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Robot-aided Assessment and Associated Brain Lesions of Impaired Ankle Proprioception in Chronic Stroke

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2	Proprioception in Chronic Stroke

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34 Abstract

Background: Impaired ankle proprioception strongly predicts balance
dysfunction in chronic stroke. However, only sparse data on ankle position
sense and no systematic data on ankle motion sense dysfunction in stroke
are available. Moreover, the lesion sites underlying impaired ankle
proprioception have not been comprehensively delineated. Using robotic
technology, this study quantified ankle proprioceptive deficits post-stroke
and determined the associated brain lesions.

42 Methods: Twelve adults with chronic stroke and 13 neurotypical adults 43 participated. A robot passively plantarflexed a participant's ankle to two 44 distinct positions or at two distinct velocities. Participants subsequently 45 indicated which of the two movements was further/faster. Based on the 46 stimulus-response data, psychometric just-noticeable-difference (IND) 47 thresholds and intervals of uncertainty (IU) were derived as measures on 48 proprioceptive bias and precision. To determine group differences, Welch's 49 t-test and the Wilcoxon-Mann-Whitney test were performed for the IND 50 threshold and IU, respectively. Voxel-based lesion subtraction analysis 51 identified the brain lesions associated with observed proprioceptive deficits 52 in adults with stroke.

Results: 83% of adults with stroke exhibited abnormalities in either
position or motion sense, or both. JND and IU measures were significantly
elevated compared to the control group (Position sense: + 77% in JND,
+148% in IU; Motion sense: +153% in JND, +78% in IU). Lesions in the

Page 2

57 parietal, frontal, and temporoparietal regions were associated with deficits 58 in both senses, lesions in the medial/lateral occipital cortex were exclusively 59 linked to impaired position sense, and temporal pole lesions were 60 associated with impaired motion sense. 61 **Conclusions:** This is the first study to document the prevalence and 62 magnitude of ankle position and motion sense impairment in adults with 63 chronic stroke. Proprioceptive dysfunction was characterized by elevated 64 JND thresholds and increased uncertainty in perceiving ankle 65 position/motion. Associated cortical lesions for both proprioceptive senses 66 were largely overlapping, but temporal pole lesions were independently 67 linked to motion sense dysfunction.

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69 Key Words: motion sense, psychometrics, proprioception, robotics, stroke70

71 Introduction

72 Afferent signals from mechanoreceptors embedded in the skeletal muscles, 73 skin, ligaments, and joint capsules provide proprioceptive information about 74 joint position and limb motion (1). For the control of balance and gait, information about ankle joint position and motion is critical (2). Recent 75 76 reports indicate that compromised ankle proprioception is common in stroke survivors (3), and ankle proprioceptive deficits are strong predictors 77 78 of impaired balance in adults with chronic stroke (4). Up to 70% of adults 79 with stroke report falls or fall-related injuries in the first six months after 80 the stroke (5). In current clinical practice, somatosensory impairments after 81 stroke are assessed using the clinical rating scales that detect only the most 82 severe forms of post-stroke proprioceptive deficits (3). 83 To detect more subtle forms of proprioceptive deficits, robotic

84 technology has been applied in order to arrive at more sensitive and 85 accurate measures of somatosensory-motor dysfunction in stroke survivors. 86 Most of these applications focused on examining position and motion sense 87 of the upper limb (6-9). Yet, objective data on lower limb motion sense in 88 stroke are sparse, with only a single study documenting that motion 89 detection at the ankle can be impaired at low angular velocities. Motion 90 sense was measured as the number of correct responses to detect ankle 91 movement direction (10).

With respect to the neuroanatomical correlates of proprioceptive signalprocessing, it is well known that the primary somatosensory cortex,

94 posterior parietal lobe, and motor cortical areas receive and process 95 proprioceptive afferents (11). Consequently, damage to these areas after 96 stroke results in the loss of proprioceptive function (6, 12). More 97 specifically, brain imaging studies reported that lesions in the insula and 98 temporoparietal areas (supramarginal, superior temporal, Heschl's gyri) are 99 associated with impaired arm position and motion sense after stroke (6, 12). 100 However, comprehensive empirical data on which brain lesions are 101 associated with lower limb proprioceptive impairment after cortical stroke 102 are still missing.

