

# Was the 2022 drought in the Yangtze River Basin, China more severe than other typical drought events by considering the natural characteristics and the actual impacts?

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## Research Article

**Keywords:** Drought distribution, return period, drought impact, Yangtze River Basin

**Posted Date:** December 5th, 2023

**DOI:** <https://doi.org/10.21203/rs.3.rs-3696722/v1>

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**Additional Declarations:** No competing interests reported.

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**Version of Record:** A version of this preprint was published at Theoretical and Applied Climatology on April 11th, 2024. See the published version at <https://doi.org/10.1007/s00704-024-04938-2>.

1 **Was the 2022 drought in the Yangtze River Basin, China more severe**  
2 **than other typical drought events by considering the natural**  
3 **characteristics and the actual impacts?**

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17

## 18 **Abstract**

19 The Yangtze River Basin (YRB), China, experienced record-breaking multiple season  
20 droughts in 2022, but also other severe drought events in recent history. This study  
21 examined the spatiotemporal characteristics of the 2022 drought in the YRB and  
22 compared this event with other extreme drought events in 1951 to 2022 from multiple  
23 perspectives, including spatial distribution, temporal evolution, return period, and  
24 drought losses. Six other extreme drought events were selected by the severity of water  
25 deficiency. The results showed that a “whole-basin” drought, which covered nearly the  
26 entire region, was evident in the summer and autumn of 2022 compared with other  
27 drought years. The return period was more than 1000 years (considering both  
28 temperature and precipitation), also severer than the six other drought years. Although  
29 the 2022 drought was much more extreme than other drought years from a natural  
30 perspective, the actual crop impacted area ratio was less than those in other drought  
31 years. This indicates the importance of drought relief measures. As for the drought  
32 attribution in the YRB, the El Niño/Southern Oscillation (ENSO) played a key role in  
33 explaining its occurrence, significant at different lag times. These results may help  
34 policymakers to comprehensively understand the typical extreme droughts in the YRB  
35 and rationally allocate funds for drought relief.

36 **Keywords:** Drought distribution; return period; drought impact; Yangtze River Basin

## 37 **1 Introduction**

38 Under the influence of global warming, the frequency of hot and dry events shows an

39 increasing tendency, in both arid and humid regions, and with the evident potential  
40 expansion of drylands in the future (Liu et al., 2023a), the drought risk would be much  
41 higher. For instance, Samaniego et al. (2018) showed that compared with the Paris  
42 target of 1.5 K, a scenario with a global average warming of 3 K would increase the  
43 area of drought in Europe. Drought events similar to the 2003 European drought (Ciais  
44 et al., 2005) would occur more than twice as often.

45 A highly heterogeneous connectivity structure underlying global drought events  
46 has been detected, implicating the possibility of simultaneous large-scale droughts  
47 across multiple continents (Mondal et al., 2023). In the summer of 2022, many regions  
48 in the Northern Hemisphere experienced record-breaking extreme drought events  
49 (NOAA, 2022), such as the Europe, the United States (<https://ndma.gov.in/>), and  
50 southern China. At the peak of the 2022 drought in China, 52.45 million people and  
51 6.09 million hectares of crops were affected, and direct economic losses amounted to  
52 CNY 51.28 billion (MEMC, 2023).

53 Studies have suggested that climate change not only increases the probability of  
54 drought but also changes drought characteristics (Dai, 2013; Zhao et al., 2023). Drought  
55 events have set new extreme records throughout history, and with future global  
56 warming, the projected percentage changes are higher for the frequency of rarer events  
57 (Zhou and Qian, 2021). The highest frequency and maximum duration of drought have  
58 been concentrated in East Asia, especially in North and Southwest China (Zhang and  
59 Zhou, 2015; Ding and Gao, 2020), and drought range in the south of China has

60 expanded significantly, occurring frequently since the beginning of the 21st century  
61 (Zhang et al., 2020).

62 The YRB is located in southern China and spans three major economic zones:  
63 eastern, central, and western China. It accounts for nearly 20% of China's land area and  
64 more than 36% of the China's water resources (Guan et al., 2015; Liu et al., 2016).  
65 Although the YRB's huge water resource endowment is its greatest characteristic, the  
66 frequency of droughts has also increased throughout its history due to the uneven spatial  
67 and temporal distributions of precipitation (Gemmer et al., 2008). The upper reaches of  
68 the YRB (mainly Sichuan and Chongqing) were influenced by severe drought during  
69 the summer of 2006 (Zhang et al., 2008a; Dai et al., 2011). The middle and lower  
70 reaches of the YRB were reported to have suffered a drought event with 50-year return  
71 period during the spring of 2011 (Lu et al., 2014). In 2019, a record-breaking drought  
72 propagated across the mid–lower reaches of the YRB during the post-monsoon season  
73 (Xu et al., 2020). In the summer of 2022, a record-breaking drought occurred again in  
74 the YRB (Liang et al., 2023; Liu et al., 2023b), which led to the shrinkage of Poyang  
75 Lake and power limitation in Sichuan Province (Sun et al., 2022). Unfortunately, in the  
76 future, the drought magnitude is anticipated to shift from moderate and severe to  
77 extreme and exceptional (Sun et al., 2019), and the risk of an energy deficit caused by  
78 extreme drought in the YRB will also be increased (Liu et al., 2023c). Despite the  
79 natural evolution characteristics of a single drought event were analyzed thoroughly,  
80 the lack of actual crop area affected by drought and typical droughts comparison

81 limiting systematic analysis of drought severity.

82         Researchers have also attempted to investigate the physical drivers of the evolution  
83 of drought. The ENSO is regarded as the predominant large-scale driver of compound  
84 droughts, with 68% of the historical events in the world occurring under El Niño or La  
85 Niña conditions (Steiger et al., 2021; Singh et al., 2022). Jiang et al. (2006) showed that  
86 El Niño events are strongly associated with floods, whereas La Niña events are strongly  
87 associated with droughts in the YRB. Xu et al. (2020) suggested that a strong central  
88 Pacific El Niño event contributed ~60% of the extreme 2019 drought intensity in the  
89 YRB owing to the tropical Pacific air–sea interaction. However, the influence of the  
90 ENSO on the distribution of typical drought events with different lag times in the YRB  
91 remains unknown.

92         Past studies mainly focused on the 2022 drought in the YRB at the certain point of  
93 evolution feature or attribution, rather than comparing its severity by the combined  
94 characterization of drought hazards both from natural and actual impact loss aspects.  
95 Extreme droughts occur frequently in the YRB, and once an extreme drought occurs, it  
96 is often said to be a historically severe record. Lacking information on the droughts’  
97 severity comparison means that the perception cannot be accurately and  
98 comprehensively for the public and decision-making persons. Thus, there is great  
99 scientific and societal interest in comparatively understanding the severity of 2022  
100 drought in the YRB.

101         In this study, we will comprehensively analyze and judge the severity of 2022

102 drought in the YRB from the following aspects: (1) analyzing the drought distribution  
103 pattern, drought evolution, drought return period compared with other typical historical  
104 droughts. (2) not only considering meteorological feature but also comparing the actual  
105 drought impact loss. (3) investigating the effect of the ENSO on the 2022 drought.

## 106 **2 Materials and methods**

### 107 **2.1 Materials**

108 To investigate the severity of 2022 drought in the YRB, climate elements, the recorded  
109 data about the actual drought impact, and the ENSO index were used.

110 The climate elements were monthly precipitation (P) and maximum and minimum  
111 surface air temperatures (Tmax and Tmin) from January 1951 to December 2022, which  
112 were provided by the ECMWF (Muñoz Sabater, 2019, ERA5-Land monthly averaged  
113 data, [https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-land-monthly-](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-land-monthly-means?tab=form)  
114 [means?tab=form](https://cds.climate.copernicus.eu/cdsapp#!/dataset/reanalysis-era5-land-monthly-means?tab=form)). The datasets had a spatial resolution of  $0.1^\circ \times 0.1^\circ$ .

115 Actual drought loss data consist primarily of crop areas impacted by drought and  
116 total sowing areas in the YRB. Administrative provinces of Sichuan, Chongqing,  
117 Guizhou, Hubei, Hunan, Jiangxi, and Anhui (located in the YRB) were considered. The  
118 total sowing areas and drought affected areas of these provinces during 1951-2022 were  
119 obtained from the China Flood and Drought Disaster Prevention Bulletin  
120 (<http://www.mwr.gov.cn/sj/#tjgb>) and the study conducted by Zhang et al. (2008b).

121 The ENSO index was obtained based on the tropical Pacific Ocean Sea surface  
122 temperature anomaly (SSTA) in the Niño 3.4 region ( $5^\circ\text{N}$ – $5^\circ\text{S}$ ,  $120^\circ$ – $170^\circ\text{W}$ ), which



123 was provided by the NOAA Climate Prediction Center  
124 ([https://origin.cpc.ncep.noaa.gov/products/analysis\\_monitoring/ensostuff/ONI\\_v5.php](https://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php)  
125 ).

## 126 **2.2 Research methods**

### 127 **2.2.1 Typical historical drought selection**

128 Despite being in China's humid zone, the YRB continues to experience varying degrees  
129 of drought each year (Wang and Yuan, 2021). Considering that a water deficit for a  
130 period of time is the basic requirement for a drought event, we first focused on the  
131 difference between precipitation (P) and potential evapotranspiration (PET) in the YRB  
132 and defined extreme water deficit years with lower than the 10% quartile of the  
133 difference between annual P and PET. The similarities and differences between these  
134 historical drought years and 2022 drought were then investigated.

### 135 **2.2.2 Drought quantification**

136 Considering both P and PET, the standardized precipitation evapotranspiration index  
137 (SPEI) on a 3-month time scale was used to quantify the YRB drought phenomenon. It  
138 can be calculated in three main steps: (1) calculate the difference between monthly P  
139 and PET on 3-month time scales (abbreviated as *D* series); (2) calculate the cumulative  
140 distribution function (CDF) of the *D* series based on the log-logistic distribution  
141 function; and (3) normalize the CDF to the standard normal distribution and obtain the  
142 SPEI values (Vicente-Serrano et al. 2010). Five drought degrees were categorized: non-  
143 drought ( $\text{SPEI} > -0.5$ ), light drought ( $-1 < \text{SPEI} \leq -0.5$ ), moderate drought ( $-1.5 < \text{SPEI}$

144  $\leq -1$ ), severe drought ( $-2 < \text{SPEI} \leq -1.5$ ), and extreme drought ( $\text{SPEI} \leq -2$ ) (CMA, 2017).

