# DAS coupling noise suppression based on MCA-FK

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7 Abstract: In recent years, distributed fiber acoustic sensor (DAS) technology has been applied for high-8 precision acquisition of vertical seismic profile (VSP) data, which has the advantages of high-density 9 acquisition, low cost, safety and coordination. However, coupling noise with characteristics similar to that of 10 the spring is produced and mixed in the VSP data collected by the distributed optical fiber in the well. The energy of the coupling noise tends to be very strong, resulting in the effective VSP data being covered. In this 11 paper, coupling noise is constructed by analyzing its morphological characteristics. The dictionaries of 12coupling noise and clean VSP data are constructed respectively using their different characteristics, and the 1314morphological component analysis (MCA) algorithm is proposed to separate them. The alternating direction multiplier method (ADMM) is used to solve the objective function, for which both L1 and L2 norm 1516 regularizations are adopted in the MCA algorithm. However, the performance of the algorithm heavily relies on the coefficient selection of the threshold, which can lead to noise residue in the denoised VSP data and 1718 effective signal attenuation due to the inappropriate selection of the threshold. Therefore, the frequencywavenumber (FK) transform is further used to extract VSP data from the separated coupling noise. The 1920proposed MCA and FK transform (MCA-FK) algorithm is applied to the field data and has achieved good results. 21

22 Keywords: Coupling noise suppression; DAS; MCA-FK; ADMM

# 23 **1. introduction**

With the rapid development of distributed fiber acoustic sensor (DAS) technology, it is used in various fields of industry. DAS technology is used for downhole high-precision seismic data acquisition and the vertical seismic profile (VSP) data imaging by having the advantages of low cost, corrosion resistance, easy data transmission, high precision and high sensitivity. The principle is to transform the optical signal into seismic signal by the change of optical path in the optical fiber caused by the earthquake. As a new type of seismic detection technology, DAS technology was first proposed at the 2011 SEG annual meeting. Mestayer et al. (2011) analyzed the data collected by DAS and that by traditional geophones and concluded that the seismic data and resolution generated by the two means are basically the same. Daley et al. (2013) and Mateeva et al. (2014) introduced the principle of the data acquisition with DAS technology in seismic exploration. They also processed and interpreted the field data and pointed out many advantages and future challenges of DAS technology.

However, as a new development technology, the coupling noise similar to the spring is produced because 35the optical fiber cable can't be better coupled with the well resulting in coherent cable beat when the VSP data 36 is collected by the distributed optical fiber in the well. YU et al. (2016) analyzed and fitted parameters of the 37cable ringing noise, including with the first breaking time, amplitude, period and average wavelet. Chen et al. 3839 (2018) proposed DCT dictionary and wavelet dictionary denoising based on sparse optimization, and removed 40 coupling noise by different characteristics of coupling noise and effective signal. However, coupling noise 41 residue still present especially near the first arrival wave via the method because coupling noise will be 42attenuated with the increase of depth. Hou et al. (2021) improved chen's method via adaptively calculating the length of the coupling noise contained in each trace of the VSP data, so the coupling noise near the first arrival 43wave is better suppressed. Gu et al. (2021) removed the coupling noise by forward modeling for the attenuation 4445curve of the coupling noise. Lv et al. (2022) optimized the function for obtaining the coupling noise's parameters of amplitude, phase and frequency and then removing it. Shao et al. (2022) developed a time-46 47frequency analysis method based on low-rank and sparse matrix decomposition and data position points distribution maps to separate signals from the coupling noise. Based on deep learning, Dong et al. (2022) and 48Zhong et al. (2022) constructed the high-precision deep learning denoising network which can effectively 49 suppress the noise in VSP data and improved the signal-to-noise ratio of denoising results. 50

Inspired by Chen et al. (2018), we proposed MCA and FK transform (MCA-FK) algorithm to better 51attenuate the coupling noise. In this paper, the model of coupling noise is firstly constructed based on analyzing 52its frequency component and the more suitable dictionaries of coupling noise and clean VSP data are 5354constructed respectively. Then the alternating direction multiplier method (ADMM) to solve the objective function of which L1 and L2 norm regularizations are adopted in the MCA algorithm. In addition, the 55frequency-wavenumber (FK) transform is further used to extract the useful signal which is remained in the 56separated coupling noise because of inappropriate selection of the threshold in MAC algorithm. Finally, the 57proposed MCA-FK algorithm is applied to the field data and has achieved good results. 58

