

Integration of single-cell and bulk transcriptome analyses unravels a macrophage-based gene signature for prognostication and treatment in triple-negative breast cancer

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Research Article

Keywords:

DOI: <https://doi.org/>

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Additional Declarations: No competing interests reported.

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4 **triple-negative breast cancer**

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4 **Abstract:**

5 **Objective:** As a dominant component within the tumor
6 microenvironment, macrophages exert an essential role in almost all
7 aspects of triple-negative breast cancer (TNBC). This work explored
8 macrophage-associated signature genes for prognostication and
9 treatment for TNBC.

10 **Methods:** Single-cell (GSE180286) and bulk transcriptome profiles
11 (TCGA-TNBC, GSE96058 and GSE45255) were analyzed by
12 executing multiple computational approaches. The expression of
13 signature genes was verified in breast cancer cells (MDA-MB-231,
14 and MCF-7) and mammary epithelial cells (MCF10A) through RT-
15 qPCR and western blot. After RNA interference or overexpression,
16 wound scratch assay was implemented.

17 **Results:** A single-cell map of the microenvironment of non-TNBC
18 and TNBC was depicted. Both at the single-cell and bulk levels,
19 macrophages exhibited the higher abundance in TNBC versus non-
20 TNBC. A macrophage-based gene signature was built, containing
21 CTSD, CTSL, ELK4, HSPA8, and XRCC4. High risk score was
22 predictive of worse prognostic outcomes. Based upon external

1 validation, the signature could reliably predict patient prognosis,
2 notably one-year survival. High-risk patients were more responsive
3 to immunotherapy. The aberrant expression of CTSD, CTSL, ELK4,
4 HSPA8, and XRCC4 was proven in breast cancer cells and mammary
5 epithelial cells. Knockdown of XRCC4 attenuated migrative abilities
6 of MDA-MB-231, MCF-7, and MCF10A cells, with opposite findings
7 for overexpressed CTSD, CTSL, and HSPA8.

8 **Conclusions:** Altogether, a novel macrophage-based gene signature
9 was proposed for estimating survival outcomes and treatment
10 response in TNBC. The aberrant expression of the signature genes
11 contributed to tumor aggressiveness. Our findings exert a positive
12 impact on future clinical research involving macrophages in TNBC.

13
14 **Key words:** triple-negative breast cancer; macrophages; signature;
15 prognosis; immunotherapy; migration

16
17 **Background:**

18 Triple-negative breast cancer (TNBC) remains the most lethal
19 form of breast cancer, occupying 15%~20% of all breast
20 malignancies [1]. TNBC cells are extremely aggressive and lack of
21 hormones and growth factor receptors [2]. Because of the absent or
22 low expression of estrogen receptor, human epidermal growth factor

1 receptor 2, and progesterone receptor, TNBC presents the
2 resistance to hormones and endocrine treatment [3]. In comparison
3 to other breast cancer forms, TNBC remains the most challenging
4 owing to more extensive heterogeneity, higher risk of distant
5 metastases and recurrence, and the insufficiency of validated
6 treatment targets [4]. At current, chemotherapy is utilized as the
7 major method against TNBC [5]. Following the development of
8 immunotherapy in solid tumors and verification of the
9 immunogenicity in TNBC, immunotherapy has attracted increasing
10 attention [6-8]. For improving the survival outcomes of TNBC
11 populations, predictive biomarkers for immunotherapy are
12 challenging [9]. Moreover, due to poor therapy response, novel
13 therapy targets and predictive biomarkers for prognosis are urgently
14 needed for TNBC.

15 The accumulation of myeloid cells, especially macrophages, are
16 major components within the tumor microenvironment of TNBC [10].
17 The regulatory mechanisms by which macrophages impact nearly all
18 aspects of TNBC have gained the widespread exploration. For
19 instance, HLF modulates ferroptosis, progression and
20 chemoresistance of TNBC via activation of cancer cell-macrophage
21 communication [11]. Chemotherapy in combination with
22 macrophage suppression induce the abundance of T cells and B cells

1 and durable regression in TNBC [12]. OTUD5-induced
2 deubiquitination of YAP in macrophages contributes to M2
3 phenotype and favors TNBC development [13]. So far, limited
4 macrophage-associated prognostic models have been conducted for
5 TNBC patients, none of which have been applied in clinical practice
6 [14-16]. In this work, through integration of single-cell with bulk
7 transcriptome data, a novel macrophage-relevant gene signature
8 was established for estimating prognostic outcomes and therapy
9 response in TNBC. Additionally, in vitro experiments were utilized to
10 prove the aberrant expression of the signature genes as well as their
11 impact on tumor aggressiveness.

12

13 **Materials and methods:**

14 **Single-cell and bulk transcriptome data acquisition**

15 Through the Gene Expression Omnibus (GEO), raw single-cell
16 RNA sequencing (scRNA-seq) data from four primary TNBC
17 specimens were acquired from the GSE180286 dataset [17]. Three
18 TNBC cohorts with bulk transcriptome profiling and clinical features
19 were obtained from The Cancer Genome Atlas (TCGA) (n=115),
20 GSE96058 (n=3409) [18] and GSE45255 (n=95) [19].

