

# Direct Detection of 4-Dimensions of SARS-CoV-2: Infection (vRNA), Infectivity (Antigen), Binding Antibody, and Functional Neutralizing Antibody in Saliva

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#### 29 Abstract (197 words)

30 We developed a 4-parameter clinical assay using Electric Field Induced Release and 31 Measurement (EFIRM) technology to simultaneously assess SARS-CoV-2 RNA (vRNA), 32 nucleocapsid antigen, host binding (BAb) and neutralizing antibody (NAb) levels from a drop 33 of saliva with performance that equals or surpasses current EUA-approved tests. The vRNA 34 and antigen assays achieved lower limit of detection (LOD) of 100 copies/reaction and 3.5 35 TCID<sub>50</sub>/mL, respectively. The vRNA assay differentiated between acutely infected (n=10) and 36 infection-naïve patients (n=33) with an AUC of 0.9818, sensitivity of 90%, and specificity of 100%. The antigen assay similarly differentiated these patient populations with an AUC of 37 38 1.000. The BAb assay detected BAbs with an LOD of 39 pg/mL and distinguished acutely 39 infected (n=35), vaccinated with prior infection (n=13), and vaccinated infection-naïve 40 patients (n=13) from control (n=81) with AUC of 0.9481, 1.000, and 0.9962, respectively. The 41 NAb assay detected NAbs with an LOD of 31.6 Unit/mL and differentiated between COVID-42 19 recovered or vaccinated patients (n=31) and pre-pandemic controls (n=60) with an AUC 0.923, sensitivity of 87.10%, and specificity of 86.67%. Our multiparameter assay represents 43 44 a significant technological advancement to simultaneously address SARS-CoV-2 infection and 45 immunity, and it lays the foundation for tackling potential future pandemics.

#### 46 Introduction

The significance of affordable diagnostic tools capable of identifying SARS-CoV-2 47 48 RNA, antigen, and host-generated antibodies has been highlighted by the COVID-19 49 pandemic. The clinical progression of SARS-CoV-2 infection involves an initial phase with 50 detectable viral RNA (vRNA) and antigen in clinical samples, followed by a convalescent 51 phase marked by the presence of antibodies in both saliva and serum. Therefore, concurrently 52 analyzing these varied biomarkers in clinical samples throughout the disease's course offers 53 more precise insights for disease monitoring and management. This holistic approach would 54 enhance our understanding of infection, infectivity stages, and the host immune response, 55 ultimately aiding in more accurate diagnostic and therapeutic decision-making<sup>1</sup>.

Saliva is a conveniently accessible bio sample that has been explored for diagnostics of COVID-19 and other diseases. Electric Field Induced Released and Measurement (EFIRM) platform is an electrochemical, plate-based, liquid biopsy platform (Figure 1) which we have optimized for direct detection of SARS-CoV-2 biomarkers in saliva. This platform can detect multiple viral and host targets without sample processing and yields performance that meets or exceeds current Emergency Use Authorization (EUA) COVID-19 diagnostic tests.

62 Nasopharyngeal swabbing, followed by reverse transcription of the extracted RNA and quantitative PCR (RT-qPCR), is the gold standard for detection of SARS-CoV-2 infection. 63 64 However, this approach poses various challenges, such as the requirement for skilled medical 65 professionals and a vast supply of protective equipment. Additionally, the method causes discomfort for patients and exposes healthcare staff to a high risk of infection. Saliva as a 66 67 simpler and less invasive alternative has been used successfully as a diagnostic tool for SARS-CoV-2 and other various viral infections<sup>2-4</sup>. Notably, one study has demonstrated that the 68 SARS-CoV-2 virus can be detected earlier in saliva samples<sup>5</sup>. 69

70 Loop-mediated Isothermal Amplification (LAMP) is a rapid, cost-effective, and 71 sensitive RNA detection method that has gained attention during the COVID-19 pandemic. 72 Unlike RT-PCR, LAMP amplifies viral RNA at a constant temperature, eliminating the need 73 for sophisticated thermal cyclers. LAMP assays can be performed in a shorter timeframe and 74 with minimal equipment, making them suitable for point-of-care testing and resource-limited 75 settings. However, the analytical sensitivity of Reverse Transcription Loop-Mediated 76 Isothermal Amplification (RT-LAMP) assay with SARS-CoV-2 RNA is around 50 77 copies/reaction which is below that of the standard RT-qPCR tests<sup>6</sup>. Building upon the 78 advantages of LAMP assays in terms of simplicity, rapidity, and suitability for resource-limited 79 settings, we optimized and enhanced the analytical sensitivity of the RT-LAMP assay and 80 developed a highly sensitive and highly specific assay with multiplex and point-of-care 81 potential for SARS-CoV-2 direct detection using self-collected whole saliva specimen. By 82 addressing this limitation, we aim to bridge the sensitivity gap between RT-LAMP and 83 standard RT-qPCR tests, ultimately enabling the reliable and accurate detection of low viral 84 loads.