103 To fill the above knowledge gaps, this study 1) examined the extent and 104 magnitude of ankle motion sense impairment observed in adults with 105 chronic stroke, 2) determined how such impairment coincides with position 106 sense dysfunction, and 3) identified the brain lesions associated with ankle 107 position and motion sense dysfunction. We applied a robotic device that 108 passively rotated the ankle to distinct joint positions or velocities with high 109 precision. In addition, we implemented a psychophysical approach that 110 represents the gold standard in measuring sensory acuity and has 111 successfully been used to delineate proprioceptive function/dysfunction in 112 pediatric and aging populations (13, 14). Importantly, this paradigm yielded 113 two distinct outcome measures for each proprioceptive sense as part of a 114 comprehensive analysis of proprioceptive dysfunction: 1) A just-noticeable-115 difference (IND) threshold as a measure of *bias* or systematic error, and 2) 116 the interval of uncertainty (IU) as a measure of *precision* or random error.

117 These two measures allow for a more detailed analysis of proprioceptive

- 118 function as people may exhibit deficits in one or both aspects of
- 119 proprioceptive accuracy.

120 Methods

121 **Participants**

122 Twelve stroke survivors (mean \pm SD age, 54 \pm 10.9 years, on average 6 123 years post-stroke, 10 ischemic, 2 hemorrhagic lesions) were recruited (see 124 Table 1). They had normal cognition with scores >13/16 points on a short 125 form of the Mini-Mental State Examination (MMSE) (15) assuring that they 126 could understand the instructions. Exclusion criteria were: (1) markedly 127 increased muscle tone as indicated by > 2 on the Modified Ashworth Scale 128 (16), (2) presence of other neurological disorders, lower limb 129 musculoskeletal or orthopedic injuries, or other medical conditions 130 influencing the lower limb sensorimotor function, (3) inability to achieve 0-131 15° passive range of motion (PROM) of the more affected movement of 132 ankle plantarflexion at the more-affected side required for the testing 133 protocol, (4) a severe or complete somatosensory loss (17). Thirteen age-134 and sex-matched neurotypical adults were recruited to serve as non-stroke 135 controls (mean \pm SD age, 54 \pm 15.3 years; 7 women). They self-reported no 136 neurological or musculoskeletal impairment or orthopedic injuries in lower 137 extremities within the past 12 months. Adults with stroke were recruited via 138 local stroke support groups, the University of Minnesota (UMN) clinic, the

139 UMN StrokeNet team, the Minnesota Stroke Association, and the UMN 140 Stroke Center (Fig. 1). The study protocol was approved by the University of Minnesota Institutional Review Board (STUDY00013061). Before testing, 141 142 all participants provided written informed consent, and the non-stroke participants completed a footedness questionnaire (18) to determine their 143 144 dominant foot. After proprioceptive testing, a physical therapist examined post-stroke lower limb motor impairment using the Fugl-Meyer Assessment 145 Lower Extremity (FMA-LE). 146

	Age	Time Post-	Sex	Lesion Location	Lesion Volum e (cm ³)	More Affect ed Ankle	Туре	FMA- LE (0-34)	Position sense (°)		Motion sense (°/s)	
ID	(year s)	Stroke years (mo.)							JND thresho ld	IU	JND thresho ld	IU
S01	58	4 (44)	F	L internal capsule, PCA	3.57	R	ischemic	33	0.63	0.6 2	0.86	0.64
S02	62	9 (108)	М	R insula, ACA (frontal lobe), MCA,	137.88	L	ischemic	17	1.36	1.9 1†	1.36^{+}	1.14
S03	56	6 (68)	М	L insula, BG, MCA	19.03	R	hemorrhag ic	NA	1.25	$^{1.3}_{4^{\dagger}}$	0.64	0.46
S04	66	6 (68)	F	R BG, insula, MCA (corona radiata), ACA	66.59	L	ischemic	31	2.19^{\dagger}	${1.3} \\ {4^{\dagger}}$	1.97^{\dagger}	1.97^{\dagger}
S05	47	12 (145)	М	R midbrain, pons	3.10	L	ischemic	22	1.76	0.7 5	0.71	1
S06	55	4 (54)	М	R BG, insula, MCA	54.90	L	hemorrhag ic	20	2.58^{+}	$2.1 \\ 4^{\dagger}$	1.27^{\dagger}	2.19^{\dagger}
S07	66	2 (19)	М	L cerebral peduncle, superior midbrain territory, PCA	4.81	R	ischemic	28	1.18	1.3 4†	0.67	0.58
S08	67	3 (35)	М	R insula, MCA, ACA,	239.54	L	ischemic	5*	4.46^{\dagger}	$3.4 \\ 0^{\dagger}$	1.35^{+}	0.59
S09	35	10 (117)	М	R insula, MCA	164.53	L	ischemic	21	1.16	1.5 7†	2.85^{+}	0.65
S10	38	1 (14)	F	L insula, MCA	76.98	R	ischemic	32	1.71	1.0 3 0.3	2.40^{+}	1.93 [†]

147 **Table 1** Demographic information and the descriptive statistics of ankle proprioceptive acuity for participants with

148 stroke.

ischemic





150 Fig. 1 Recruitment flowchart. UMN: University of Minnesota, UMP: University of
151 Minnesota Physicians, CSC: Clinics and Surgery Center.

