments. Spine (Phila Pa 1976) 2000;25:1617–24.
- 32. Ulutaş M, Yaldız C, Seçer M, et al. Comparison of Wiltse and classical methods in surgery of lumbar spinal stenosis and spondylolisthesis[J]. Neurologia i Neurochirurgia Polska, 2015, 49(4): 251–257.
- 33. Street J T, Glennie R A, Dea N, et al. A comparison of the Wiltse versus midline approaches in degenerative conditions of the lumbar spine[J]. Journal of Neurosurgery: Spine, 2016, 25(3): 332–338.
- 34. Shahidi B, Parra C L, Berry D B, et al. Contribution of lumbar spine pathology and age to paraspinal muscle size and fatty infiltration[J]. Spine, 2017, 42(8): 616.

- 35. Cooley J, Jensen T, Kjaer P, et al. Degenerative spinal pathology is associated with altered lumbar multifidus muscle morphology: a cross-sectional study of patients attending a public outpatient spine clinic with low back or leg pain[J]. 2022.
- 36. Shahidi B, Hubbard J C, Gibbons M C, et al. Lumbar multifidus muscle degenerates in individuals with chronic degenerative lumbar spine pathology[J]. Journal of Orthopaedic Research, 2017, 35(12): 2700–2706.
- 37. Chang U K, Kim D H, Lee M C, et al. Changes in adjacent-level disc pressure and facet joint force after cervical arthroplasty compared with cervical discectomy and fusion[J]. Journal of Neurosurgery: Spine, 2007, 7(1): 33–39.
- 38. Roberts S, Gardner C, Jiang Z, et al. Analysis of trends in lumbar disc degeneration using kinematic MRI[J]. Clinical Imaging, 2021, 79: 136–141.
- 39. Bonnheim N B, Wang L, Lazar A A, et al. The contributions of cartilage endplate composition and vertebral bone marrow fat to intervertebral disc degeneration in patients with chronic low back pain[J]. European Spine Journal, 2022: 1–7.
- 40. Conaway W, Karamian B A, Mao J Z, et al. The Effect of L5-S1 Degenerative Disc Disease on Outcomes of L4-L5 Fusion[J]. Clinical Spine Surgery, 2022, 35(5): E444-E450.

Figures





C

Figure 1

a: The anatomical boundaries of L5-S1 foramen boundaries for CT scan in the sagittal plane. **b**: Showing the measurements made on the disc and intervertebral foramen. Posterior disc height (PDH): The distance between the upper and lower endplates of the involved disc. The disc-to-facet distance (D-F): The vertical distance between the apex of superior articular process and vertical line which is defined as caudal end of bulging intervertebral disc to inferior endplate in the sagittal plane. Foraminal height (FH): The maximum distance between the inferior margin of the pedicle of the superior vertebra and the superior margin of the pedicle of the inferior vertebra.

Foraminal area (FA): FA is bounded by the surfaces of the upper and lower pedicles, the caudal end of the disk, and the anterior edge of the ligamentum flavum (the area circled by the blue line).



Figure 2

Region of interest(ROI) was used to measure the total cross-sectional area for the psoas, erector spinae, and multifidus. 1, psoas; 2, erector spinae muscle; 3, multifidus;



Figure 3

The thresholding technique was used to highlight the fatty tissue in the muscle in the region of interest.