21 **Quality control and preprocessing of scRNA-seq**

22 By executing DropletUtils toolkit [20], empty droplets were

1 distinguished from all cells, followed by removal. Based upon Scater
2 toolkit [21], cells with proportion of mitochondrial genes >10% and
3 proportion of ribosome genes <10% were further removed. The
4 filtered scRNA-seq data were normalized with Seurat toolkit [22].

5 **Principal component analysis (PCA), cell clustering and** 6 **annotation**

7 The top 2000 highly variable genes were screened utilizing
8 Seurat toolkit, and expression profiling was linearly scaled, followed
9 by PCA. Next, principal components (PCs) with large standard
10 deviation were chosen, which were subsequently used for cell
11 clustering. Uniform manifold approximation and projection (UMAP)
12 was then implemented [23]. Marker genes in each cell cluster were
13 determined based upon the criteria of average log₂ fold change (FC)
14 ≥ 0.1 , cell population expression ratio ≤ 0.25 , and adjusted $p \leq 0.05$.

15 **Immune infiltration analysis**

16 CIBERSORTX [24] was adopted make labels based upon the
17 identification results of single cells as reference expression matrix.
18 In accordance with bulk expression matrix, the proportion of
19 identified cells in each sample was computed.

20 **Cell-cell communication**

21 Through executing cellchat package [25], cell-cell interactions
22 were evaluated by use of ligand-receptor pairs. The cell-cell

1 communication networks were visualized through Cytoscape
2 software [26].

3 **Functional enrichment analysis**

4 Gene Ontology (GO) or Kyoto Encyclopedia of Genes and
5 Genomes (KEGG) pathway enrichment analyses were executed by
6 use of clusterProfiler package [27]. KEGG pathways were visualized
7 via pathview web [28]. Gene set enrichment analysis (GSEA) [29]
8 was adopted for determining gene sets with significant difference
9 between groups.

10 **Differential expression analysis**

11 SCENIC computational approach [30] was utilized for guiding
12 the identification of transcription factors. Differentially expressed
13 transcription factors were screened between TNBC and non-TNBC
14 macrophages via limma method under the criteria of $p \leq 0.05$ and $|t|$
15 ≥ 2 [31]. In addition, differentially expressed genes (DEGs) between
16 the groups were selected under the threshold of $|\log_2FC| \geq 0.585$
17 and $q \leq 0.05$.

18 **Least absolute shrinkage and selection operator (LASSO)** 19 **analysis**

20 Differentially expressed transcription factors and DEGs related
21 to TNBC macrophages were selected for univariate cox regression
22 analysis via survival package. Genes with $p \leq 0.05$ were included for

1 LASSO. TCGA TNBC samples were randomized into training or test
2 cohort. By executing glmnet [32], signature genes were selected.
3 The risk score was computed based upon regression coefficients
4 combined with expression of the signature genes. Low- or high-risk
5 patients were defined under the median risk score. The LASSO
6 model was externally verified in the GSE96058 and GSE45255
7 cohorts.

8 **Genetic mutation evaluation**

9 Somatic mutation data and cancer-testis antigen (CTA) number
10 information of TNBC samples were acquired from the TCGA dataset.
11 Through implementing maftools package, somatic mutation was
12 evaluated and visualized [33].

13 **Treatment response estimation**

14 T-cell inflamed score [34], TIDE score [35] as well as expression
15 of immune checkpoint molecules [34] were separately computed for
16 reflecting the response to immunotherapy. Based upon the GDSC2
17 database, IC50 value of drugs was estimated to infer drug response
18 by use of oncoPredict package [36].

19 **Cell culture**

20 Normal human mammary epithelial cells (MCF10A) as well as
21 human breast cancer cells (MDA-MB-231, and MCF-7) from the Cell
22 Bank of Type Culture Collection of the Chinese Academy of Sciences

1 (China) were cultivated in Dulbecco's Modified Eagle Medium (Gibco,
2 USA) with supplementation of 10% fetal bovine serum (Gibco) as
3 well as 1% penicillin-streptomycin in a 5% CO₂ atmosphere at 37 °C.

4 **Real-time quantitative PCR (RT-qPCR)**

5 Total RNA isolation was conducted by use of RNAiso Plus
6 reagent (Takara, China), with complementary DNA synthesis via
7 HiScript III RT SuperMix reagent (Vazyme, China). The primers used
8 were as follows: CTSL, 5'-CTTTTGCCTGGGAATTGCCTC-3' (forward
9 primer), 5'-CATCGCCTTCCACTTGGTC-3' (reverse primer); CTSD,
10 5'-TGCTCAAGAACTACATGGACGC-3' (forward primer), 5'-
11 CGAAGACGACTGTGAAGCACT-3' (reverse primer); ELK4, 5'-
12 TGGACCTCTAATGATGGGCAG-3' (forward primer), 5'-
13 AGGCTTGTTCTTGCGAATCCC -3' (reverse primer); XRCC4, 5'-
14 ATGTTGGTGAAGTGAAGAAAAGCA-3' (forward primer), 5'-
15 GCAATGGTGTCCAAGCAATAAC-3' (reverse primer); HSPA8, 5'-
16 ACCTACTCTTGTGTGGGTGTT-3' (forward primer), 5'-
17 GACATAGCTTGGAGTGGTTCG-3' (reverse primer); GAPDH, 5'-
18 ACAACTTTGGTATCGTGGAAGG-3' (forward primer), 5'-
19 GCCATCACGCCACAGTTTC-3' (reverse primer). RT-qPCR was
20 conducted via ChamQ Universal SYBR qPCR Master Mix (Vazyme).
21 The relative mRNA level was computed with $2^{-\Delta\Delta Ct}$.