### 145 **2.2.3 Methods for drought severity analysis**

146 First, the Empirical Orthogonal Function (EOF) was used for the spatiotemporal  
147 decomposition of drought in different season, and help to understand the main pattern  
148 mode of 2022 drought in each season during the study period. EOF analysis mainly  
149 involves two components: eigenvectors (EOFs), and principal components (PCs). In  
150 this study, spring, summer, autumn, and winter drought were indicated by SPEI in May,  
151 August, November, and February, respectively. The detail calculation process could be  
152 found in the literature of Zhou and Liu (2017).

153 Second, the distributions of different drought degrees were presented, and the  
154 exchange between drought degrees from January to December were analyzed. This  
155 helps to understand the process of 2022 drought propagation.

156 Third, we used the joint return period to compare the 2022 drought severity from  
157 both P and T. (1) According to the Kolmogorov–Smirnov (K–S) test results, logistic  
158 and generalized extreme value distributions were used to fit the respective percentages  
159 of annual precipitation anomalies and annual mean temperature anomalies during 1951-  
160 2022 in the YRB. (2) The Frank copula function was used to fit these bivariate variables,  
161 and obtained the joint probability ( $P_{joint}(P < P_0, T > T_0)$ ). Finally, one-dimensional  
162 and two-dimensional drought return period were obtained ( $\text{Return period} = \frac{1}{P_{joint}}$ ).  
163 More detail calculation of drought return period based on copula function could be  
164 found in Zhao et al. (2023a).

## 165 **3 Results**

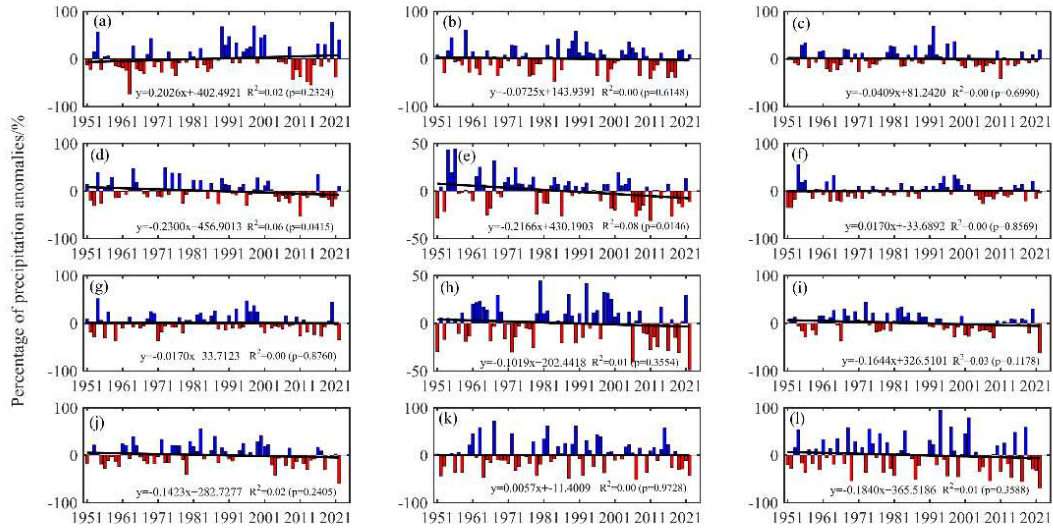
### 166 **3.1 Trends of precipitation and temperature in the YRB**

167 On average, climate element of P in the YRB presented a decreasing trend in almost all  
168 months (except January, June, and November), and the highest downward trend rate  
169 was in April, reaching  $-0.23\%/a$  ( $p < 0.05$ ). Before June 2022, the regional average P  
170 was not the lowest compared with other years. After July 2022, P significantly  
171 decreased in the range of  $-34.3\%$  to  $-66.1\%$ , reaching the lowest level in history (Figure  
172 1).

173 The mean temperature ( $T_{mean}$ ) in the YRB showed an increasing trend in each  
174 month (except January) during the study period. The increasing trend rate was the  
175 highest in winter, i.e.,  $2.95\%/a$  and  $2.65\%/a$  ( $p < 0.05$ ) in December and February,  
176 respectively. In Figure 2, the higher  $T_{mean}$  in June 2022 was not rare compared with  
177 other years, such as June 1953, 1956, 1961, 2005, 2006, 2009, 2013, and 2020-2021.  
178 As for July and August during 1951-2022, the  $T_{mean}$  was extreme in 2022, especially  
179 in August, where the  $T_{mean}$  was  $15.3\%$  above the perennial average value (Figure 2).

180 The increasing  $T_{mean}$  and decreasing P trends were evident at the annual scale  
181 (Figure A.1). Herein, we considered the water deficit difference between P and PET and  
182 took the 10% quantile as the extreme threshold to select seven years with extreme water  
183 deficits during the past 72 years: 1953 (558 mm), 1966 (586 mm), 2006 (517 mm),  
184 2009 (576 mm), 2011 (484 mm), 2013 (529 mm), and 2022 (383 mm). In the following  
185 sections, the comparison between the drought characteristics in these seven years is

186 investigated from different perspectives.

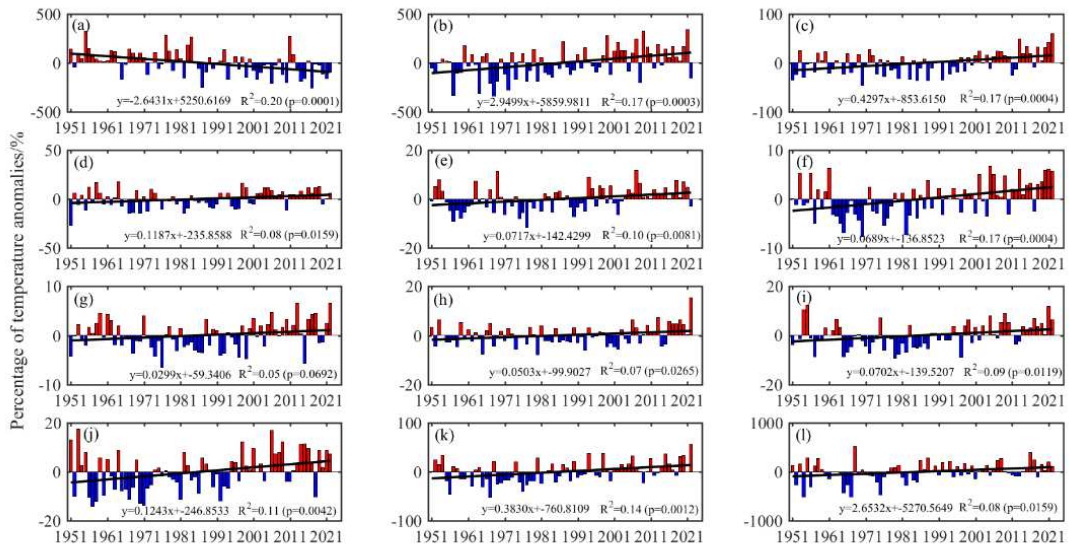


187

188 Figure 1. Percentage change in precipitation anomalies for each month in the YRB (a–

189

l represents January–December).



190

191 Figure 2. Percentage change in mean temperature anomalies for each month in the

192

YRB (a–l represents January–December).

193 **3.2 The severity of 2022 drought compared with other historical drought years**  
194 **from different perspectives**

195 **3.2.1 Drought distribution pattern**

196 Figure 3 and Figure 4 show the first two main decomposition modes (EOF1 and EOF2)  
197 and the time coefficients (PC1 and PC2) of the SPEI in different seasons (the  
198 cumulative interpretation rate reached 44%).

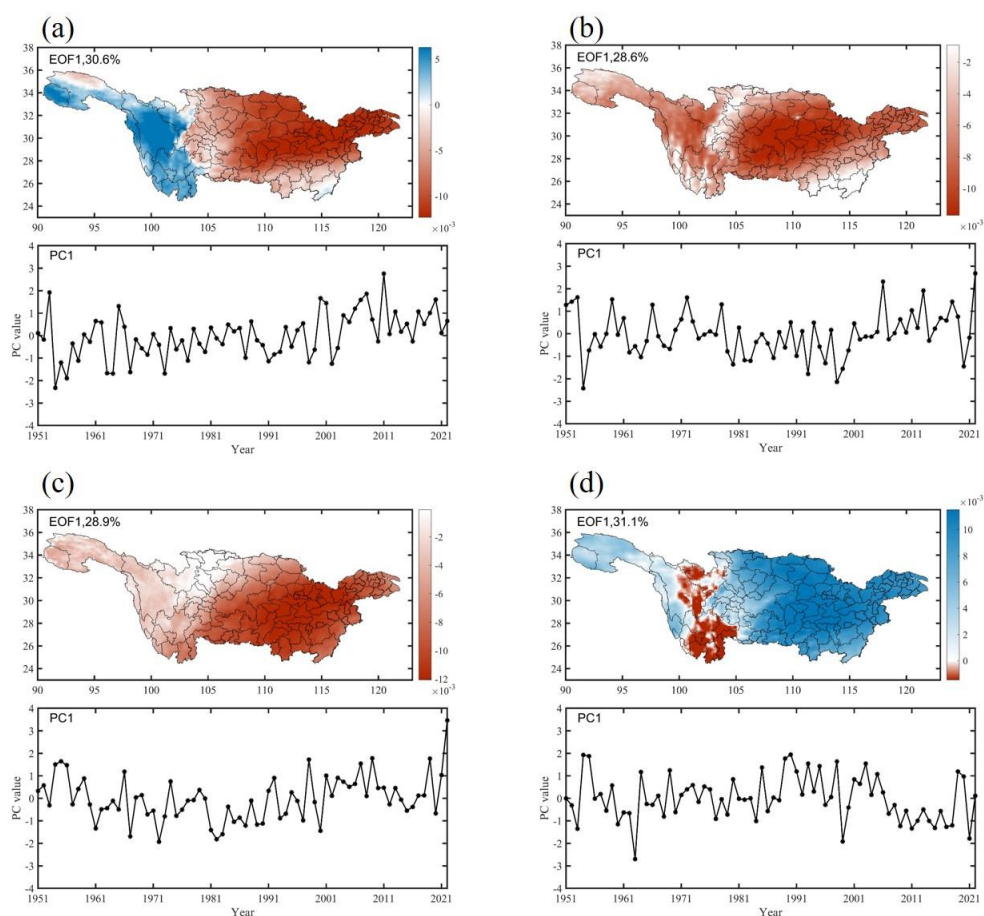
199 In spring, the first mode (Figure 3(a), EOF1) showed that an east–west opposing  
200 distribution pattern of drought and wetness existed in the YRB, with the most  
201 representative years being 1953 and 2011 (higher absolute PC1 values are presented in  
202 Figure 3(a)). EOF2 showed that there was also a north–south opposing distribution  
203 pattern of drought and wetness in the YRB, while it was not representative of these  
204 seven typical drought years.