## 59 **2. Principle**

### 60 2.1 Analysis of coupling noise and VSP data

- 61 The noisy VSP data y contains clean VSP data  $s_0$ , coupling noise  $s_1$  and random noise n :
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$$\mathbf{y} = \mathbf{s}_0 + \mathbf{s}_1 + \mathbf{n} \tag{1}$$

The formation of coupling noise is mainly due to the fact that the optical fiber cable fails to couple well with the wellbore. The vibration caused by the earthquake makes the unfixed optical fiber cable beat back and forth, forming a noise with strong energy similar to the sawtooth waveform. When the maximum distance of the unfixed optical fiber cable is A, and the vibrational velocity of the optical fiber cable is V, the back and forth beats process of the cable can be described with the relationship between the distance d of the acoustic sensor system recording the vibration and the travel time t (Gu et al. 2021). It can be represented as:

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$$d(t) = \begin{cases} (t \mod \left(\frac{2A}{v}\right))V & 0 \le t \mod \left(\frac{2A}{v}\right) \le \frac{A}{v} \\ A - (t \mod \left(\frac{2A}{v}\right))V & \frac{A}{v} \le t \mod \left(\frac{2A}{v}\right) \le \frac{2A}{v} \end{cases}$$
(2)

70 where a mod b means the remainder of a divided by b.

The function of the reflection coefficient r(t) of the sensor system recorded with the travel time t is equal to that of d(t), and its waveform is shown in Fig.1a. The coupling noise  $s_1(t)$  can be expressed as the convolution of the reflection coefficient r(t) and Ricker wavelet w(t):

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$$s_1(t) = w(t) * r(t)$$
 (3)

The waveform characteristics of  $s_1(t)$  (Fig.1b) are completely consistent with those of the coupling noise in the field data (Fig.1c).

77To verify the correctness of the coupling noise model, time-frequency spectrum analysis was performed on some traces of the coupling noise model and the field data that is interfered by the coupling noise. Fig.2a 7879and 2b show two traces from Fig.1b, and Fig.2c shows one trace from Fig.1c. Fig.2a and 2b exhibit periodic 80 oscillating waveforms because the function of reflection coefficient r(t) is periodic. Fig.2d and 2e represent the frequency spectra of Fig.2a and 2b, respectively. Since the frequency of the reflection coefficient is 50Hz 81 82(Fig.1a), there is a fundamental frequency of 50Hz and a second harmonic frequency of 100Hz in both Fig.2d 83 and 2e. Fig.2f displays the frequency spectrum of Fig.2c, and its peaks at 15Hz and 30Hz indicate that the coupling noise in the field data also contains harmonic components. 84

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### 127 **2.2 Theory of MCA-FK**

#### 128 2.2.1 MCA

As long as the signal is compressible or sparse in a transform domain, the transformed high-dimensional signal can be projected onto a low-dimensional space with an observation matrix that is not related to the transform basis base on the theory of compressed sensing (Pilikos 2020). Morphological component analysis (MCA) is a compressed sensing framework (Starck et al. 2005; Chen et al. 2018). Several signals can be separated by MCA method because they have their sparse morphological characteristics of different signal components in different transform domains. They can be reconstructed respectively from their small projections with high probability by solving an optimization problem.

According to the MCA theory, we assume that  $s_0$  can be expressed by dictionary  $A_0$  and sparse matrix  $x_0$ ,  $s_1$  can be expressed by dictionary  $A_1$  and sparse matrix  $x_1$ , whereas  $A_0$  cannot express  $s_1$  and  $A_1$ cannot express  $s_0$ , so the expression (1) can be described as (Chen et al. 2018):

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$$y = A_0 x_0 + A_1 x_1 + n$$
 (4)

The  $x_0$  and  $x_1$  matrices should be sparse enough, so we rewrite (4) as the following minimization problem with L0 norm regularization:

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$$\underset{x_{1},x_{0}}{\operatorname{arg\,min}} \|x_{0}\|_{0} + \|x_{1}\|_{0} \quad \text{s.t.} \quad \|Y - A_{0}x_{0} - A_{1}x_{1}\|_{2}^{2} \leq \delta$$
(5)