22 **Western blot**

1 All protein extraction was executed through RIPA buffer (Cell
2 Signaling Technology, USA), followed by protein quantification via
3 BCA reagent (Cell Signaling Technology). Protein was separated
4 through SDS-PAGE, with subsequent transference onto PVDF
5 membrane (Millipore, Germany). Following blockade in 5% BCA
6 (Yeasen, China) and incubation with specific antibody against CTSL
7 (1/2000; ab200738), CTSD (1/2000; ab75852), XRCC4 (1/1000;
8 ab213729), HSPA8 (1/500; ab51052) or GAPDH (1/2500; ab9485).
9 The bands were developed via enhanced chemiluminescence
10 detection kit (Yeasen).

11 **Transfection**

12 For RNA interference, the transfection of small interfering RNAs
13 (siRNAs) against XRCC4 (si-XRCC4) and negative control siRNA (si-
14 NC) (Invitrogen, USA) into cells was carried out by use of
15 Lipofectamine 2000 transfection reagent (Invitrogen). For gene
16 overexpression, the CTSL, CTSD or HSPA8 overexpressing plasmids
17 named OE-CTSL, OE-CTSD or OE-HSPA8 were transfected into cells.

18 **Wound scratch assay**

19 Cells were planted onto a 6-well plate and grown until confluent,
20 and the monolayer cells were scraped in a straight line utilizing a
21 10 μ L pipette tip. Next, the plate was washed by PBS for removing
22 detached cells. Photographs were acquired at 0, or 24 h after

1 scratching under an Olympus IX71 optical microscope.

2 **Statistical analysis**

3 All the analyses were executed by use of R software (version
4 4.0.3) or GraphPad Prism (version 9.0.1). Difference between two
5 groups was assessed with Student's t test or one-way analysis of
6 variance. Correlation analyses were conducted via Pearson test or
7 Spearman test. Survival curves of overall survival (OS) or disease-
8 free survival (DFS) were visualized via Kaplan-Meier approach, with
9 log-rank test. Receiver operator characteristic curves (ROCs) were
10 plotted via pROC package. $P \leq 0.05$ was considered statistically
11 significant.

12

13 **Results:**

14 **Single-cell and bulk transcriptome analyses unravel cellular** 15 **heterogeneity in TNBC**

16 This study reconstructed a single-cell landscape of TNBC based
17 upon scRNA-seq data from four primary TNBC specimens. Firstly,
18 single cells with empty droplets or low quality were of removal, with
19 2599 / 3267 cells in GSM5457199 sample, 3872 / 4161 cells in
20 GSM5457205 sample, 3755 / 4064 cells in GSM5457208 sample,
21 6233 / 7521 cells in GSM5457211 sample being retained in our
22 analysis (**Supplementary figure 1A-L**). Next, the retained scRNA-

1 seq data were scaled based upon PCA, with PC=9 (**Supplementary**
2 **figure 2A-D**). By use of UMAP approach, the selected single cells
3 were clustered into 14 clusters, with remarkable cellular
4 heterogeneity between TNBC and non-TNBC (**Supplementary**
5 **figure 3A-C**). Also, marker genes in each cell cluster were
6 determined (**Supplementary figure 3D, E**). In combination with
7 the known marker genes of cell types, nine cell populations were
8 classified, composed of B cells (n=698), dendritic cells (n=387),
9 endothelial cells (n=495), epithelial cells (n=9984), fibroblasts
10 (n=350), macrophages (n=732), monocytes (n=32), plasmablasts
11 (n=1412), and T cells (n=2369) (**Figure 1A**). The marker genes were
12 specifically expressed in the corresponding cell populations: MS4A1
13 for B cells, CD1C, and FCER1A for dendritic cells, PECAM1, VWF,
14 CDH5, SELE, and CD34 for endothelial cells, EPCAM, CDH1, and
15 KRT18 for epithelial cells, COL1A1 and PDGFRB for fibroblasts,
16 APOE, CD68, MRC1, MSR1, and CXCL2 for macrophages, FCN1,
17 LILRA5, and S100A8 for monocytes, JCHAIN for plasmablasts, and
18 CD3D, CD3E, CD3G, and CD2 for T cells (**Figure 1B**). These cell
19 populations were notably different between TNBC and non-TNBC,
20 with the higher cell ratio of B cells, dendritic cells, fibroblasts,
21 macrophages, plasmablasts, and T cells, and the lower cell ratio of
22 endothelial cells and epithelial cells in TNBC versus non-TNBC

1 **(Figure 1C)**. We also determined novel marker genes of each cell
2 population **(Figure 1D)**. We also gathered bulk transcriptome
3 profiling of TNBC specimens from the TCGA dataset. By executing
4 CIBERSORTx, the reference matrix of cell markers was established
5 based upon scRNA-seq results, and the relative cell ratios of cell
6 populations were estimated in bulk tissues **(Figure 1E)**. Consistent
7 with scRNA-seq findings, the higher cell ratio of macrophages was
8 found in bulk TNBC than non-TNBC tissues **(Figure 1F, G)**. Thus,
9 macrophages were active in the TNBC microenvironment.