205 In summer and autumn (the flood season (JJA) in China), drought or wetness in the  
206 whole basin was the main distribution pattern (Figure 4(b) and 4(c), EOF1), such as the  
207 summer drought in 1953, 1966, 2006, 2013, and 2022, and the autumn drought in 1966,  
208 2009, and 2022. The second mode indicated that there was still an east (upstream)–west  
209 (middle and downstream) opposing distribution pattern of drought or wetness in  
210 summer, and a north–south opposing distribution pattern in autumn. However, the  
211 second drought distribution pattern was not evident in the seven typical drought years.

212 In winter, the first mode (EOF1) presented a whole-basin distribution pattern of  
213 drought or wetness, and the second mode (EOF2) showed that the distribution pattern

214 of drought (wetness) was mainly concentrated in the south and wetness (drought)  
 215 mainly concentrated in the north of the YRB was also usually occurred. Among the  
 216 seven typical extreme drought years, few fit the two drought distribution patterns  
 217 described above, except for 1953 and 2013, which indirectly suggests that the typical  
 218 droughts analyzed in this study did not typically occur in the winter months.

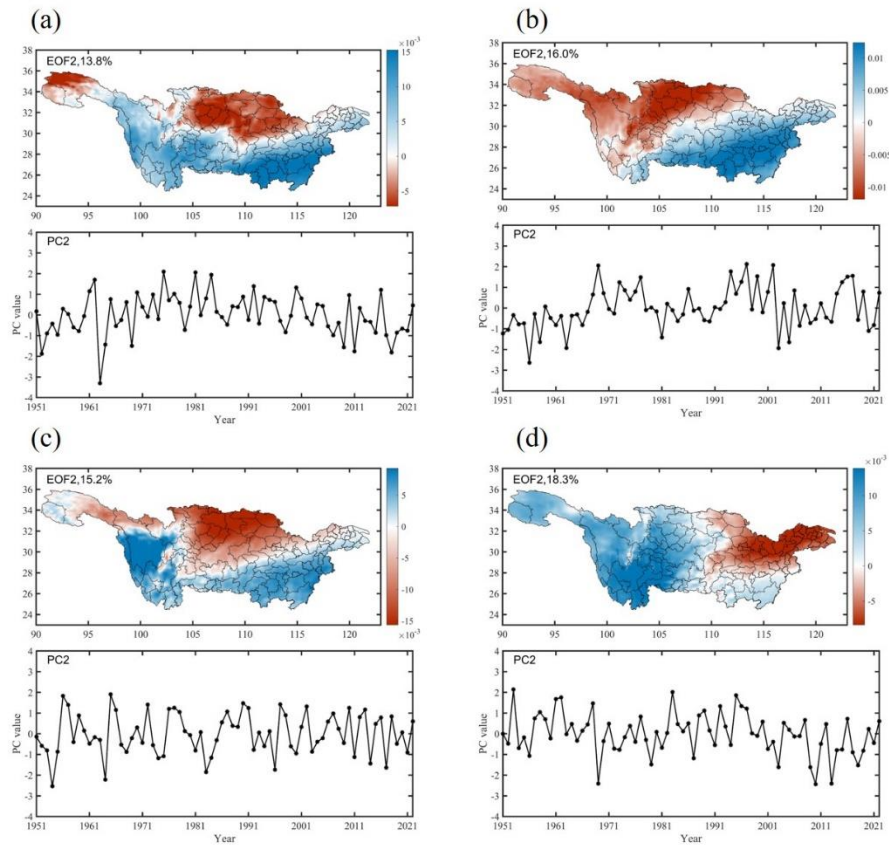
219 Comparatively, the distribution pattern of the summer drought in 2022 was similar  
 220 to that in other drought years, while the 2022 drought lasted from summer to autumn  
 221 and winter, which was rare among other historical droughts.



222

223 Figure 3. The temporal and spatial distributions of drought in the YRB based on the

224 first EOF mode (a: spring, b: summer, c: autumn, and d: winter).



225

226 Figure 4. The temporal and spatial distributions of drought in the YRB based on the

227

second EOF mode (a: spring, b: summer, c: autumn, and d: winter).

228

### 3.2.2 Drought evolution

229

Although the water deficit degree was in an extremely low state for 2022 drought and

230

other six drought years, the drought evolution process varied each month (Figure 5 and

231

Figure B.1-B.12).

232

The 1953 drought mainly occurred from January to September, and from January

233

to June, extreme drought was concentrated in the middle and lower reaches of the YRB.

234

From July to September, the drought spread to the upper reaches of the YRB, and a

235

pattern of drought in the entire basin formed, but the drought degree gradually

236

decreased. After October, the drought gradually eased (Figure B.1 and Figure B.2).

237 In 1966, the drought distribution from January to June was scattered in the basin,  
238 and the propagation mainly focused on light and moderate degrees of drought. After  
239 June, the drought-impacted area began to concentrate in the middle and lower reaches  
240 of the YRB, and the area impacted by severe and extreme drought gradually expanded  
241 from August to October (Figure B.3 and Figure B.4).

242 In 2006, most parts of the YRB were covered by light and moderate drought in  
243 May, and the area affected by severe and extreme drought gradually increased from  
244 June to August, concentrated in the middle reaches. Finally, the severity of the drought  
245 eased in October (Figure B.5 and Figure B.6).

246 The 2009 drought showed an interconversion between light and moderate drought  
247 from March to September. The drought severity increased in the southeast and  
248 southwest of the YRB in October and November, while it eased in December (Figure  
249 B.7 and Figure B.8). The drought process in 2009 was similar to that in 2006.

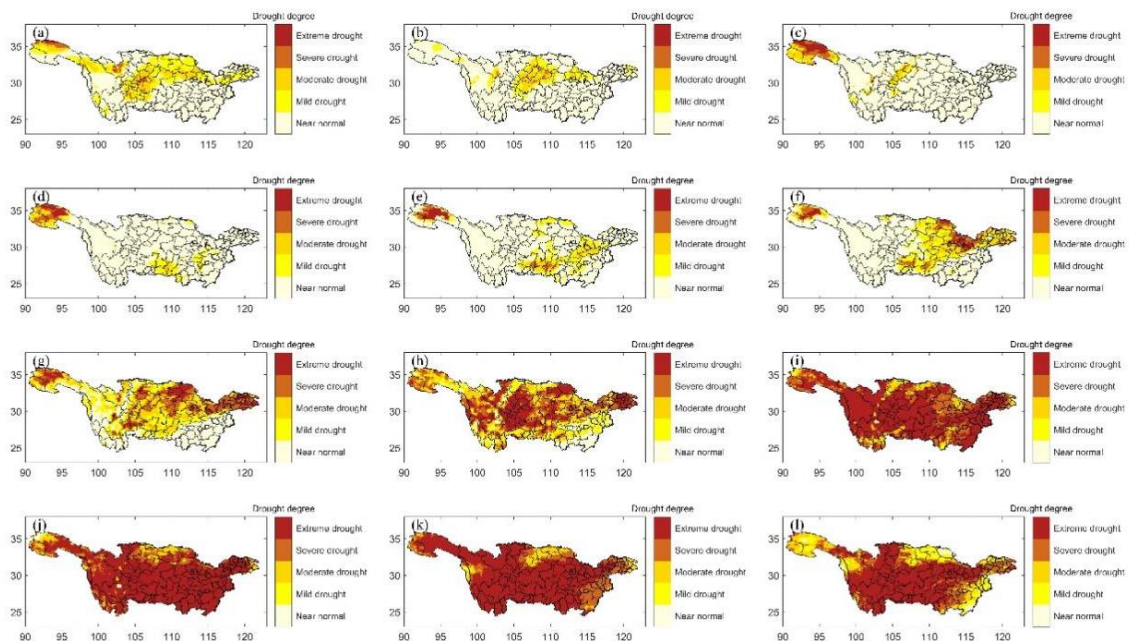
250 The 2011 drought propagation was concentrated mainly from January to June.  
251 Severe and extreme drought began to gradually shift from the southeast (lower and  
252 middle reaches) to the northwest (upper reaches) from February to May. After May, the  
253 drought degree began to decrease, the area impacted by severe and extreme droughts  
254 gradually shifted to the southwest, and drought in the whole basin finally began to ease  
255 after November (Figure B.9 and Figure B.10).

256 In 2013, severe and extreme droughts occurred mainly in spring, and the impacted  
257 area was concentrated upstream of the YRB. The peak of the severe- and extreme-



258 drought-impacted area in the southeastern YRB in July–August was smaller than the  
 259 peak in March (Figure B.11 and Figure B.12), which was similar to the drought peak  
 260 distribution in 2011, whereas before July, the drought severity and affected area were  
 261 not comparable to those in 2011.

262 The evolution of the 2022 drought showed obvious differences compared with  
 263 other years. From January to June, more than 50% of the basin was unaffected by  
 264 drought, and after July, the proportion of light and moderate drought started to increase,  
 265 concentrated in parts of the middle and lower reaches of the YRB. Meanwhile, the  
 266 proportion of severe and extreme droughts rapidly increased after July, spread across  
 267 the entire basin in September, and then continued until December with no mitigation  
 268 tendency (Figure 5 and Figure 6).