The solution of L0 norm is an np-hard problem which can be replaced as L1 norm, so the minimization problem (5) is rewritten as:

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$$\underset{x_{1},x_{0}}{\arg\min\|x_{0}\|_{1}} + \|x_{1}\|_{1} \text{ s.t. } \|Y - A_{0}x_{0} - A_{1}x_{1}\|_{2}^{2} \le \delta$$
(6)

#### 146 2.2.2 Dictionary

The selection of dictionaries  $A_0$  and  $A_1$  is important for separating clean VSP data and the coupling noise. The selection of dictionaries  $A_0$  and  $A_1$  is important for separating coupling noise and clean VSP data. Dictionaries  $A_0$  and  $A_1$  are respectively composed with Ricker and sine wavelets with different frequencies and different phases based on the analysis of clean VSP data and coupling noise in section 2.1.

The dictionary  $A_0$  is composed of Ricker wavelets with different phases (Fig.4a). The frequency of the Ricker wavelet is determined by the wavelet frequency in the clean VSP data. For example, the frequency of selected Ricker wavelet is 30Hz for the dictionary  $A_0$  of the VSP data in Fig.3. The phase of the Ricker wavelet for each trace is different in the dictionary  $A_0$ . The phase of Ricker wavelet at the 100th and 900th



Fig.4 The demonstration of dictionary  $A_0$ . a) dictionary  $A_0$ . b) the 100th trace of a); c) the 900th trace of a).

The dictionary  $A_1$  is composed of two parts which is constructed by the first and second harmonics of the coupling noise, respectively. For example, the first 1000 traces are 50Hz sinusoidal signals (Fig.5b), and the last 1000 traces are 1000Hz sinusoidal signals (Fig.5c) in the dictionary  $A_1$  (Fig.5a) of the coupling noise model (Fig.1b). In addition, the phase of the two independent parts also moves from left to right in their dictionaries, respectively.



Fig.5 The demonstration of dictionary  $A_1$ . a) dictionary  $A_1$ . b) the 100th trace of a); c) the 1200th trace of a).

### 182 2.2.3 ADMM iterative solution

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The ADMM algorithm (Shi et al. 2014; Aghamiry et al. 2020) is used to solve the above problem (6). The ADMM algorithm provides a framework for solving optimization problems with linear equality constraints. It is convenient for us to use the augmented Lagrangian algorithm (ALM) to decompose the original optimization problem into several relatively good sub-optimization problems for iterative solution. We introduce  $z_1$ ,  $z_0$ , and let  $x_1 = z_1$ ,  $x_0 = z_0$ . We also refer to the update compensation intermediate parameters  $u_1$ ,  $u_0$ , and the iteration step  $\rho_1$ ,  $\rho_0$ . The Lagrange function of the problem is written as:

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$$I(x_0, x_1, z_0, z_1, u_0, u_1) = \arg \min_{x_0, x_1, z_0, z_1, u_0, u_1} \frac{1}{2} \|Y - A_0 x_0 - A_1 x_1\|_2^2 + \lambda_0 \|z_0\|_1 + \lambda_1 \|z_1\|_1$$

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$$+ \frac{\rho_0}{2} \|\mathbf{x}_0 - \mathbf{z}_0 + \mathbf{u}_0\|_2^2 + \frac{\rho_1}{2} \|\mathbf{x}_1 - \mathbf{z}_1 + \mathbf{u}_1\|_2^2$$
(7)

The iterative framework of ADMM algorithm is used to solve the problem (7). In the iterative process, only a single variable is iterated at each step, and other variables are calculated as known variables. For the update iteration of each parameter, only the part containing iterative parameters needs to be considered, so the optimization problem of each parameter is as follows (Shi et al. 2014):

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$$P_{1}(x_{0}) = \arg\min_{x_{0}} \frac{1}{2} \|Y - A_{0}x_{0} - A_{1}x_{1}\|_{2}^{2} + \frac{\rho_{0}}{2} \|x_{0} - z_{0} + u_{0}\|_{2}^{2}$$
(8)