10 **Cell-cell interactions in the TNBC and non-TNBC** 11 **microenvironment**

12 Next, we evaluated cell-cell interactions based upon ligand-
13 receptor pairs in non-TNBC and TNBC, respectively. In comparison
14 to non-TNBC, more active cell-cell interactions were investigated in
15 TNBC, especially macrophages with other cell populations **(Figure**
16 **2A, B)**.

17 **Transcriptional activity of macrophages in TNBC**

18 Totally, 104 transcription factors presented differential
19 expression in TNBC macrophages in comparison to non-TNBC
20 macrophages **(Figure 2C; Supplementary table 1)**, which might
21 transcriptionally regulate macrophage activity in TNBC. In addition,
22 110 DEGs were determined between TNBC and non-TNBC

1 macrophages (**Figure 2D, E**), of which 63 were up-regulated
2 (**Supplementary table 2**) and 47 were down-regulated
3 (**Supplementary table 3**) in TNBC macrophages versus non-TNBC
4 macrophages. The DEGs were notably in relation to signaling
5 transduction, cell surface receptor signaling pathway, response to
6 cytokine, cellular response to cytokine stimulus, etc. (**Figure 2F**). In
7 addition, immune-related pathways were notably enriched by the
8 DEGs, especially antigen processing and presentation (**Figure 2G,**
9 **H**). Altogether, the functional enrichment analysis unraveled the
10 significance of the DEGs in modulating transcriptional activity of
11 macrophages in the microenvironmental niche.

12 **Construction of a novel macrophage-relevant prognostic** 13 **signature for TNBC**

14 The differentially expressed transcription factors and DEGs
15 related to TNBC macrophages were included for univariate-cox
16 regression analysis. Consequently, eight genes exhibited significant
17 associations with TNBC prognosis ($p \leq 0.05$), composed of C12orf60,
18 CTSD, CTSL, ELK4, FCGR2A, FOLR2, HSPA8, and XRCC4. The
19 genes were utilized for construction of a LASSO model. We
20 randomized TCGA TNBC samples into training or test cohort. In the
21 training cohort, LASSO analysis was executed for selecting
22 signature genes with regression coefficient $\neq 0$. Under the lambda

1 minimum = 0.0267. As a result, five signature genes were eventually
2 determined, comprising CTSD, CTSL, ELK4, HSPA8, and XRCC4
3 (**Figure 3A, B**). The macrophage-based prognostic signature was
4 constructed based upon the formula: risk score =
5 $0.859575100676907 * \text{CTSD expression} + 0.0210700891980921 * \text{CTSL expression} + (-0.644138418956012) * \text{ELK4 expression} +$
6 $0.307340530719732 * \text{HSPA8 expression} + 1.31660312733179 * \text{XRCC4 expression}$ (**Figure 3C, D**). Patients with risk score > median
7 risk score were defined as high risk, while those with risk score \leq
8 median risk score were defined as low risk (**Figure 3E**). High-risk
9 group owned more dead or recurred/progressed status versus low-
10 risk group (**Figure 3F, G**). Survival analysis demonstrated the
11 significantly shorter OS time for high-risk patients in the training
12 cohort (**Figure 3H**). Such survival difference was proven in the test
13 and entire cohorts (**Figure 3I, J**). In addition, ROCs were plotted for
14 appraising the predictive efficacy of the model. In the training
15 (**Figure 3K**), test (**Figure 3L**), and entire (**Figure 3M**) cohorts, the
16 model was excellently predictive of patients' one-year survival
17 (AUC>0.9).

20 **Associations of the macrophage-based prognostic model with** 21 **more advanced status**

22 Further analysis was conducted for evaluation of the correlations

1 between the macrophage-based prognostic model and TNBC
2 clinicopathological traits. TCGA patients with more advanced T, N,
3 M stages, and pathological stages presented the notably higher risk
4 score (**Figure 4A-D**). In addition, the risk score displayed a negative
5 association with tumor purity (**Figure 4E**). Both in the GSE96058
6 and GSE45255 cohorts, patients with more advanced histological
7 grades exhibited the prominently higher risk score (**Figure 4F, G**).
8 Altogether, the macrophage-based prognostic model was in relation
9 to more advanced status of TNBC patients.

10 **External verification of the macrophage-based prognostic** 11 **model**

12 The GSE96058 and GSE45255 cohorts were adopted for
13 independently proving the efficacy of the macrophage-relevant
14 signature in patient survival. In the GSE96058 cohort, it was proven
15 that high-risk cases owned worse OS outcomes versus low-risk cases
16 (**Figure 4H**). Also, the model enabled to accurately predict one-year
17 survival (**Figure 4I**). In the GSE45255 cohort, shorter DFS time was
18 investigated in high-risk cases, with the reliable efficacy in DFS
19 prediction (**Figure 4J, K**). These findings proved the generalizability
20 of the macrophage-based prognostic model.

21 **Heterogeneous somatic mutations between low- and high-risk** 22 **TNBC patients**

1 The study quantified TMB score across TNBC samples, with the
2 median TMB of 1.34/MB (**Figure 5A**). Overall, low-risk samples
3 occurred relatively higher TMB score versus high-risk samples
4 (**Figure 5B**). TP53 had the highest mutated frequency both in low-
5 and high-risk samples (**Figure 5C**). OBSCN, UTP20, and KMT2D had
6 significantly higher mutated frequency in high- versus low-risk cases,
7 while FLG occurred significantly lower mutated frequency in low-
8 versus high-risk cases (**Figure 5D, E**). In addition, more frequent
9 co-occurred mutations were investigated in high- than low-risk
10 samples (**Figure 5F, G**).