152 Robot-aided proprioceptive testing

153 The robotic ankle proprioception assessment system used in this study has 154 been previously described (19) (see Fig. 2A). In brief, the robot actuator 155 consists of a DC motor with a gearbox and a built-in 14-bit encoder that 156 rotates a foot plate. The test-retest reliability of the system, and a reference 157 standard for young neurotypical adults was established in an earlier study 158 (20). Before testing, participants' ankle passive range of motion in 159 plantarflexion and dorsiflexion was assessed. Distance and height of the 160 lateral malleolus from the heel were measured to align the axis of rotation 161 of the ankle joint with the center of rotation of the robot's actuator. 162 Participants sat comfortably on the chair, rested their leg on a custom

163 support to unload the leg and allow for a relaxed placement of the foot on 164 the foot plate at an approximate 90° joint position relative to the shank 165 (neutral position). The tested ankle was the more affected side in adults 166 with stroke, and the dominant side in non-stroke participants. Surface 167 electromyography (EMG) was recorded from tibialis anterior and 168 gastrocnemius to monitor muscular activity in real-time. Trials with 169 detected muscular activity were repeated. Participants were blindfolded 170 and wore headphones playing pink noise to exclude visual and auditory cues (see Fig. 2A). 171

172 Ankle position and motion sense were assessed separately in all 173 participants. A two-alternative forced choice paradigm was applied where 174each trial consisted of a pair of angular position or velocity stimuli 175 (*comparison* vs. *reference*). The order of stimulus pair presentation, 176 comparison and reference, was randomized between trials. For the position 177 sense assessment, the robot plantarflexed the foot from the neutral position 178 to two distinct ankle positions, which were each held for 2s. The reference 179 stimulus position ($P_{\rm R}$) was 15°. The *comparison* stimulus position ($P_{\rm C}$) of variable amplitude ranged between 8.3 - 14.6° across trials. Movement 180 181 speed was varied between each stimulus (5.5 - 6.5°/s) to avoid possible 182 confound from participant's using movement time as a position cue. For 183 motion sense assessment, the ankle robot plantarflexed the participant's 184 foot at two different velocities. The *reference* stimulus velocity ($V_{\rm R}$) was 5°/s. The *comparison* stimulus velocity ($V_{\rm C}$) ranged between 5.2 - 9.4°/s 185

across trials. The details about the control of motion cues (i.e., time and
position) during motion sense assessment have been described earlier (19).
At the end of each trial, participants verbally indicated which movement
they perceived as more plantarflexed or faster (first or second) (see Fig.
2B). Based on the participant's response, the subsequent comparison
position or velocity stimulus was selected by an adaptive Bayesian (*psimarginal*) algorithm (21).

Each assessment consisted of 30 trials (15 - 30min.). The order of the
assessments was randomized between participants (see Fig. 2D). Before
each assessment, participants performed three practice trials to become
familiar with the procedure. Breaks were provided after 15 completed trials
or when the participant requested a rest.

198 Outcome measures

199 After the completion of the 30 trials, a logistic Weibull function was fitted to 200 the stimulus size difference - correct response rate data for each 201 participant. Based on the fitted function, the stimulus size difference 202 corresponding to the 75% correct response rate was determined as the 203 discrimination or just-noticeable difference (JND) threshold representing a 204 measure of bias. The interval of uncertainty (IU), the range of the stimulus 205 size difference between 60% and 90% correct response rate, representing a 206 measure of precision (see Fig. 2C).



207

208 Fig. 2 Experimental setup and procedure. A. Robotic device with participant. B. 209 For each trial, the robot plantarflexed the participant's ankle to two distinct 210 positions or at two different velocities (reference vs. comparison). After 211 experiencing two movements, participants indicated which movement was 212 perceived further/faster (first or second). C. Example of a derived stimulus-213 response psychometric function. The stimulus size difference corresponding to the 214 75% correct response rate represents the JND threshold indicated by the open 215 circle. The IU corresponds the range between the stimulus size difference at 60-216 90th percentile indicated by the green double-headed arrow. **D.** Timeline of the 217 complete experimental procedure. Total duration was around 1.5-2 hours including 218 setup, practice, and breaks.