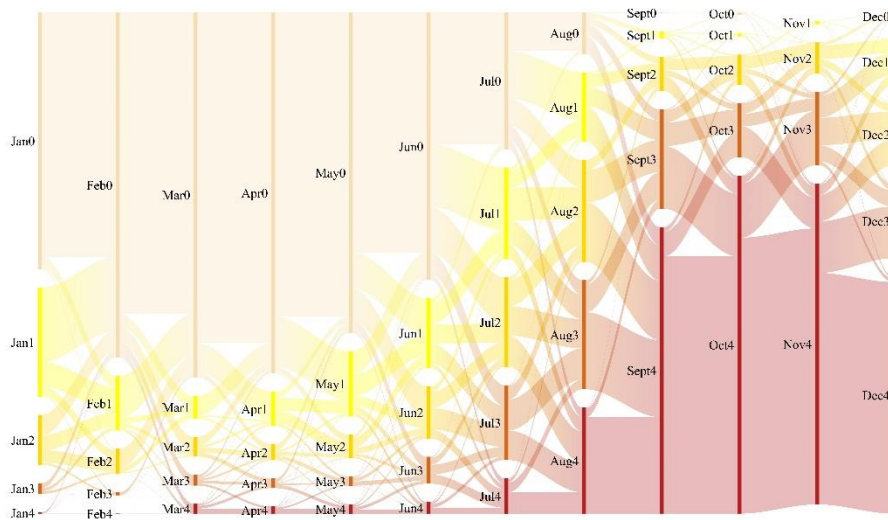


269

270 Figure 5. The evolution of the 2022 drought in the YRB (a–l represents January–

271

December).



272

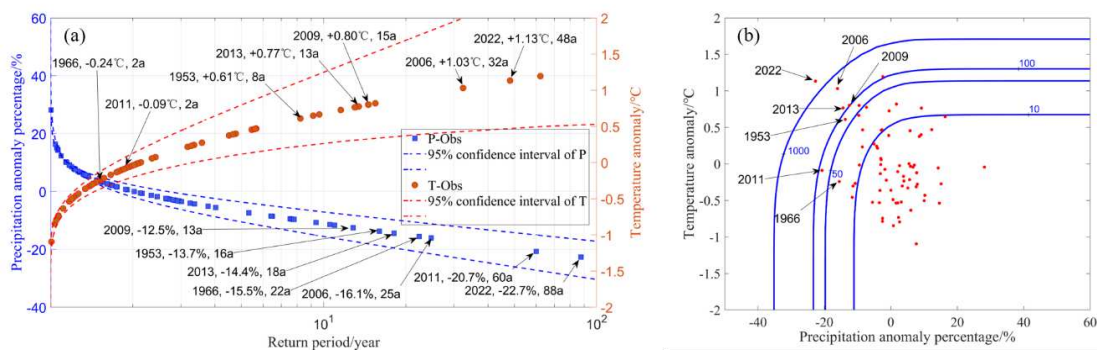
273 Figure 6. Proportion changes in different drought degrees in 2022 in the YRB (0: non-  
274 drought, 1: light drought, 2: moderate drought, 3: severe drought, and 4: extreme  
275 drought).

### 276 3.2.3 Return periods of droughts

277 The average annual P in the YRB was ~1400 mm during 1951-2022. Among the seven  
278 extreme water deficit years, the annual P in 2022 was the lowest, with an anomaly  
279 percentage that reached -22.7% and the return period was close to 100 years. The annual  
280 P in 2009 was the highest compared with the other six years, and the return period was  
281 13 years. The annual P in 2011 was closest to that in 2022 (the anomaly percentage was  
282 -20.7%), while the return period was ~60 years (Figure 7(a)).

283 The average annual T<sub>mean</sub> in the YRB is 10.37 °C. Among the seven typical  
284 drought years, the annual T<sub>mean</sub> anomaly in 2022 was 1.13°C, which was the highest  
285 compared with the other six years. The annual T<sub>mean</sub> in 1966 was the lowest, and the

286 return period was only 2 years. The return period of the Tmean in 2022 (48 years) was  
 287 lower than that of P. Furthermore, the annual Tmean in 2006 was closest to that in 2022,  
 288 with an anomaly value of 1.03°C, and the return period was 32a (Figure 7(a)). This  
 289 implies that global warming has increased the frequency of hot events and shortened  
 290 the return period of higher temperatures.



291  
 292 Figure 7. Return period of drought in the YRB (a) separately and (b) considering  
 293 both lower P and higher Tmean.

294 Figure 7(b) shows that among the seven typical extreme water deficit years, the  
 295 joint return period of high Tmean and low P in 2022 exceeded 1000 years, followed by  
 296 the drought year of 2006. The joint return periods of drought in 1953, 2009, 2011, and  
 297 2013 were concentrated around 100 years, while the joint return period in 1966 was  
 298 less than 50 years. On a natural level, the 2022 drought was the worst, and the 1966  
 299 drought was the lightest.

### 300 3.2.4 Actual agricultural losses due to drought

301 After the severity analysis for the 2022 drought from the point of natural feature, the  
 302 actual impact loss caused by drought is also needed. Table 1 shows the actual drought  
 303 loss in the YRB (the sum of the drought affected areas in seven provinces located in the

304 YRB: Sichuan, Chongqing, Guizhou, Hubei, Hunan, Jiangxi, and Anhui) for the seven  
305 drought years.

306 There was no obvious positive relationship between natural drought features and  
307 actual drought losses. For example, if the joint return period of T and P is considered to  
308 reflect the drought severity (Figure 7), the order of these seven years should be 2022 >  
309 2006 > 2013 > 2009 > 2011 > 1953 > 1966. However, as for the actual drought loss  
310 (such as the actual drought-impacted area rate), they should be ranked as 1966 > 2006 >  
311 2011 > 2013 > 1953 > 2009 > 2022.

312 The 2006 drought event was at the most serious level among the six years (except  
313 for 2022), whether in terms of the drought return period or the actual drought-impacted  
314 area rate. It is worth noting that the return period of drought in 1966 was the shortest,  
315 but the actual drought loss was higher than that in other years. The drought in 2022 was  
316 stronger than that in other years in terms of the drought return period and drought  
317 development evolution processes, but it had the lowest actual drought-impacted area  
318 rate (7.89%). A similar trend was observed in 2009 and 2013. This implies that there  
319 are uncertain associations between the occurrence of natural drought and the actual  
320 impact of drought on crops. Although an increase in the cultivated land area will  
321 increase the exposure risk of crop to drought, applying water conservancy projects, such  
322 as irrigation and inter-basin water transfer projects, will reduce the actual loss to some  
323 extent.

324 Table 1. Actual agricultural loss due to drought in the seven extreme drought years in

325

the YRB.

Year	Total sown area of crops/million hm <sup>2</sup>	Drought-impacted area/million hm <sup>2</sup>	Actual drought-impacted area rate/%
1953	38.486	3.638	9.5
1966	40.723	9.208	22.6
2006	47.928	6.601	13.8
2009	47.523	4.233	8.9
2011	48.923	6.365	13.0
2013	49.841	5.595	11.2
2022	48.760	3.845	7.89

326

### 327 3.3 The impact of ENSO on drought in the YRB

328 Table 2 shows the correlation between the SPEI and the SSTA at different lag months.

329 As the lag month gradually increased, the correlation coefficients first increased and

330 then decreased. During the lag time of three to eight months, the positive correlation

331 passed the 0.05 confidence test, which indicates that the YRB is prone to experience

332 floods (droughts) in the whole basin several months after the SSTA is higher (lower).

333 To some extent, the occurrence of drought and flood events in the YRB is related to

334 changes in the SSTA.

335 Table 2. Correlation coefficients between SPEI and SSTA for different lag

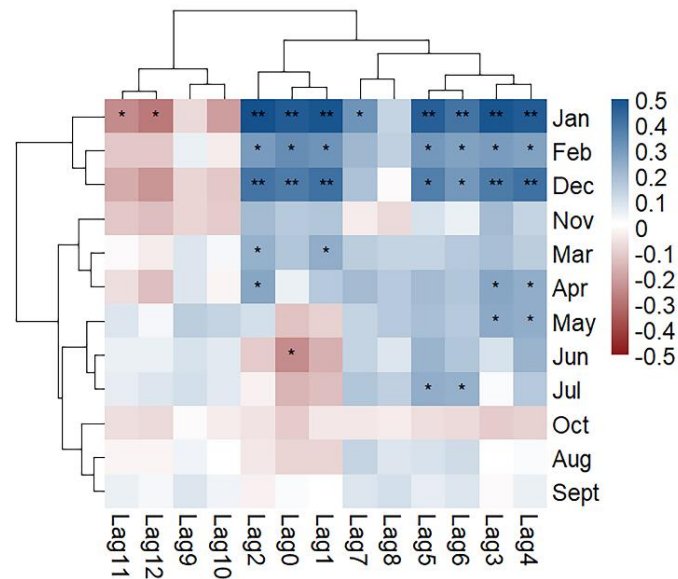
336 months.