11 **Molecular mechanisms underlying the macrophage-based** 12 **prognostic model**

13 For biological processes, mitotic spindle assembly checkpoint,
14 and negative regulation of mitotic metaphase anaphase transition
15 and mitotic sister chromatid separation were notably enriched in
16 high-risk samples, while positive regulation of antigen receptor-
17 mediated signaling pathway, and antigen processing and
18 presentation of endogenous antigen, and positive T cell selection
19 were prominently enriched in low-risk samples (**Figure 6A**). For
20 cellular components, preribosome, large subunit precursor, and
21 preribosome were notably enriched in high-risk group, with the
22 significant enrichment of immunoglobulin complex and T cell

1 receptor complex in low-risk group (**Figure 6B**). For molecular
2 functions, 3'-5' DNA helicase activity, single-stranded DNA helicase
3 activity, and WNT-activated receptor activity were enriched in high-
4 risk cases, with the prominent enrichment of peptide antigen binding,
5 C-C chemokine binding, and immunoglobulin receptor binding in
6 low-risk cases (**Figure 6C**). Moreover, for KEGG pathways, DNA
7 replication, RNA polymerase, and mannose type O-glycan
8 biosynthesis presented the notable enrichment in high-risk group,
9 with the notable enrichment of type I diabetes mellitus, allograft
10 rejection, and graft-versus-host disease in low-risk group (**Figure**
11 **6D**).

12 **High-risk patients with higher response to immunotherapy**

13 High-risk group exhibited the higher T-cell inflamed score and
14 lower TIDE score in comparison to low-risk group (**Figure 7A, B**).
15 In addition, the higher ratios of responders to immunotherapy were
16 investigated in high- versus low-risk group (**Figure 7C**). In **Figure**
17 **7D**, CTA number was remarkably higher in low- than high-risk group.
18 Most immune checkpoints comprising CD80, CD86, IDO1, LAG3,
19 LAIR1, PDCD1, HAVCR2, and LGALS3 presented the notably higher
20 expression in high- versus low-risk group (**Figure 7E**). Above
21 findings proved that high-risk patients might respond to
22 immunotherapy.

1 **Heterogeneous sensitivity to drugs between low- and high-risk**

2 **TNBC patients**

3 Low-risk samples presented the notably lower IC50 of BI-2536,
4 and UMI-77, indicative of higher sensitivity to the drugs (**Figure 7F**).

5 Meanwhile, high-risk individuals presented stronger sensitivity to 5-
6 Fluorouracil, AZD2014, GSK2606414, AZD1332, Temozolomide,
7 Oxaliplatin, Epirubicin, Taselisib, Entospletinib, Camptothecin,
8 Dabrafenib, BMS-536924, PF-4708671, Topotecan, AZD8055,
9 Rapamycin, Mitoxantrone, Dactolisib, Vinorelbine, Pictilisib,
10 Foretinib, Ribociclib, GNE-317, Dactinomycin, Buparlisib,
11 Teniposide, Irinotecan, JAK1_8709, Alisertib, AZD5363, AZ960,
12 Palbociclib, LGK974, Gemcitabine, VX-11e, Uprosertib, KU-55933,
13 Trametinib, SCH772984, JAK_8517, and Gallibiscoquinazole.

14 **Experimental verification of the expression of the signature** 15 **genes**

16 We validated the expression of the signature genes in mammary
17 epithelial cells (MCF10A) and two breast cancer cells (MDA-MB-231,
18 and MCF-7). RT-qPCR results showed that CTSL expression was
19 significantly lower in MCF-7 not MDA-MB-231 cells versus MCF10A
20 cells (**Figure 8A**). No significant difference in CTSD expression was
21 detected between MCF10A cells and MDA-MB-231/MCF-7 cells
22 (**Figure 8B**). In comparison to MCF10A cells, lower ELK4

1 expression was found in MDA-MB-231, and MCF-7 cells (**Figure 8C**).
2 XRCC4 expression was remarkably higher in MDA-MB-231 not MCF-
3 7 cells than MCF10A cells (**Figure 8D**). In addition, HSPA8 was
4 found to be remarkably lower in MCF-7 not MDA-MB-231 cells
5 versus MCF10A cells (**Figure 8E**). Western blot results
6 demonstrated that CTSL, and CTSD expression was notably down-
7 regulated and XRCC4 expression was notably up-regulated both in
8 MDA-MB-231, and MCF-7 cells versus MCF10A cells (**Figure 8F**).
9 HSPA8 expression was modestly down-regulated in MDA-MB-
10 231/MCF-7 cells versus MCF10A cells. However, ELK4 expression
11 was not detected in the three cell lines.