85 COVID-19 antigen assay is a diagnostic test that detects the presence of specific viral 86 proteins in a person's respiratory or nasal secretions. It is a rapid test that can provide results 87 within minutes, making it a useful tool for screening and diagnosing COVID-19 infections. 88 The antigen test uses a swab specimen taken from the nasal passages, and the results are based 89 on the reaction between the antigen in the test kit. One limitation of current COVID-19 antigen 90 assays is that the sensitivity and specificity of the test can vary depending on the quality and 91 timing of the sample collection, the type of swab used, and the viral load in the patient's body. 92 False negatives may occur with asymptomatic or lower viral load infections. As a result, it is 93 suggested that a negative test result should be validated through a more sensitive and specific 94 molecular test such as PCR. Additionally, antigen testing has not been validated for screening

95 asymptomatic individuals. We developed a highly sensitive and specific saliva-based 96 nucleocapsid (N) antigen assay with an improved LOD. The successful development of such 97 an assay would make a significant contribution to the field of diagnostics, providing a non-98 invasive and efficient method to detect individuals with lower viral loads who might otherwise 99 be overlooked by existing diagnostic approaches.

100 The detection of specific antibodies following SARS-CoV-2 infection enables various 101 applications such as evaluating the seroprevalence, identifying potential convalescent plasma 102 donors, monitoring herd immunity, generating risk prediction models, and playing a crucial 103 role in global vaccination strategies<sup>7</sup>. Previously, we have introduced the innovative, 104 quantitative, diagnostic EFIRM platform for anti-SARS-CoV-2 Spike IgG that tracked 105 vaccinated patients to assess the kinetics of anti-SARS-CoV-2 antibodies following 106 inoculation. This platform utilizes a unique cyclic electric field to enhance sensitivity and 107 specificity of saliva antibody detection, which overcame the low sensitivities and specificities of multiple serological tests with ELISA and lateral flow methods<sup>8-12</sup>. To push the limit of 108 109 sensitivity and specificity further, we have expanded the antibody assays to detect IgG, IgM, 110 and IgA to increase the range of time frame of detectable antibodies as IgA appearing slightly 111 earlier than IgG and IgM. Recent findings suggest mucosal IgA to SARS-CoV-2 dominates 112 early neutralizing activities<sup>11</sup>. Mucosal IgA is the major immunoglobulin in saliva, elicited by mucosal epithelial and salivary glands<sup>12</sup>. Thus, the saliva-based EFIRM anti-RBD assay was 113 114 developed to detect IgA in addition to IgG and IgM targets.

Among host antibodies against SARS-CoV-2, anti-SARS-CoV-2 neutralizing antibodies (NAbs) are particularly significant because they inhibit the binding of the receptorbinding domain (RBD) of the surface spike (S) protein to the human angiotensin-converting enzyme 2 (hACE2) receptor. The complex formed between the virus S protein and hACE2 is responsible for the virus entry into host cells, and inhibiting the formation of this complex may prevent infection and reduce disease severity<sup>7</sup>. Standard SARS-CoV-2 serology assays, which primarily detect binding antibodies (BAbs) like IgG and total antibody, are unable to distinguish between general binding antibodies and neutralizing antibodies<sup>13</sup>. Therefore, neutralizing antibody (NAb) assays are the only reliable method for assessing the true protective immunity of antibodies<sup>14</sup>.

125 The current gold standard for measuring NAb is the conventional virus neutralization 126 test known as Plaque Reducing Neutralization Test (PRNT), which requires a live pathogen 127 and a biosafety level 3 (BSL3) laboratory. cPass SARS-CoV-2 Neutralization Antibody 128 Detection Kit was developed as a surrogate virus neutralization test that can detect total NAbs 129 in plasma in 1-2 hours in a BSL2 laboratory without the use of any live virus or cells. The cPass 130 Neutralization Antibody Detection Kit results have shown 95.7% positive percent agreement 131 (PPA) and 97.8% negative percent agreement (NPA) with the gold standard PRNT in clinical 132 study. However, PRNT and cPass assays exclusively detect NAbs in plasma and serum and 133 there is no test for measuring NAbs in saliva. Due to the lower antibody levels in saliva 134 compared to plasma, the measurement of antibodies in saliva necessitates a more sensitive assay<sup>13,15-17</sup>. We developed the EFIRM NAb assay that can detect NAbs in saliva samples by 135 136 successfully replicating the virus-host interaction within an EFIRM plate well. The development of a highly sensitive and specific non-invasive saliva based NAb assay would be 137 138 of great value for large-scale applications, such as predicting the efficacy of vaccines and 139 estimating the requirement for booster doses.