219 Statistical analysis

220 To obtain sufficient statistical power to detect statistical differences 221 between the stroke and control groups, we performed an *a priori* power 222 analysis based on the data of a group of chronic stroke participants from a 223 previous study (22), which yielded an estimated total sample size of n=10. 224 In addition, we selected the sample size n=12 for both groups to meet the 225 general guidelines recommended for pilot studies (23). Normality of 226 distribution and homogeneity of variances were tested with the Shapiro-227 Wilk and Levene's tests, respectively. A Welch's t-test was performed to 228 determine group differences for the normally distributed JND threshold 229 with unequal variances. Effect size was reported using Cohen's *d* where 230 d=0.2 corresponds to a small, d=0.5 to a medium, and d=0.8 to a large 231 effect size (24). Non-parametric analysis was conducted for IU using the 232 Wilcoxon-Mann-Whitney test since the data were not normally distributed. 233 Effect size was reported, which was considered as small (r < 0.3), medium 234 (0.3 < r < 0.5), and large (r > 0.5) (24). Data outside the 1.5 interguartile 235 range (IQR) were identified as outliers, and outside 3 IQR were extreme 236 outliers. All outliers were included in the analysis since there was no 237 change in the significant results after removing them. Spearman's (r_s) or 238 Pearson's correlation (r) analyses were performed for non-parametric or 239 parametric variables, respectively. In all participants, we examined the 240 relationship between the JND threshold and IU as the two outcome

241 measures of proprioceptive acuity. In addition, brain lesion volume related242 to proprioceptive acuity measures or FMA-LE motor score was examined.

243 Lesion-symptom mapping analysis

244 The MRI analysis was conducted using MRIcron and Statistical Parametric 245 Mapping software (SPM12). The clinical imaging data used for the current 246 lesion analysis were obtained in the acute phase of the participants (~1 day 247 after the stroke). T1-weighted images in LPI orientation (voxel size = 1.00248 1.00 [1.00 mm³) were used for manual lesion delineation on axial, sagittal, 249 and coronal slices of the non-normalized 3D MRI data set to obtain a volume 250 of interest (VOI) representative of the region of impaired tissue using 251 MRIcron (Neuroimaging Tools & Resources Collaboratory, 252 https://www.nitrc.org/projects/mricron). The medical reports with the 253 clinical diagnosis were referred to for lesion delineation. Before the lesion-254 symptom mapping analysis, the individual anatomical MRI data set and 255 lesion volume maps were spatially normalized into a standard proportional 256 stereotaxic space Montreal Neurological Institute (MNI) using the clinical 257 toolbox (https://www.nitrc.org/projects/clinicaltbx/) with SPM12. The lesion 258 volume was registered and resampled to 2.00 [] 2.00 [] 2.00 mm³ voxel size. 259 Lesion volumes for each adult with stroke were calculated based on the 260 bias-corrected normalized lesions. To overlap the individual stereotactically 261 normalized brain lesions, the left-sided lesions were flipped to the right (see

the Supplemental material for details on the MRI-data processingprocedure).

264 To relate lesion location and ankle proprioception after stroke, we 265 conducted a voxel-based lesion subtraction analysis to increase spatial 266 specificity. This descriptive method is recommended for a study with a small 267 sample size (25). Adults with stroke were divided into unimpaired and 268 impaired sub-categories based on their ankle position and motion sense JND 269 thresholds and IUs (within or outside the range of the control group). For 270 each of the voxel, the percentage of adults with stroke without 271 proprioceptive deficits as "unimpaired" was subtracted from the percentage 272 of adults with stroke with proprioceptive deficits as "impaired" that have a 273 lesion at that voxel, following Karnath et al. (25). The resulting frequency 274 maps highlight the voxels/brain areas damaged more frequently in 275 participants with impaired ankle proprioception. After subtraction, only 276 voxels lesioned at least 20% more often in stroke participants with impaired 277 ankle proprioception were considered for descriptive analysis (26). 278 The software R 4.1.2 and MATLAB R2020a were used for statistical and 279 MRI analyses.

280 **Results**

Adults with stroke exhibited a slightly restricted ankle passive range of
motion for ankle plantarflexion in both legs (mean difference: 15-23%) when
compared to the control group. This restricted PROM did not affect testing

Page 15

- as the presented position stimuli were all inside a participant's PROM (for
- 285 detailed data, see Table 2).