12 **Knockdown of XRCC4 attenuates migration of MCF10A, MDA-** 13 **MB-231, and MCF-7 cells**

14 For observing the role of XRCC4 in tumor cell migration,
15 MCF10A, MDA-MB-231, and MCF-7 cells were transfected with
16 specific siRNAs of XRCC4. Consequently, XRCC4 expression was
17 significantly lowered in MCF10A, MDA-MB-231, and MCF-7 cells,
18 notably si-XRCC4#1 and si-XRCC4#2 (**Figure 9A-C**). Wound
19 scratch assay results showed that MCF10A, MDA-MB-231, and MCF-
20 7 cells with si-XRCC4#1 and si-XRCC4#2 had the significantly lower
21 migration rate in comparison to those with si-NC transfection
22 (**Figure 9D-I**). Therefore, silencing XRCC4 attenuated migrative

1 capacities of MCF10A, MDA-MB-231, and MCF-7 cells.

2 **Overexpressed CTSL, CTSD, and HSPA8 mitigate migration of**
3 **MCF10A, MDA-MB-231, and MCF-7 cells**

4 CTSL, CTSD, and HSPA8 were significantly overexpressed by
5 the corresponding gene overexpression plasmids in MCF10A, MDA-
6 MB-231, and MCF-7 cells (**Figure 10A-C**). As illustrated in wound
7 scratch assay results, overexpressed CTSL, CTSD, and HSPA8
8 notably attenuated the migrative rate of MCF10A, MDA-MB-231,
9 and MCF-7 cells (**Figure 10D-I**). Thus, overexpressed CTSL, CTSD,
10 and HSPA8 mitigated migrative capacities of MCF10A, MDA-MB-
11 231, and MCF-7 cells.

12

13 **Discussion:**

14 TNBC is an aggressive subtype characterized by widespread
15 intratumoral heterogeneity [37]. Recent technological development
16 allows for increasingly reliable and integrative single-cell analysis of
17 the tumor microenvironment at the transcriptional level, which
18 facilitates the observation of cell populations and cell-cell crosstalk
19 [38]. In this work, we utilized scRNA-seq in combination with bulk
20 transcriptome data for systematically analyzing the cell components
21 within the tumor microenvironment of TNBC. Tumorigenesis is
22 governed both by genetically altered tumor cells and non-malignant

1 host cells within the tumor microenvironment, extensively impacting
2 tumor progression, metastases, and therapeutic outcomes [39]. We
3 reported that the tumor microenvironment of TNBC was composed
4 of B cells, dendritic cells, endothelial cells, epithelial cells,
5 fibroblasts, macrophages, monocytes, plasmablasts, and T cells.
6 Most of them (B cells, dendritic cells, fibroblasts, macrophages,
7 plasmablasts, and T cells) presented the higher abundance in TNBC
8 versus non-TNBC. In combination with bulk transcriptome analysis,
9 macrophages were significantly abundant in the TNBC
10 microenvironment. In addition, our work provided two maps of
11 cellular interactions within the microenvironment of non-TNBC and
12 TNBC. There was more active cell-cell crosstalk in the TNBC
13 microenvironment, especially macrophages with other cell
14 populations, unravelling the crucial implication of macrophages in
15 TNBC.

16 Inter-patient and intra-tumor heterogeneity complicate the
17 identification of predictive biomarkers and efficient treatment for
18 TNBC [40]. This work proposed a novel macrophage-based
19 prognostic model composed of CTSD, CTSL, ELK4, HSPA8, and
20 XRCC4. High risk score was predictive of poor prognostic outcomes.
21 Based upon external verification, the model enabled to reliably
22 predict TNBC patient prognosis, especially one-year survival.

1 Somatic mutations were extensively heterogeneous between low-
2 and high-risk TNBC patients. Additionally, high-risk patients
3 presented higher responses to immunotherapy in accordance with
4 higher T-cell inflamed score, lower TIDE score, up-regulated
5 immune checkpoint molecules (CD80, CD86, IDO1, LAG3, LAIR1,
6 PDCD1, HAVCR2, and LGALS3). It was also investigated the
7 heterogeneous sensitivity to drugs between low- and high-risk
8 patients. Thus, the macrophage-relevant gene signature displayed
9 the potential in estimating prognostic outcomes and therapy
10 response in TNBC.

11 High XRCC4 expression correlates to undesirable progression-
12 free survival following radiotherapy for TNBC patients. In vitro,
13 silencing XRCC4 sensitizes TNBC cells to ionizing radiation [41].
14 Herein, XRCC4 was proven to be up-regulated in TNBC cells versus
15 healthy cells. Knockdown of XRCC4 contributed to the impaired
16 migrative capacities of MCF10A, MDA-MB-231, and MCF-7 cells.
17 Nuclear CTSL has been determined as a positive biomarker of TNBC
18 [42]. BRCA1 deficiency activates CTSL-induced degradation of
19 53BP1 in TNBC cells. Nuclear levels of CTSL, vitamin D receptor,
20 and 53BP1 act as a triple biomarker signature for stratifying patients
21 with BRCA1 mutation tumors and TNBC, possessing prediction
22 significance for drug response. High CTSD expression is linked with

1 poor recurrence-free survival of TNBC patients, and extracellular
2 CTSD can be measured within the tumor microenvironment, but not
3 in normal breast stroma [43]. A 9-kDa matricellular SPARC fragment
4 released by CTSD displays pro-tumor activity in the TNBC
5 microenvironment [44]. Immunotherapy with CTSD-targeting
6 antibody has been determined as a promising therapeutic regimen
7 against TNBC. HSPA8 has been determined to associate with TNBC
8 survival [45]. CTSL, CTSD, and HSPA8 were down-regulated in
9 breast cancer cells, and their overexpression attenuated migrative
10 abilities of MCF10A, MDA-MB-231, and MCF-7 cells. Altogether, the
11 aberrantly expressed signature genes (XRCC4, CTSL, CTSD, and
12 HSPA8) were in relation to tumor aggressiveness.