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$$P_{2}(x_{1}) = \arg\min_{x_{1}} \frac{1}{2} \|Y - A_{0}x_{0} - A_{1}x_{1}\|_{2}^{2} + \frac{\rho_{1}}{2} \|x_{1} - z_{1} + u_{1}\|_{2}^{2}$$
(9)

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$$P_{3}(z_{0}) = \underset{z_{0}}{\operatorname{arg\,min}} \lambda_{0} \|z_{0}\|_{1} + \frac{\rho_{0}}{2} \|x_{0} - z_{0} + u_{0}\|_{2}^{2}$$
(10)

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$$P_4(z_1) = \underset{z_1}{\operatorname{arg\,min}} \lambda_1 \|z_1\|_1 + \frac{\rho_1}{2} \|x_1 - z_1 + u_1\|_2^2$$
(11)

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$$P_5(u_0) = \arg\min_{u_0} \frac{\rho_0}{2} \|x_0 - z_0 + u_0\|_2^2$$
(12)

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$$P_6(u_1) = \underset{u_1}{\operatorname{arg\,min}} \frac{\rho_1}{2} \|x_1 - z_1 + u_1\|_2^2$$
(13)

The parameters of each step are solved to obtain the updated iterative algorithm. The latest parameters obtained by each update iteration will enter the algorithm of the next parameter iteration.

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$$\mathbf{x}_{0}^{(k+1)} = (\mathbf{A}_{0}^{\mathrm{T}}\mathbf{A}_{0} + \rho_{0}\mathbf{I})^{-1}[\rho_{0}(\mathbf{z}_{0}^{(k)} - \mathbf{u}_{0}^{(k)}) + \mathbf{A}_{0}^{\mathrm{T}}(\mathbf{Y} - \mathbf{A}_{1}^{(k)}\mathbf{X}_{1}^{(k)})]$$
(14)

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$$x_{l}^{(k+1)} = (A_{1}^{T}A_{1} + \rho_{1}I)^{-1}[\rho_{1}(z_{1}^{(k)} - u_{1}^{(k)}) + A_{1}^{T}(Y - A_{0}^{(k)}X_{0}^{(k+1)})]$$
(15)

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$$z_0^{(k+1)} = T_{\lambda_0/\rho_0}(x_0^{(k+1)} + u_0^{(k)})$$
(16)

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$$z_1^{(k+1)} = T_{\lambda_1/\rho_1}(x_1^{(k+1)} + u_1^{(k)})$$
(17)

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$$u_0^{(k+1)} = u_0^{(k)} + x_0^{(k+1)} - z_0^{(k+1)}$$
(18)

208 
$$u_1^{(k+1)} = u_1^{(k)} + x_1^{(k+1)} - z_1^{(k+1)}$$
 (19)

where k represents the number of iterations and  $T_{\lambda/\rho}(S)$  is soft-thresholding operator (Liu et al. 2016):

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$$T_{\lambda/\rho}(S) = \operatorname{sign}(S) \cdot \max(|S| - \lambda/\rho, 0)$$
(20)

Among them,  $\rho_0 = \rho_1 = 1$ , by adjusting the size of  $\lambda_0$  and  $\lambda_1$ , the effective signal and coupling noise are separated.

### 213 2.2.4 FK transform

Because the performance of MCA method to suppress coupling noise relies heavily on the coefficient

selection of the threshold function, the inappropriate coefficient often leads to a certain amount of noise residues in the denoised VSP data.

The FK filtering (Draganov et al. 2009) method is based on the principle of two-dimensional Fourier transform. It can convert the VSP data from the function f(t, x) represented by the reflection time t and the trace position x into a function F (f, k) represented by frequency and spatial wave number k, that is:

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$$F(f,k) = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f(t,x) e^{-2\pi i (ft+kx)} dt dx$$
(21)

The FK diagram shown in Fig.6a has zones 1-3 which are regarded as a high-speed, medium-speed and 221low-speed zone, respectively. Since the apparent velocities of the effective signal and coupling noise in the 222223noisy VSP data are different, they will be centered in different regions in FK domain. The FK diagram of VSP data is shown in Fig.6b. Because the cable beats fast, the coupling noise is distributed in the high-speed area 224225of the first zone, and the effective VSP signal is distributed in the medium-speed area of the second zone. According to this feature, a filter can be designed in the FK domain to separate the effective signal in the 226227separated coupling noise after using MCA method. The use of FK transform will reduce the impact of the 228threshold function and improve the signal-to-noise ratio.