Lag month	Correlation coefficient
1	0.010
3	0.066**
4	0.087**
5	0.099**

6	0.101**
7	0.098**
8	0.085**
10	0.031

337 Note: \*\*\* indicates the correlation coefficient passed the 0.001 confidence test.

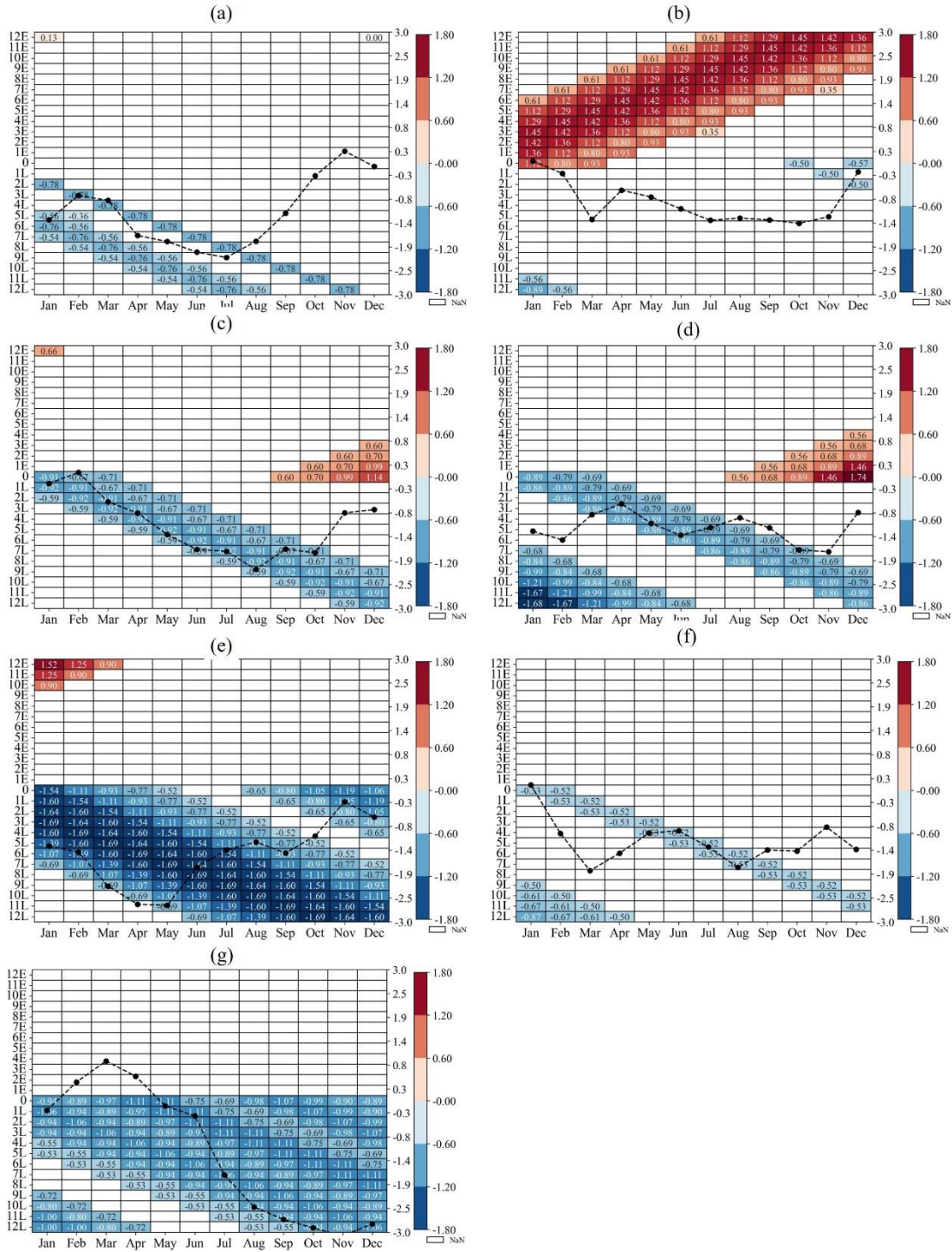
338 For the relationship between the SPEI and the SSTA in each month, Figure 8 shows  
 339 a significant positive correlation between winter (DJF) and spring (MAM) droughts (or  
 340 floods) and the SSTA with a lag period of one to eight months. For other months, this  
 341 positive correlation was weaker. Summer and autumn droughts (or floods) showed a  
 342 complex correlation with the SSTA, whereby the significance of the positive correlation  
 343 declined, and a negative correlation was observed.



344  
 345 Figure 8. Correlation between SPEI in each month and SSTA with different lag  
 346 periods (\* indicates that the results passed the 0.05 confidence test, and \*\* indicates  
 347 that the results passed the 0.001 confidence test).

348 To investigate the influence of ENSO events on the 2022 drought and other six  
 349 historical droughts in the YRB, we divided the SSTA into El Niño events (SSTA >

350 0.5 °C) and La Niña events (SSTA < -0.5°C). As shown in Figure 9, only the 1966  
351 drought evolved in the context of El Niño (Figure 9b), whereas the remaining six  
352 drought years were influenced by La Niña events. For the six typical drought years,  
353 each month with SPEI persistently below -0.5 corresponded to La Niña events at  
354 different lag times, and the droughts tended to worsen first and then mitigate as the  
355 number of lag months increased (the droughts in 1953, 2006, 2009, 2011, and 2013  
356 were the more obvious ones, Figure 9a, c-f). The 2011 and 2022 drought both  
357 corresponded to La Niña events at different lag times; however, the drought in each  
358 month of 2022 suffered from a persistent La Niña effect, which ultimately led to rapid  
359 drought aggravation in the YRB from July onwards, and the mitigation tendency was  
360 still not obvious until December (Figure 9g).



361

362

Figure 9. The relationship between El Niño and La Niña events at different lag times

363

and seven typical drought years (a: 1953, b: 1966, c: 2006, d: 2009, e: 2011, f: 2013,

364

and g: 2022. 12E means El Niño from 12 months ago; 12L means La Niña from 12

365

months ago. The dotted line indicates the SPEI. NaN means the absolute value of



366 SSTA does not exceed 0.5 °C).

#### 367 **4 Discussion**

368 In 2022, multiple regions of the world experienced different natural disasters. In July  
369 and August 2022, unprecedented and long-lasting heatwaves attacked the YRB, leading  
370 to associated droughts and wildfires with significant social impacts (Wang et al., 2023).  
371 In this study, the severity of 2022 drought in the YRB was analyzed and compared with  
372 other six historical extreme water deficit years. For the one- and two-dimensional return  
373 periods of high temperature and low precipitation, the 2022 drought event in the YRB  
374 ranked first during 1951-2022 (Figure 7), and the evolution speed also reflected the  
375 extremeness of this drought event (Figure 5 and Figure 6).

376 The annual global mean temperature in 2022 was reported to be the sixth highest  
377 since 1880 (<https://www.ncei.noaa.gov/access/monitoring/monthly-report/global/202213>). By comparing the simulation results of CMIP6, Zhao et al.  
378 (2023b) suggested that the drought risk in southern China increased significantly due  
379 to anthropogenic climate change. For example, in 2019, there was a serious summer  
380 and autumn drought in the middle and lower reaches of the YRB, and anthropogenic  
381 climate change increased the likelihood of the onset speed and intensity (Wang and  
382 Yuan, 2021). An et al. (2022) also indicated that the upper reaches of the YRB will  
383 experience more frequent and severe extreme events as a consequence of increasing  
384 greenhouse gas emissions. Global warming provides breeding grounds for frequent  
385 droughts in the YRB.

387 The temporal evolution of hydrological extremes is closely linked to the variability  
388 in the Indian summer monsoon at the decadal scale. An et al. (2022) indicated that the  
389 recent increase in the frequency of hydrological droughts is consistent with the  
390 observed trend toward a weaker Indian summer monsoon and increasing temperatures.  
391 In 2022, the South Asian high pressure and Western North Pacific subtropical high  
392 pressure were coupled with each other and jointly controlled the middle reaches of the  
393 YRB, so the significant positive geopotential height anomaly remained above the  
394 middle reaches of the YRB for a long time, which was conducive to the development  
395 of local subsidence (Qin et al., 2023). Hua et al. (2023) also suggested that anomalous  
396 atmospheric circulation and persistent high pressure favored the 2022 heatwave in  
397 Central China. The ENSO has global effects and is one of the strongest interannual-  
398 scale signals of climate change. The occurrence of ENSO events often causes serious  
399 climate anomalies, leading to serious meteorological disasters worldwide and huge  
400 economic losses (Villafuerte and Matsumoto, 2015; Peng et al., 2018). In this study, the  
401 responses of drought and wetness in the YRB to ENSO events with different lag times  
402 were analyzed in detail. On a long timescale, there was a significant positive correlation  
403 between drought and wetness in the YRB and the SSTA (Table 2), but it showed non-  
404 consistency and complexity in space (Figure C.1-C.2). For example, Wang et al. (2023)  
405 showed that the upper reaches of the YRB were less affected by the ENSO and showed  
406 a more stable water storage signal. Zhang et al. (2004) found that in El Niño years, the  
407 probability of drought in the upper reaches of the YRB was higher, while in La Niña

408 years, the probability of flooding in the upper reaches of the YRB was higher.  
409 Considering the whole basin, the effects of La Niña (SSTA < -0.5°C) on the 2022  
410 drought and other historical droughts years in the YRB were evident in this study  
411 (Figure 9). The rare “triple-dip” La Niña recorded during 2020-2022 may be a reason  
412 for the 2022 extreme drought in the YRB. In the future, the occurrence of consecutive  
413 La Niña events is expected to increase under global climate warming (Geng et al., 2023),  
414 which means the higher extreme drought risk for the YRB.

415 Climate change forces countries to adapt to intensifying natural hazards. Besides  
416 the changing climate itself, the drought tolerance also determined the impact due to  
417 drought. In recent years, dams have been designed and built with larger installed  
418 hydropower capacity (Chen et al., 2016). Severe droughts do not necessarily lead to  
419 severe drought impacts to the same extent because of the utilization of engineering (i.e.,  
420 reservoir construction, South-North Water Transfer Project, and irrigation technology,  
421 and so on) and non-engineering (i.e., water-saving propagation, drought planning  
422 documents, drought supplies stockpile, and so on) measures to combat drought. For  
423 example, in August and September 2022, Changjiang Water Resources Commission  
424 (PRC) has twice implemented the joint scheduling operation of the YRB Reservoir  
425 Group to combat drought and protect water supply, scheduling more than 70 reservoirs  
426 and two lakes (Dongting Lake and Poyang Lake), and 2.9 million hm<sup>2</sup> of irrigated areas  
427 were secured with water for crops irrigation. Unfortunately, the simulation results under  
428 different climate scenarios indicate that events such as the 2022 drought will become

429 normal in the future (MA and Yuan, 2023), which may pose a great challenge to the  
430 drought resistance of the reservoir group in the YRB, especially in the context of  
431 uncertain future climatic conditions.

## 432 **5 Conclusions**

433 The severity of 2022 drought in the YRB was analyzed, and the distinctions and  
434 commonalities between typical drought events and 2022 drought were also compared  
435 and explored. The drought distribution pattern covering the entire basin in 2022 was  
436 stronger than that in other years. Although the degree of water deficit was similar on an  
437 annual scale, the evolution of the drought events varied. The persistent La Niña events,  
438 and the superposition of extremely high T and low P, made the 2022 drought  
439 significantly more severe than the other six drought events at the level of natural water  
440 scarcity, while the actual crop loss impacted by 2022 drought was lower than that of the  
441 other droughts. Given the increase in the occurrence frequency of such extreme drought  
442 events in the future, social resilience to extreme drought needs to be enhanced, which  
443 will be a critical challenge for decision-makers involved in emergency management  
444 efforts.

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579

580 **Declaration of Competing Interest**

581 The authors declare that they have no known competing financial interests or personal  
582 relationships that could have appeared to influence the work reported in this paper.

583 **Acknowledgments**

584 This work was jointly supported by the National Key Research and Development  
585 Project (Grant No. 2021YFB3901203) and the National Natural Science Foundation of  
586 China (Grant No. 42307124).