Table 2 Descriptive statistics of the passive range of motion (PROM) of the anklejoint for both groups.

	Str	oke group (n =	Control group (n = 13)			
Ankle side	PROM	Mean ± SD (°)	<i>Range</i> (°)	Mean ± SD (°)	<i>Range</i> (°)	
Right	PF	47.1 ± 13.4	30 - 75	55.6 ± 8.3	45 - 70	
ingit	DF	10.8 ± 8.7	0 - 35	18.4 ± 5.2	10 - 30	
Left	PF	42.5 ± 17.5	15 - 75	55.1 ± 8.8	45 - 68	
Leit	DF	6.7 ± 6.2	0 - 15	18.2 ± 5.0	10 - 30	

288 PROM: Passive Range of Motion.

289 Characteristics of impaired ankle position and motion sense in

290 chronic stroke

291 As a group, adults with stroke showed signs of impaired position and motion 292 sense. The proprioceptive dysfunction affected proprioceptive bias as 293 measured by the JND threshold and proprioceptive precision as measured 294 by IU. The respective group and individual participant data are shown in 295 Fig. 3A and B. With respect to position sense, the mean JND thresholds 296 were 1.04° (range: 0.63-1.76°) for the control group, and 1.84° (range: 0.63-297 2.93°) for the stroke group. Compared to healthy controls, adults with 298 stroke exhibited significantly elevated mean JND thresholds (+77%, p=0.03, 299 d=1.02). For motion sense, the mean JND thresholds were 0.66°/s (range: 300 $0.41-1.14^{\circ}$ /s) for the control group, and 1.67° /s (range: $0.64-3.48^{\circ}$ /s) for the 301 stroke group. Compared to healthy controls, the mean JND threshold of 302 adults with stroke was significantly elevated by +153% (*p*<0.01, *d*=1.46). 303 These results indicate that a systematic shift in ankle proprioceptive bias





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Fig. 3 Group data of the proprioceptive outcome measures for proprioceptive bias
(JND threshold) and precision (IU). A-B. Boxplots of position and motion sense for
the stroke and control groups. Each box represents the 25-75th percentile. The

316 middle line within a box represents the median. The solid square represents the 317 mean, the whiskers represent the 1st and 99th percentile. Adjacent circles show all 318 individual subject data and the corresponding distribution. Significant differences 319 are marked based on group comparisons (*: p < 0.05, **: p < 0.01). C-D. 320 Relationship between JND threshold and IU for position sense and motion sense. 321 Each data point represents the coordinates of a JND threshold and corresponding 322 IU of an individual participant. Shown are the data for both groups. The dashed 323 line represents the fit of a linear regression. The red area represents the 95% 324 confidence interval.

325 JND thresholds were above the control group in four adults with stroke 326 $(>1.76^{\circ})$ for position sense, and in eight for motion sense $(>1.14^{\circ}/s)$. In 327 contrast, eight participants with stroke showed IUs above the controls 328 (1.27°) for position sense and four for motion sense (>1.82°/s) (see Table 1). 329 That is, 67% of participants with stroke presented with either impaired 330 position or motion sense as indicated by JND and/or IU, and 50% in both 331 submodalities. Overall, 10/12 (83%) of stroke participants had position 332 and/or motion sense deficits indicating impaired ankle proprioception.

333 Brain lesions associated with ankle proprioceptive dysfunction

Associated brain lesion locations and volumes of stroke participants are
summarized in Table 1. Brain lesions were located within the right cerebral
hemisphere in 6 of the 12 cases, in five cases within the left cerebral
hemisphere, and in one case within the left intradural vertebral artery and
the distal left cervical internal carotid artery (for further details, see Table

1). Lesion volume ranged between 3.1 to 239.5cm³ (mean: 76.3cm³). In the stroke group, higher brain lesion volume was strongly correlated with higher IU for ankle position sense (r=0.75, p<0.01) and decreased FMA-LE motor score (r=-0.76, p=0.01; see Fig. 4), indicating that higher lesion volume was associated with poorer ankle position sense acuity and poorer lower limb motor function.



345

Fig. 4 Correlations between brain lesion volume and proprioceptive and motor
outcome measures of adults with stroke. A. Position sense interval of uncertainty
(IU) and associated lesion volume. B. FMA-LE score and associated lesion volume.
The dashed lines represent the fit of a linear regression. The colored-filled area
represents the 95% confidence interval.