13 Nevertheless, more experiments are needed to verify the roles
14 of the signature genes in TNBC aggressiveness. In addition, the
15 efficacy of the macrophage-based gene signature in survival
16 prediction requires to be proven in larger prospective cohorts.

17

18 **Conclusion:**

19 Collectively, based upon the integration of single-cell and bulk
20 transcriptome analyses, this work proposed a novel macrophage-
21 based gene signature (containing CTSD, CTSL, ELK4, HSPA8, and
22 XRCC4) for prediction of survival outcomes and therapy response in

1 TNBC patients. The signature genes were proven to associate with
2 tumor aggressiveness. These findings might positively impact on
3 future clinical research involving macrophages in TNBC.

4 **Abbreviations:**

6 TNBC: triple-negative breast cancer; scRNA-seq: single-cell RNA
7 sequencing; GEO: Gene Expression Omnibus; TCGA: The Cancer
8 Genome Atlas; PCA: principal component analysis; PCs: principal
9 components; UMAP: uniform manifold approximation and projection;
10 FC: fold change; GO: Gene Ontology; KEGG: Kyoto Encyclopedia of
11 Genes and Genomes; GSEA: gene set enrichment analysis; DEGs:
12 differentially expressed genes; LASSO: least absolute shrinkage and
13 selection operator; CTA: cancer-testis antigen; siRNAs: small
14 interfering RNAs; OS: overall survival; DFS: disease-free survival;
15 ROCs: receiver operator characteristic curves.

16 **Acknowledgements:**

18 Not applicable.

19 **Funding:**

21 This research was supported by The Natural Science Foundation of
22 Zhejiang Province, China (Grant No. LY23H160004, LY23H160006),

1 The Medical and Health Research Project of Zhejiang Province
2 (Grant No. 2022KY635, 2023RC007).

3

4 **Availability of data and material:**

5 All data generated or analysed during this study are included in this
6 published article [and its supplementary information files].

7

8 **Authors' contributions:**

9 Xiaowei Wang, Xiaojia Wang conceived and designed the study. Yuan
10 Huang, Yuan Yu and Yabing Zheng conducted most of the
11 experiments and data analysis, and wrote the manuscript.
12 Huangping Zhang, Ziwen Zhang participated in collecting data and
13 helped to draft the manuscript. All authors reviewed and approved
14 the manuscript.

15

16 **Ethics approval and consent to participate:**

17 Not applicable.

18

19 **Consent for publication:**

20 Not applicable.

21

22 **Conflicts of Interest:**

1 The authors declare that they have no competing interests.

2

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9

10 **Figure legends:**

11 Figure 1. Single-cell and bulk transcriptome analyses unravel
12 cellular heterogeneity in TNBC. (A) UMAP mapping the identified
13 cell populations based upon scRNA-seq data. (B) The expression of
14 the used marker genes across diverse cell populations. (C) The cell
15 ratio of each cell population in single-cell TNBC and non-TNBC. (D)
16 The top 10 novel marker genes of diverse cell populations. (E) The
17 relative cell abundance of the identified cell populations in bulk
18 TNBC and non-TNBC tissues. (F, G) Comparison of the cell ratio of
19 each cell population between bulk TNBC and non-TNBC tissues.
20 ** $p \leq 0.01$; *** $p \leq 0.001$.

21 Figure 2. Cell-cell interactions and transcriptional activity of
22 macrophages in TNBC. (A, B) Cell-cell interaction networks in (A)

1 non-TNBC and (B) TNBC. (C) Differentially expressed transcription
2 factors in TNBC macrophages versus non-TNBC macrophages. (D,
3 E) DEGs between TNBC macrophages versus non-TNBC
4 macrophages. (F, G) The main GO and KEGG pathways enriched by
5 the DEGs. (H) Antigen processing and presentation enriched by the
6 DEGs.

7 Figure 3. Construction of a novel macrophage-based prognostic
8 signature for TCGA TNBC. (A) Coefficient profiling in the LASSO
9 analysis. (B) The 10-fold cross-validation results. (C) Univariate-cox
10 regression results of the identified signature genes with TNBC
11 survival. (D) The expression of the signature genes along the risk
12 score. (E) Distribution of risk score among TNBC cases. (F, G)
13 Distribution of alive/dead or disease-free/recurred/progressed status
14 along the risk score. (H-J) OS probability of low- or high-risk patients
15 in the training, test, and entire cohorts. (K-M) One-, three-, or five-
16 year ROCs based upon the prognostic model.

17 Figure 4. Associations of the macrophage-based prognostic model
18 with clinicopathological traits and external validation of the model.
19 (A-C) Distribution of the risk score across diverse T, N, M stages in
20 the TCGA TNBC cohort. (D) Distribution of the risk score across
21 distinct pathological stages of TCGA TNBC cases. (E) Correlation
22 between the risk score and tumor purity among TCGA TNBC cases.

1 (F, G) Distribution of the risk score among diverse histological
2 grades in the GSE96058 or GSE45255 cohort. (H) OS probability of
3 low- or high-risk patients in the GSE96058 cohort. (I) One-, three-,
4 or five-year ROCs on the basis of the model among the GSE96058
5 samples. (J) DFS probability of low- or high-risk patients in the
6 GSE45255 cohort. (K) One-, three-, or five-year ROCs based upon the
7 model among the GSE45255 samples.