587 **Author sContributions**

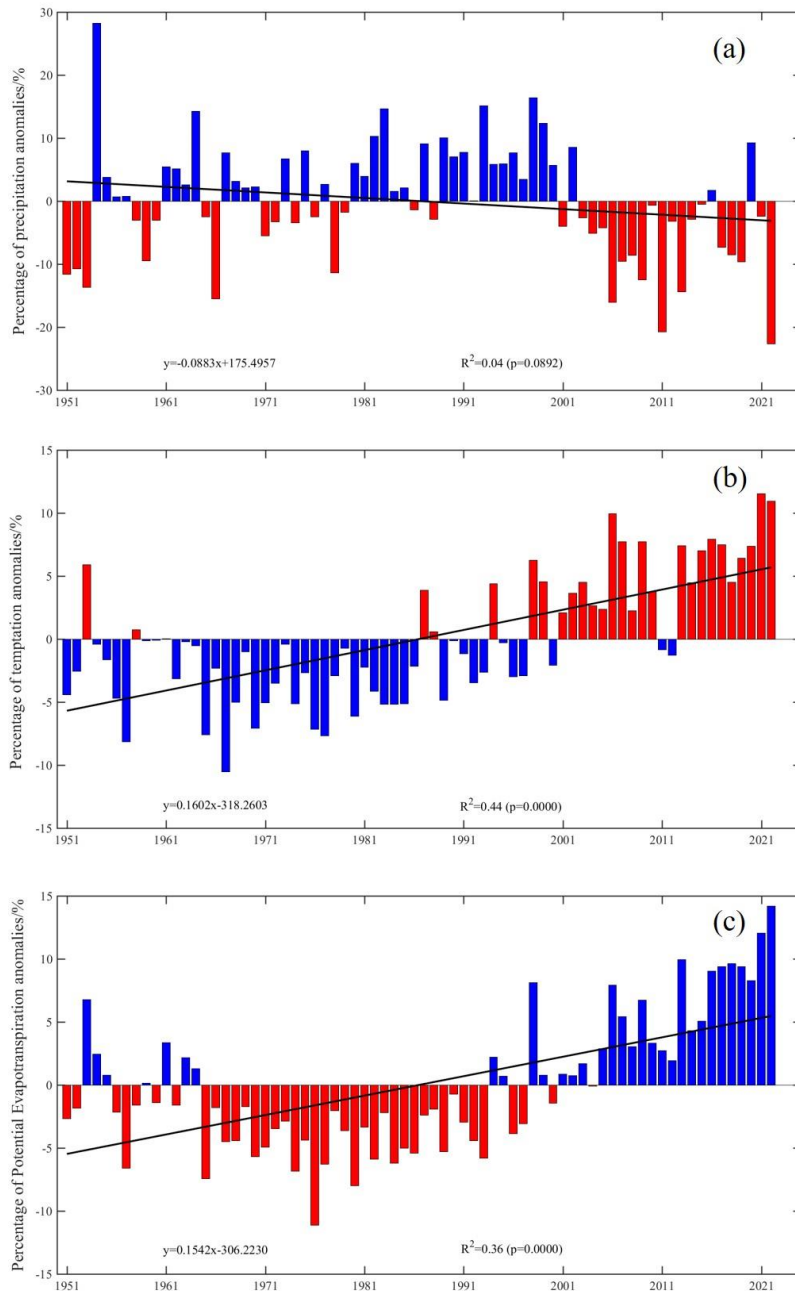
588 Siqun Yang: Writing – original draft, Conceptualization. Hongquan Sun: Conceptual-  
589 ization, Writing – review & editing. Ruxin Zhao: Data curation, Writing – original draft,  
590 Funding acquisition. Lisong Xing & Ming Li: Software. Zhuoyan Tan &Yuan Ning:  
591 Methodology.

592 **Data Availability**

593 The data supporting the findings of this study are available upon reasonable request  
594 from the authors.

595 **Supplementary information**

596 Appendix A:



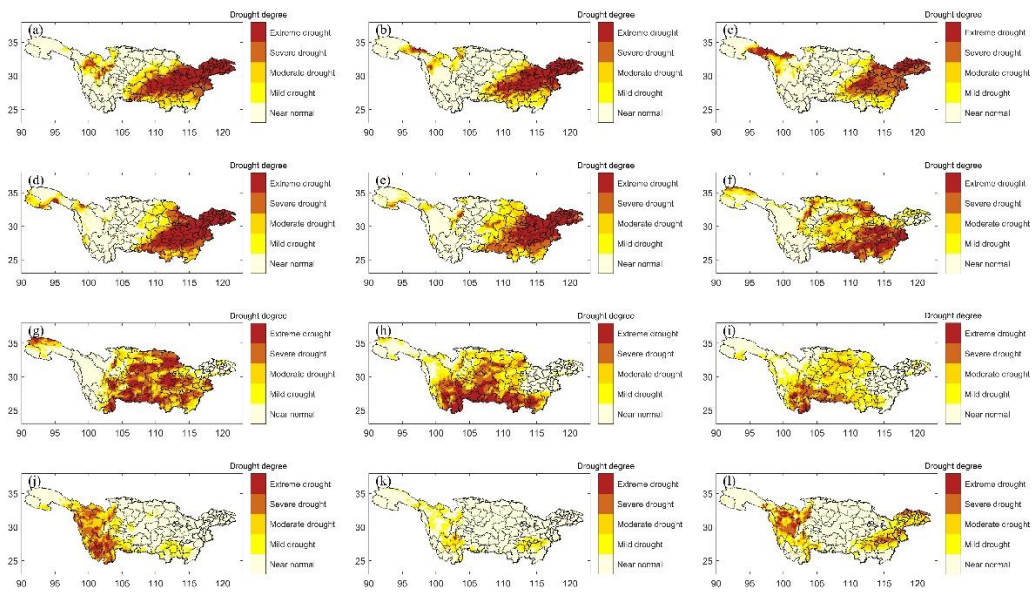
597

598 Figure A.1. Percentage change in annual precipitation anomaly (a), mean temperature

599 anomaly (b), and potential evapotranspiration anomaly (c) in the YRB.

600

601 Appendix B:

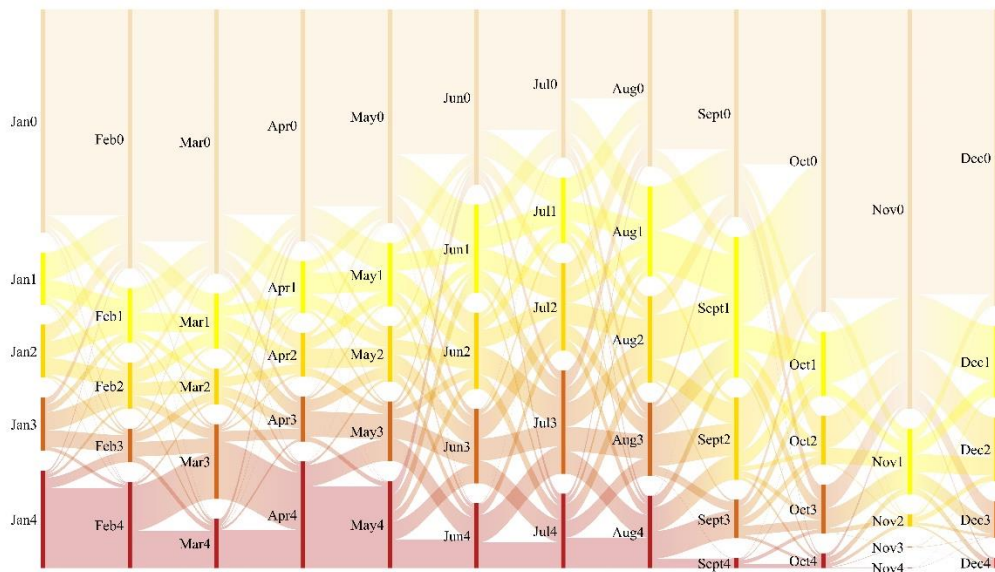


602

603 Figure B.1. The evolution of the 1953 drought in the YRB (a-l represents January–

604

December).



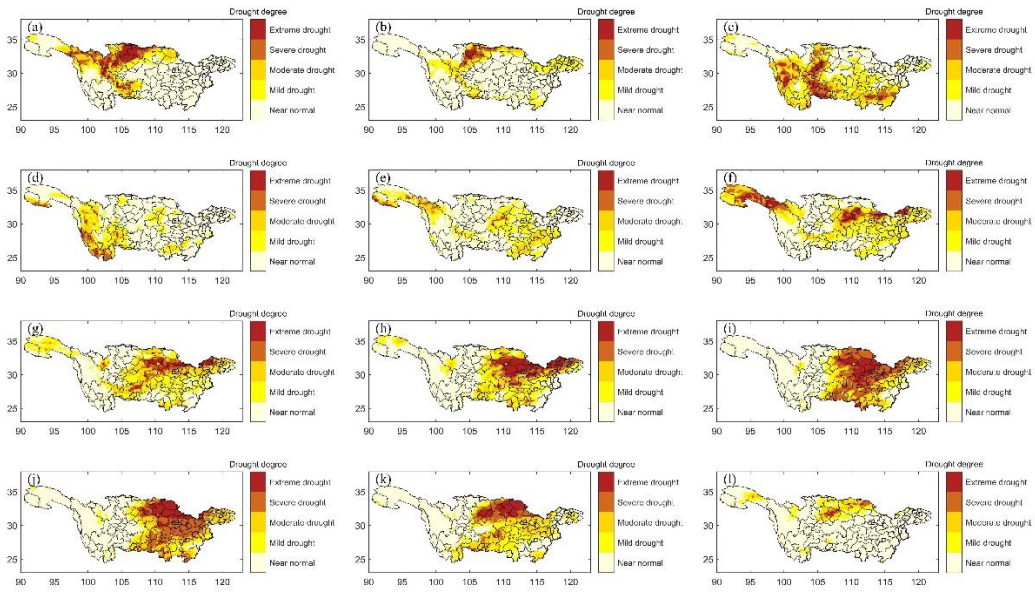
605

606 Figure B.2. Proportion changes in different drought degrees in 1953 in the YRB (0:

607 non-drought, 1: light drought, 2: moderate drought, 3: severe drought, and 4: extreme

608

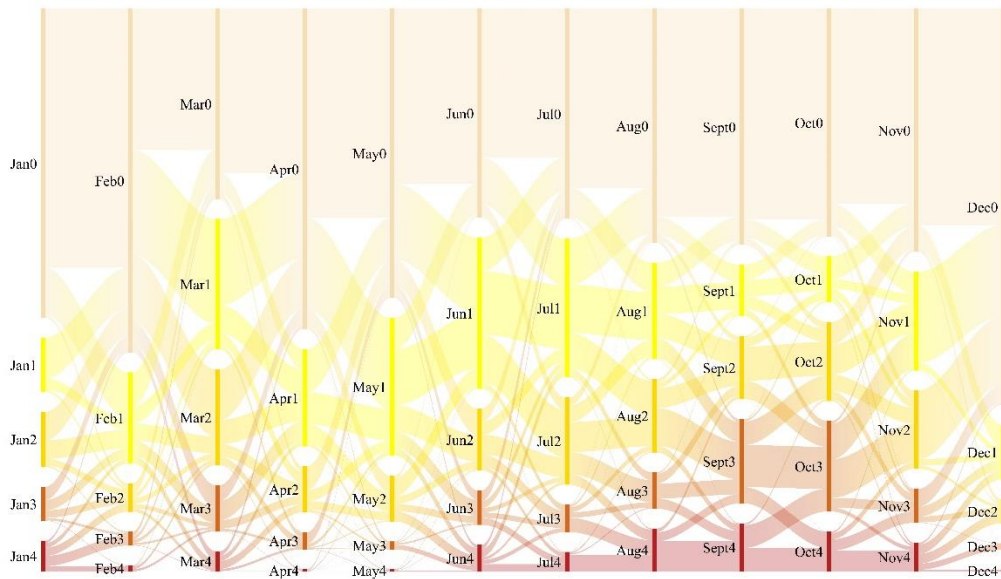
drought).