351 When overlaying the MRIs of adults with stroke that exhibited position 352 and/or motion sense JND thresholds outside the range of the control group 353 (i.e., classified as 'impaired', n=7), the region with the highest lesion 354 overlap (7 out of 7) included the insula, frontal orbital and central opercular 355 cortex. In 6 of these 7 adults with stroke, the middle and inferior frontal 356 gyrus, precentral gyrus, parietal opercular cortex, Heschel's gyrus, and the 357 superior temporal gyrus were also affected (see Fig. 5). Overlapping lesions 358 in the postcentral gyrus and the supramarginal gyrus were seen in 5 out of 359 7 participants with stroke. In contrast, in adults with stroke that exhibited 360 normal JND thresholds for position and/or motion sense (i.e., classified as 361 'unimpaired', n=4), the region with the highest overlap (2 out of 4) included 362 the parahippocampal and lingual gyri. This lesion site was not shared with 363 the 'impaired' group (Fig. 5A). Similar results were seen when using IU as 364 the measure to classify participants as 'impaired' (Fig. 5B).



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Fig. 5 Axial, coronal, and sagittal view and a 3D rendering of a brain model with
overlapping lesions contrasting the unimpaired vs impaired ankle proprioception in
adults with stroke. Impaired refers to ankle position and/or motion sense acuity
measures outside the range of the neurotypical control group. A. Lesion overlap
associated with impaired JND threshold. B. Lesion overlap associated with
impaired IU. The bar indicates the degree of overlap among the participants (blue
=1 participant, red > 6 participants).

For the voxel-based lesion subtraction analysis, frequency maps for
position and motion sense were generated. The superimposed frequency
maps revealed that participants with both impaired ankle position and
motion sense based JND threshold and IU had more often lesions in the
primary somatosensory cortex, posterior parietal cortex (i.e., superior
parietal lobule, parietal opercular cortex, angular gyrus), the primary motor

379 cortex, prefrontal areas, the insula, and temporoparietal regions
380 (supramarginal, superior/middle temporal, Heschel's gyri; Additional file 1).
381 This finding indicates both deficits were associated with lesions in similar
382 brain areas. Moreover, medial/lateral occipital cortex lesions were
383 associated with a position sense deficit, while lesions in the temporal pole
384 were associated with motion sense deficits.

385 **Discussion**

386 Proprioceptive signals about ankle position and motion are crucial for the 387 neural control of balance and gait (2), and stroke survivors can present with 388 impaired postural stability (3, 4). Given the lack of objective data on the 389 extent of ankle motion sense impairment post-stroke, our approach coupled 390 robotic technology that delivered precise of position/velocity stimuli with a 391 psychophysical method to objectively assess ankle propriocpetive acuity in 392 chronic stroke. The concurrent assessment of ankle position and motion 393 sense allowed to delineate the relationship between the presence of position 394 and motion sense impairment in stroke survivors. In addition, the 395 underlying brain lesions associated with deficits in both senses were identified. 396

The main findings of our study are summarized as follows: First, both
ankle position and motion sense were affected in the stroke group. Second,
we found evidence that both measures of proprioceptive acuity can be
abnormal, as JND thresholds and the corresponding intervals of uncertainty

Page 22

401 were highly elevated in the stroke group. Third, 83% of adults with stroke 402 exhibited JND thresholds and/or intervals of uncertainty outside the range 403 of the control group for either position or motion sense, and 50% of the 404 stroke group exhibited signs of proprioceptive dysfunction in both senses. 405 Fourth, lesions in primary somatosensory, posterior parietal and motor 406 cortices, insula, and temporoparietal regions were associated with deficits 407 in both senses. Lesions in the temporal pole were associated with impaired 408 motion sense. We will discuss these outcomes in more detail below.

409 **Prevalence of impaired ankle position and motion sense acuity in**

410 chronic stroke

411 This study provides empirical evidence that both ankle position and motion 412 sense are compromised in adults with stroke. It is the first study to 413 systematically examine the extent of impaired motion sense acuity post 414 stroke, investigating proprioceptive bias and precision, and delineating how 415 often motion sense impairment coincides with position sense dysfunction. A 416 recent study (3) examined lower limb somatosensation in 163 ambulatory chronic stroke survivors using the revised Nottingham Sensory Assessment. 417 418 They found that loss in tactile discrimination was most prevalent (up to 419 55%), while proprioceptive impairment was only seen in 19% of stroke 420 survivors. Proprioceptive status was based on movement detection and 421 discrimination of movement direction. Using a foot position matching task, 422 an earlier study (28) reported that 33% (7 out of 21) of stroke survivors 423 showed signs of impaired ankle position sense. Our data document a much