8 Figure 5. Heterogeneous somatic mutations between low- and high-
9 risk TNBC patients. (A) Distribution of TMB score across TNBC
10 samples. (B) Comparison of TMB score in high- versus low-risk
11 groups. (C) The top ten mutated genes across low- and high-risk
12 samples. (D, E) Comparison of the frequency of mutated genes in
13 low- or high-risk group. (F) Co-occurred or mutually exclusive
14 mutated genes in high-risk samples. (G) Co-occurred or mutually
15 exclusive mutated genes in low-risk samples. NS: no significance;
16 * $p \leq 0.05$; ** $p \leq 0.01$.

17 Figure 6. Molecular mechanisms underlying the macrophage-based
18 prognostic model. (A-D) GSEA showing the main biological processes,
19 cellular components, molecular functions, and KEGG pathways that
20 were significantly different between high- and low-risk groups.

21 Figure 7. High-risk patients with higher response to immunotherapy.

22 (A, B) Comparison of (A) T-cell inflamed score and (B) TIDE score

1 between low- and high-risk TCGA TNBC patients. (C) Distribution of
2 the ratio of responders or non-responders to immunotherapy in low-
3 or high-risk group. (D) Difference in CTA number between groups.
4 (E) Comparison of the expression of immune checkpoints between
5 groups. (F) Difference in the sensitivity to drugs between groups. NS:
6 no significance; * $p \leq 0.05$; ** $p \leq 0.01$; *** $p \leq 0.001$.

7 Figure 8. Experimental verification of the expression of the signature
8 genes. (A-E) RT-qPCR of the mRNA expression of CTSL, CTSD, ELK4,
9 XRCC4, and HSPA8 in MCF10A, MDA-MB-231, and MCF-7 cells. (F)
10 Western blot of the expression of CTSL, CTSD, XRCC4, and HSPA8
11 in MCF10A, MDA-MB-231, and MCF-7 cells. NS: no significance;
12 ** $p \leq 0.01$; *** $p \leq 0.001$; **** $p \leq 0.0001$.

13 Figure 9. Knockdown of XRCC4 attenuates migration of MCF10A,
14 MDA-MB-231, and MCF-7 cells. (A-C) RT-qPCR of the mRNA
15 expression of XRCC4 in MCF10A, MDA-MB-231, and MCF-7 cells
16 transfected with XRCC4 siRNAs or controls. (D-I) Wound scratch
17 assay results of (D, E) MCF10A, (F, G) MDA-MB-231, and (H, I) MCF-
18 7 cells with XRCC4 siRNAs or controls. Bar, 20, or 50 μm . ** $p \leq 0.01$;
19 *** $p \leq 0.001$; **** $p \leq 0.0001$.

20 Figure 10. Overexpressed CTSL, CTSD, and HSPA8 mitigate
21 migration of MCF10A, MDA-MB-231, and MCF-7 cells. (A-C) RT-
22 qPCR of the mRNA expression of CTSL, CTSD, and HSPA8 in

1 MCF10A, MDA-MB-231, and MCF-7 cells transfected with the
2 corresponding gene overexpression plasmids. (D-I) Wound scratch
3 assay results of (D, E) MCF10A, (F, G) MDA-MB-231, and (H, I) MCF-
4 7 cells transfected with empty vectors or CTSL, CTSD or HSPA8
5 overexpression plasmids. Bar, 20, or 50 μm . * $p \leq 0.05$; ** $p \leq 0.01$;
6 *** $p \leq 0.001$; **** $p \leq 0.0001$.

7

8 **Supplementary files:**

9 Supplementary figure 1. Quality control of scRNA-seq data. (A-L)
10 Removal of empty droplets and single cells with proportion of
11 mitochondrial genes $> 10\%$ and proportion of ribosome genes $< 10\%$
12 in (A-C) GSM5457199; (D-F) GSM5457205; (G-I) GSM5457208; and
13 (J-L) GSM5457211 specimens.

14 Supplementary figure 2. PCA of scRNA-seq data after quality control.
15 (A) The top 2 PCs. (B) Selection of the appropriate number of PCs.
16 (C) PCA plots of single cells from TNBC and non-TNBC. (D) The top
17 20 marker genes of the top 9 PCs.

18 Supplementary figure 3. Single cell clustering analysis. (A) UMAP
19 for clustering single cells into diverse clusters. (B) Distribution of
20 TNBC and non-TNBC single cells. (C) The cell ratio of the identified
21 clusters between TNBC and non-TNBC. (D) The top 10 marker genes
22 identified in each cell cluster. (E) The top one marker gene of each

1 cell cluster.

2 Supplementary table 1. Differentially expressed transcription
3 factors in TNBC macrophages versus non-TNBC macrophages.

4 Supplementary table 2. Up-regulated genes in TNBC macrophages
5 versus non-TNBC macrophages.

6 Supplementary table 3. Down-regulated genes in TNBC
7 macrophages versus non-TNBC macrophages.

Figures



Figure 6



Figure 7



Figure 8



Figure 9



Figure 10



Figure 11



Figure 12



Figure 13



Figure 14



Figure 15

Supplementary Files

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