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610

Figure B.3. Same as Figure B.1. but for the 1966 drought.

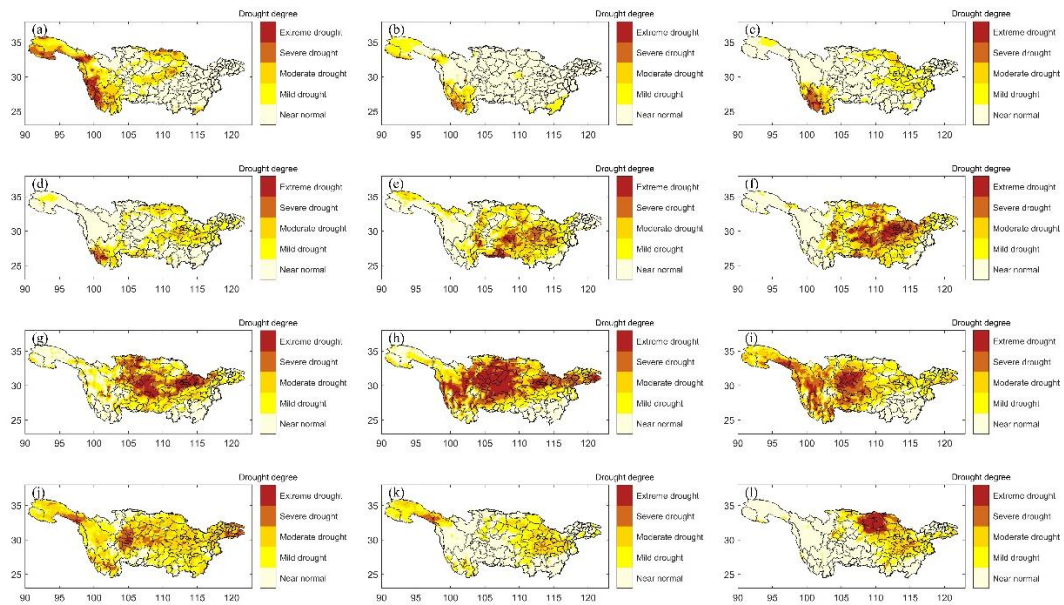


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612

Figure B.4. Same as Figure B.2. but for the 1966 drought.

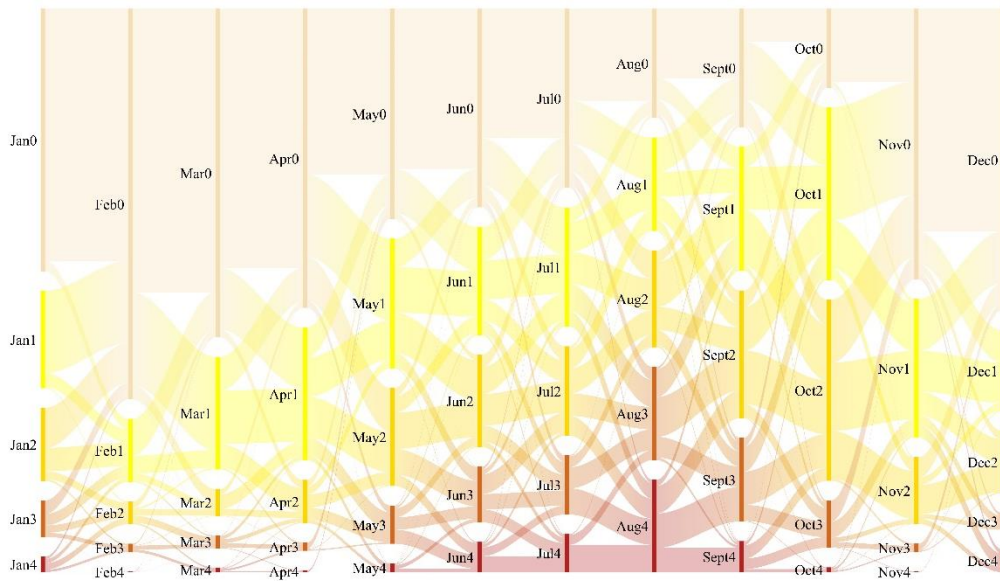
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615

Figure B.5. Same as Figure B.1. but for the 2006 drought.



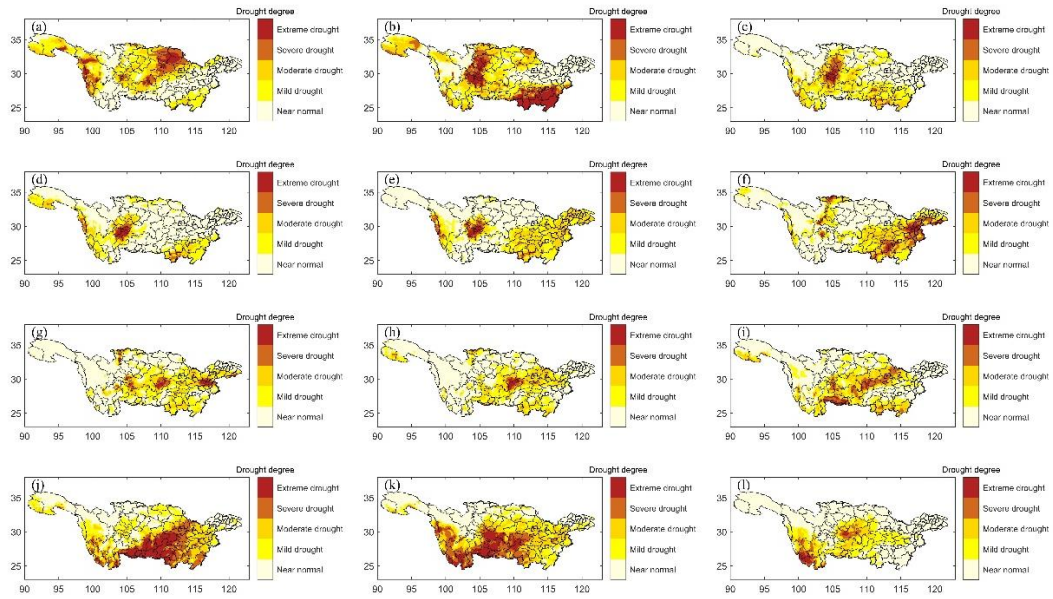
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617

Figure B.6. Same as Figure B.2. but for the 2006 drought.

618

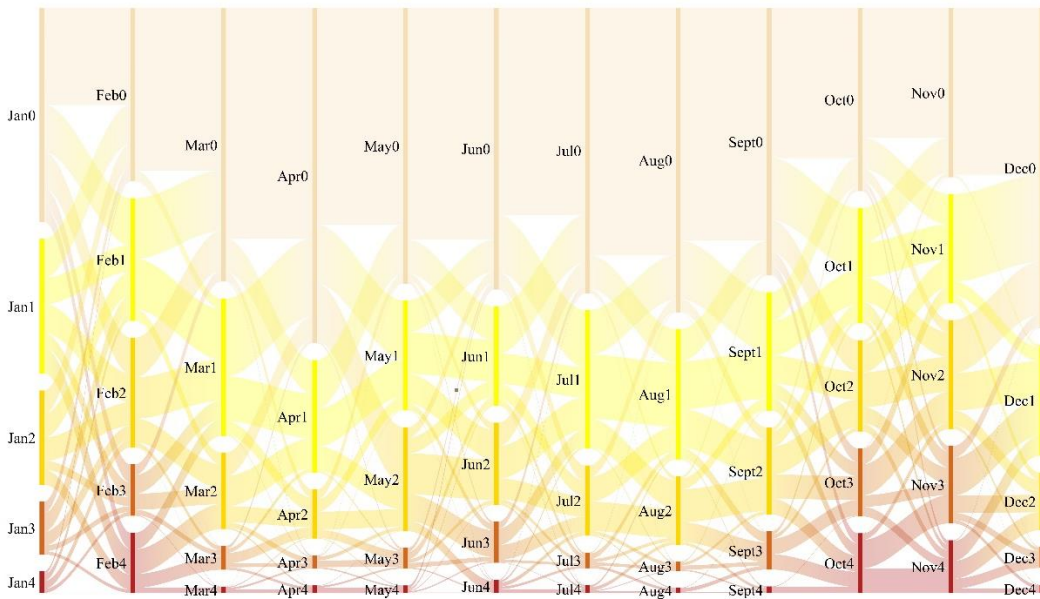




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620

Figure B.7. Same as Figure B.1. but for the 2009 drought.