424 higher prevalence of proprioceptive dysfunction with 83 % of stroke 425 participants exhibiting either ankle position or motion sense, and 50% 426 exhibiting deficits in both proprioceptive submodalities. Our data align 427 more closely with previously reported upper limb proprioceptive deficits (7). 428 In this experiment, 58% of their participants with stroke (7 out of 12) 429 exhibited deficits when actively moving the unaffected arm to match the 430 end position or movement speed of their affected side. A related study with 431 a large stroke cohort (n=285, average days post-stroke = 12 ± 15) reported a 432 relative prevalence of adults with stroke were impaired in position matching 433 (57%) and movement matching (65%) (8). Finally, when adults with stroke 434 were tested during their sub-acute phase in an active wrist position 435 matching task, 49% revealed impaired wrist position sense in the 436 contralesional limb and 20% in the ipsilesional limb (29). Thus, our data on 437 ankle position and motion sense together with the findings of studies on 438 upper limb dysfunction following stroke suggest that proprioceptive 439 abnormalities could be more prevalent in stroke survivors than previously 440 detected.

441 Magnitude of impaired ankle position and motion sense acuity in

442 **chronic stroke**

For each proprioceptive sense, our approach yielded two measures of ankle proprioceptive dysfunction. Considering that perceptual accuracy has two aspects, *bias* and *precision*, we obtained JND thresholds as measures of bias and the interval of uncertainty as a measure of precision. This allowed us to 447 determine if impaired proprioception in stroke is characterized either as a 448 shift in *bias*, i.e., the perceiver needs a larger difference between two ankle 449 positions to perceive them as being different, or as an increase in *precision*, 450 i.e., the person's perceptions of the same stimulus become more variable. In 451 terms of the magnitude of the proprioceptive bias, we found that the mean 452 position sense JND threshold of the stroke group was increased by 77% when compared to the control group $(1.84^{\circ} \text{ vs. } 1.04^{\circ})$, with 1/3 of the stroke 453 454 participants having thresholds above the maximum of the control group. 455 This result aligns well with data from a recent study reporting a mean ankle position matching error of 1.8° when stroke patients actively move the 456 457 unaffected ankle to match the position of the affected side (4). With respect 458 to motion sense, the shift in perceptual bias was more pronounced. The 459 mean JND threshold of the stroke group was increased by 153% when 460 compared to the control group $(1.67^{\circ}/\text{s vs. } 0.66^{\circ}/\text{s})$. Importantly, the 461 observed deficits in ankle proprioceptive acuity did not only manifest in a 462 shift in bias, but also presented as enlarged intervals of uncertainty in both 463 ankle position (+148%) and motion sense (+78%).

This implies that stroke not only alters the spatial and temporal resolution of ankle proprioceptive signals, but also affects the consistency of a perceptual response. That is, not only are larger differences between joint positions and velocities needed for the system to distinguish them as being different, but the repeated exposure to the same difference does not lead to a consistent perception of position or motion. Considering that these proprioceptive signals are essential for motor planning and as feedback
during movement execution, it becomes understandable that a motor
control system deprived of accurate and consistent proprioceptive
information will become compromised, unable to react adequately to
sudden mechanical perturbations and becomes especially challenged when
controlling dynamic balance during locomotion.

476 Brain lesions associated with ankle position and motion sense 477 deficits

478 There is substantial evidence demonstrating that a complex network of 479 cortical and subcortical regions is involved in the central processing of 480 proprioceptive information (6, 11, 12). The lesion-symptom mapping results 481 of our study focusing on ankle joint proprioception align with previous 482 studies investigating upper limb proprioceptive and tactile dysfunction in 483 stroke. Beyond primary somatosensory cortex, lesions in the insula and 484 temporoparietal areas (supramarginal, superior temporal, Heschl's gyri) 485 were associated with impaired upper limb position and motion sense after 486 stroke (6, 12). Our data on lower limb proprioception revealed a significant 487 correlation between motion sense acuity and lesion in the anterior insular 488 cortex, which complements the notion that the insular cortex plays a 489 fundamental role in conscious proprioception and body awareness (12). 490 Interestingly, we found that motion sense impairment was associated 491 with lesions affecting the temporal pole as a part of the anterior temporal 492 cortex. Though the functional neuroanatomy of this area is still incompletely 493 understood, there is increasing evidence that it is involved in the 494 multisensory integration of somatosensory, auditory, and visual information. 495 Research on audio-visual speech detection (30), auditory memory 496 processing (31), and functional resting-state MRI (32) demonstrated that 497 the temporal pole functionally connects with the insula, primary 498 somatosensory and motor cortex, and supplementary motor area. Our 499 findings that lesions of the insular cortex and the temporal pole are 500 associated with motion sense dysfunction underline the assumed role of 501 these cortical regions in multimodal sensory integration of dynamic stimuli. 502 The observation that lesions in the medial/lateral occipital cortex were 503 associated with impaired ankle position sense is more difficult to explain. It 504 is widely known that the occipital cortex is associated with visual 505 processing, such as object/face recognition (33). Even though visual and 506 tactile information may converge in this region (34), there is limited 507 evidence indicating a role in proprioceptive processing.