239 3. Examples

#### 240 **3.1 Synthetic data**

The synthetic coupling noise and clean VSP data model are superimposed, and random noise is added to synthesize the noisy VSP model (Fig.7a). The separated results using MCA and MCA-FK algorithms, respectively. The dictionaries  $A_0$  and  $A_1$  are constructed based on the principle in section 2.2.2.  $\lambda_0$  is  $5 \times 10^{-4}$  and  $\lambda_1$  is  $5 \times 10^{-6}$ . Most of the coupling noise and random noise (Fig.7c) can be separated from noisy data with MCA algorithm, however there are still some residual signal in separated noise. Meanwhile there are some noise in the separated signal (Fig.7b). Compared with those of MCA, the separated noise

(Fig.7d) and the effective signal (Fig.7e) via MCA-FK algorithm are separated more effective. Fig.8a is the 31st trace of noisy VSP model (Fig.7a) and the subgraphs in Fig.8 correspond to those of Fig.7. As can be seen from figures 7 and 8, the effective signal is basically undisturbed and the coupled noise and random noise are successfully suppressed via MCA-FK algorithm.



Fig.7 Denoising of noisy VSP model. a) noisy VSP model; b) denoised effective signal via MCA; c) separated noise via MCA; d) separated effective signal via MCA-FK; e) separated noise via MCA-FK;



Fig.8 Demonstration of a trace in Fig.7. a) the 31th trace of Fig.7a); b) denoised effective signal via MCA;c) separated noise via MCA; d) separated effective signal via MCA-FK; e) separated noise via MCA-FK;

### 276 3.2 Field data

To further demonstrate the performance of MCA-FK in practice, we choose the field VSP data (Fig.9a) from eastern China. The field data is much more complex than the VSP model. The field VSP signal is seriously disturbed by the coupling noise and random noise.

The noisy VSP data is processed via MCA and MCA-FK algorithms, respectively. In these processing, the length of the processing window is 200. For the dictionary  $A_0$  shown in Fig.9b of the effective signal, the appropriate Ricker wavelet is selected by analyzing the spectrum of some traces undisturbed by the coupling noise in the field VSP data. As shown in Fig.9c, the trace reconstructed (green) using the Ricker wavelet dictionary is almost identical with the original signal(black).





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The spectrum analysis of each part of the coupling noise interference is carried out to determine the 298frequency characteristics and number N of the coupling noise, and spliced into an over-complete dictionary 299 $A_1$ , The row size of the dictionary  $A_1$  is 200 and the column size is 200\*N(Fig. 10e). The separated effective 300 signal and the coupling noise via MCA are shown in Fig.10a and b, respectively. It can be clearly observed 301 that the part of the original signal covered by the coupling noise is missing, and the missing part appears in 302the extracted coupling noise. Because the coupling noise presents a regular signal, and the FK transform has 303 a strong suppression effect on such signals, the VSP signal contained in the coupling noise can be extracted to 304 reconstruct the effective signal (Fig.10c) by the MCA-FK algorithm. At the same time, the coupled noise and 305

c) original trace undisturbed by coupling noise (black) and its reconstruction (green).

random noise (Fig. 10d) are more successfully suppressed. In addition, the field data also contains some similar transverse waves left by VSP data preprocessing, which is not processed in this paper.





# **4. Conclusion**

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In this paper, the algorithm of DAS coupling noise suppression based on MCA and FK transform is proposed. Firstly, through the different characteristics of effective signal and coupling noise, the highdimensional space dictionaries are constructed for effective signal and coupling noise, respectively. Secondly, the noisy VSP data can be separated into effective signal, coupling noise and random noise through MCA algorithm which is competed by solving the objective function including L1 and L2 norm regularizations with ADMM. Finally, FK transform is used to extract the residue effective signal in the separated coupling noise. Synthetic and field data examples demonstrate that the proposed algorithm can successfully suppress the coupling noise and random noise for the noisy VSP data.

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#### 341 **COF statement**

- On behalf of all authors, the corresponding author states that there is no conflict of interest.
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