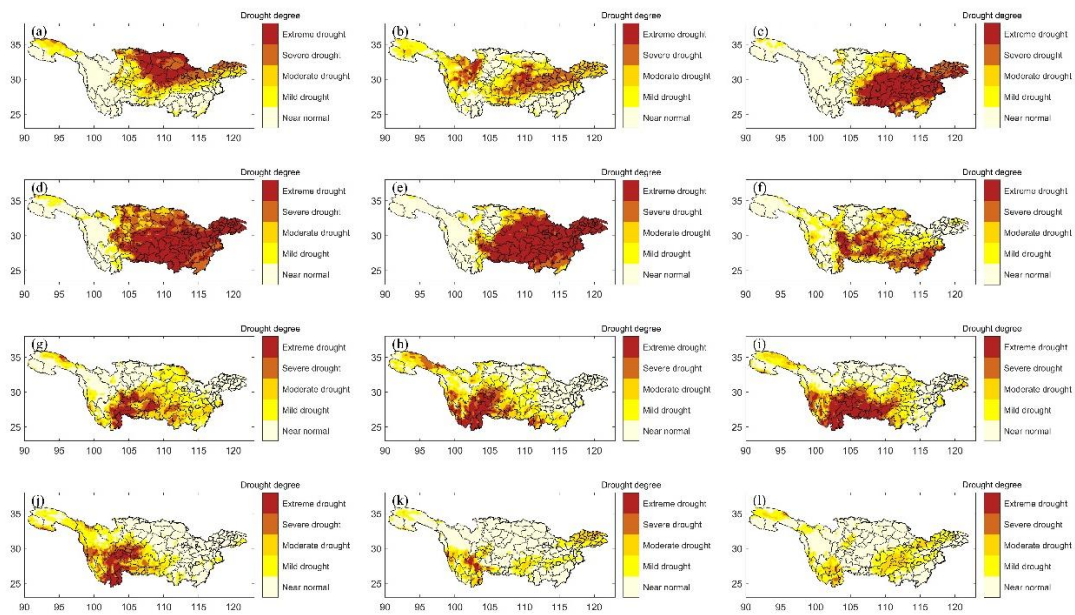


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Figure B.8. Same as Figure B.2. but for the 2009 drought.

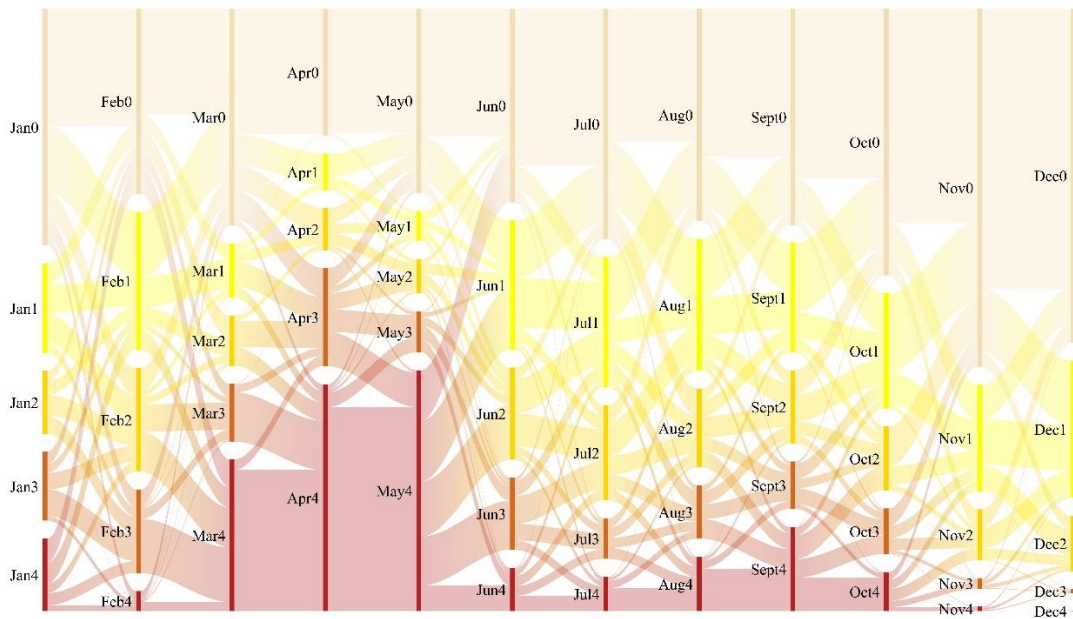
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Figure B.9. Same as Figure B.1. but for the 2011 drought.

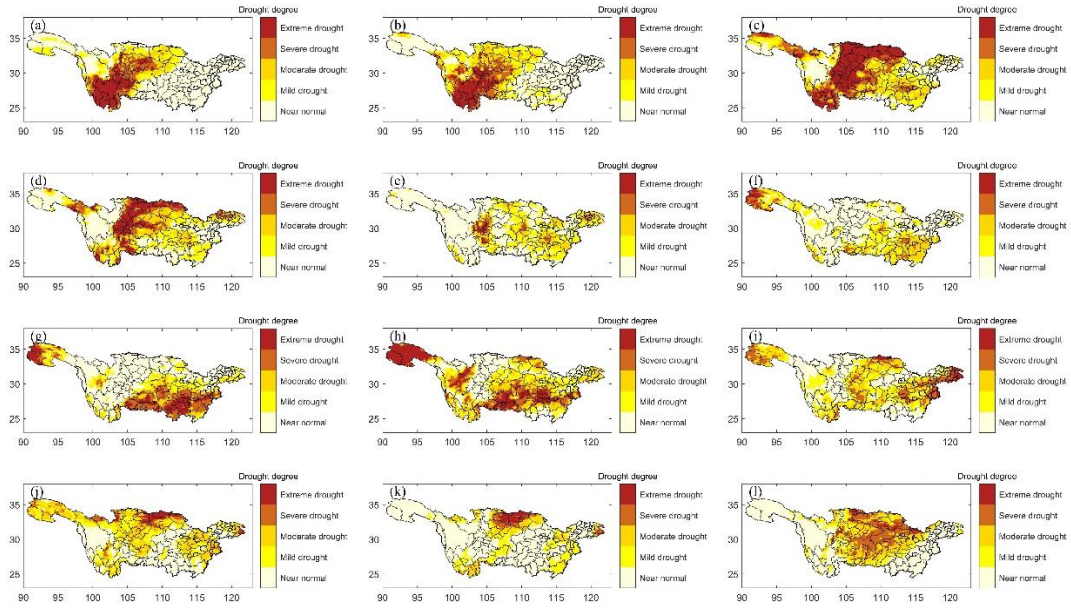


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Figure B.10. Same as Figure B.2. but for the 2011 drought.

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Figure B.11. Same as Figure B.1. but for the 2013 drought.

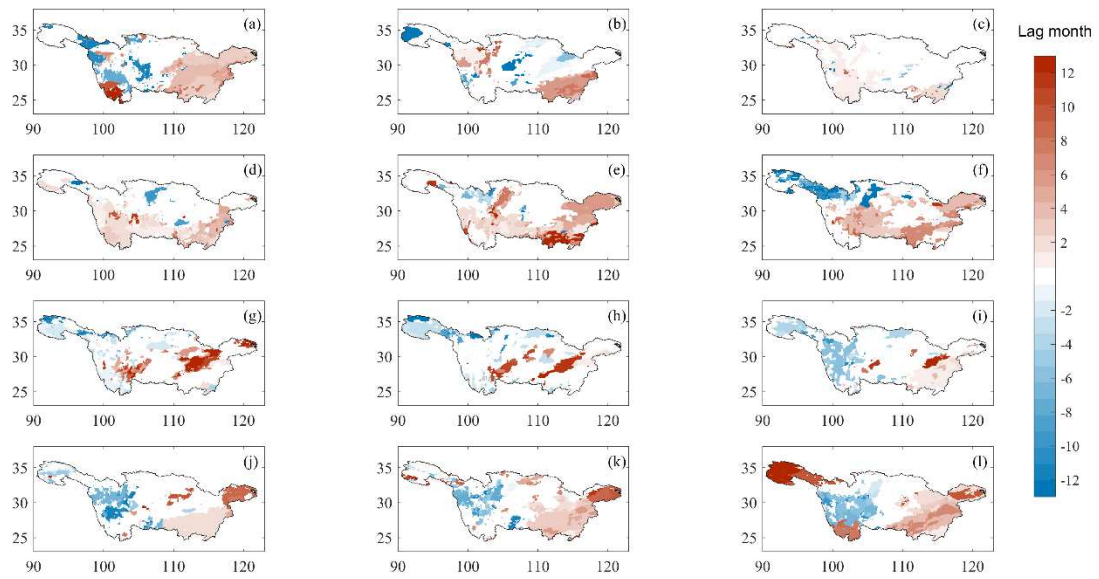


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Figure B.12. Same as Figure B.2. but for the 2013 drought.

633 Appendix C:



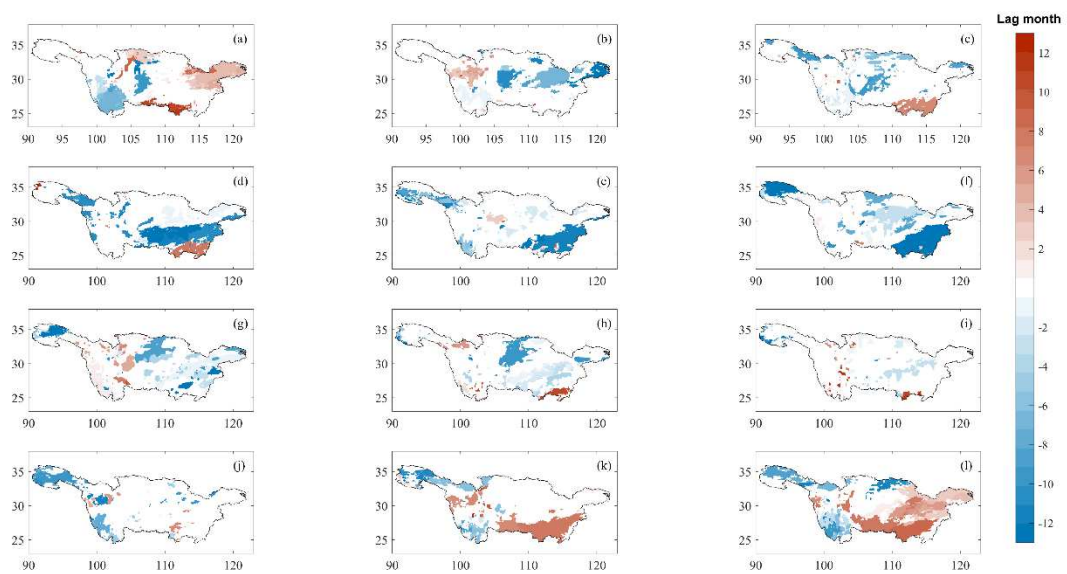
634

635 Figure C.1. The significant correlation between SPEI and SSTA under El Niño events

636 (red denotes a significant positive correlation, blue denotes a significant negative

637 correlation, and the absolute number represents the lag times corresponding to the

638 SPEI).



639

640 Figure C.2. Same as Figure C.1. but under La Niña events.