508 The applied lesion analyses in this study have inherent limitations that 509 need to be considered. First, this case-control observational study examined 510 a relatively small group of adults with stroke. The small sample size 511 constrained the possible lesion overlays of each specific brain region. This 512 challenged the interpretation of the association between damaged brain areas and observed proprioceptive impairment. Second, the clinical imaging 513 514 data used for the current lesion analysis were obtained in the acute phase 515 of the participants (≈ 1 day after the stroke). However, the proprioceptive

assessment occurred in the chronic stroke phase (range: 1-12 years). Thus,
acute lesion data were compared to chronic proprioceptive status.
Consequently, the contribution of a particular lesioned brain area to a
specific proprioceptive deficit can only be indirectly established. However,
previous research showed that imaging data obtained in the acute stroke

phase can predict chronic proprioceptive deficits (35).

522 **Conclusions**

521

523 This study was the first to establish the magnitude and prevalence of ankle 524 position and motion sense impairments in chronic stroke. Importantly, these 525 deficits are characterized by elevated JND thresholds and/or increased 526 uncertainty in perceiving ankle position and motion. Lesions in cortical 527 networks of both proprioceptive senses are largely overlapping. 528 Interestingly, lesions in the temporal pole were independently associated 529 with motion sense dysfunction. This opens an avenue for further research to 530 explore the functional role of this specific area in proprioceptive processing.

531 Abbreviations

532 AICHA: Atlas of Intrinsic Connectivity of Homotopic Areas; ACA: Anterior

533 Cerebral Artery; BG: Basal Ganglia; EMG: Electromyography; FMA-LE:

534 Fugl-Meyer Assessment Lower Extremity; IU: Interval of Uncertainty; IQR:

535 InterQuartile Range; ICA: Internal Carotid Artery; JND: Just-Noticeable-

536 Difference; MMSE: Mini-Mental State Examination; MRI: Magnetic

537 Resonance Imaging; MNI: Montreal Neurological Institute; MCA: Middle

- 538 Cerebral Artery; PROM: Passive Range of Motion; PF/DF:
- 539 Plantarflexion/Dorsiflexion; PCA: Posterior Cerebral Artery; SD: Standard
- 540 Deviation; ROI: Region-of-Interest; UMN: University of Minnesota; V4:
- 541 Intradural Vertebral Artery.

542 Supplementary Information

543 Additional file 1. The figures depict the results of lesion subtraction
544 analysis for ankle proprioceptive bias, as measured by JND threshold, and
545 ankle proprioceptive precision, as measured by IU.

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557 Author contributions

- 558 Study conceptualization and design: QH, NE, JK; Data collection and
- 559 processing: QH, NE;

- 560 Statistical analysis and figures: QH; manuscript composition and revision:
- 561 QH, NE, MZ, AVW,
- and JK. All authors have provided critical intellectual input during the
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570 Availability of data and materials

- 571 The datasets of the current study are available from the corresponding
- author upon reasonable request.

573 **Declarations**

- 574 **Ethics approval and consent to participate**
- 575 Adults with stroke were recruited via local stroke support groups, the
- 576 University of Minnesota (UMN) clinic, the UMN StrokeNet team, the
- 577 Minnesota Stroke Association, and the UMN Stroke Center. The study
- 578 protocol was approved by the University of Minnesota Institutional Review
- 579 Board (STUDY00013061). All participants were informed about the
- 580 experiment and voluntarily consented to participate in the study.

581 **Consent for publication**

- 582 All participants gave written informed consent for the publication of the
- 583 study. The participant shown in the Fig. 2A provided written consent for the
- 584 identifying image to be published.

585 **Competing interests**

586 The authors declare no competing or financial interest.

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707

Figures

Figure 1		
Figure 2		
Figure 3		
Figure 4		

Figure 5

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