EUA approved molecular tests for SARS-CoV-2 are single plex platforms, conveying a single dimension of SARS-CoV-2 infection in an individual. The high precision and sensitivity of EFIRM platform enabled us to develop a novel, cost-effective, and highly sensitive and specific diagnostic assay with the capability to simultaneously detect 4-

dimensions of SARS-CoV-2 including RNA, antigen, BAbs, and NAbs against the virusdirectly from saliva samples.

The successful development of such assay would make a significant contribution to the field of diagnostics by detecting infected individuals with lower viral loads and assessing individuals' immunization status. This versatile platform lays the foundation for tackling potential future pandemics, thanks to its ability to easily develop EFIRM assays for any emerging infectious diseases.

#### 151 Materials and Methods

#### 152 STUDY COHORTS

#### 153 Pre-pandemic ADA saliva samples

154 Saliva was collected from healthy individual volunteers at meetings of the American 155 Dental Association (ADA) between 2006 and 2011. The study protocol was approved by 156 UCLA IRB #06-05-042 and all methods were performed in accordance with relevant 157 guidelines/regulations. All subjects consented prior to sample collection and saliva samples 158 were collected as previously described<sup>18</sup>.

#### 159 Pre-pandemic SMC saliva samples

Saliva was collected from patients admitted to Samsung Medical Center in Korea from 2014 to 2018. Prior to sample collection, all participants provided written informed consent. The study received IRB approval from both UCLA and Samsung Medical Center (UCLA IRB# #06-07-018-11, SMC IRB# 2008-01-028-016) and all experiments were performed in accordance with relevant guidelines and regulations. About 1 mL of whole saliva was expelled into a 50cc conical tube placed on ice. Processing occurred within 30 minutes, involving centrifugation at 2,600 xg for 15 minutes at 4°C. The resulting supernatant was transferred to a 2 mL cryotube. 1 μL of Superase-In (Ambion) was added to the samples, followed by gentle
inversion for thorough mixing. The cryotube was then frozen with dry ice and stored at -80°C.

#### 169 Pre-pandemic plasma samples

Plasma samples obtained from healthy individuals before 2019 were acquired from innovative research. Donors contributed whole blood samples collected in K2EDTA tubes. Following the vendor's instructions, the whole blood underwent centrifugation at 5,000 xg for 15 minutes, and the resulting plasma was separated using a plasma extractor<sup>19</sup>.

### 174 Hospitalized COVID-19 patient samples

175 Archived saliva samples were sourced from an ongoing observational study involving 176 hospitalized COVID-19 patients at UCLA. Participants were recruited within 72 hours of 177 admission to UCLA Health hospital, and their biospecimens were collected during 178 hospitalization and outpatient follow-ups for up to one year. The repository comprised blood 179 (plasma and PBMC), saliva, and nasopharyngeal swabs. All participants provided informed 180 consent via a UCLA IRB-approved protocol (IRB#20-000473) and the study was performed 181 in accordance with the relevant guidelines. All saliva samples used in this study were collected 182 from hospitalized patients within 3 to 15 days after symptom onset with positive RT-qPCR 183 nasopharyngeal swab.

#### 184 Vaccinated recovered COVID-19 outpatient samples

Saliva samples from recovered mild COVID-19 patients were acquired as part of an ongoing observational study of outpatient COVID-19. Individuals who had experienced mild COVID-19 without requiring supportive care were recruited for the study. During study visits, participants contributed blood samples (for serum, plasma, and PBMC) and saliva to a specimen repository. Informed consent was obtained from all participants. The study received

190 IRB approval from UCLA (IRB#20-000500) and all experiments were conducted following 191 the appropriate regulations. While enrolled in the study, participants received vaccinations, and 192 post-vaccination samples were collected. All saliva and plasma samples from the vaccinated 193 recovered COVID-19 outpatient cohort used in this study were obtained from individuals with 194 positive RT-qPCR nasopharyngeal swabs who received one or two vaccinations.

#### 195 Vaccinated infection naïve patient samples

196 Archived saliva samples from infection naïve vaccinated persons were obtained from 197 an ongoing observational study at UCLA. Healthy individuals, with no history of SARS-CoV-198 2 infection, who were undergoing SARS-CoV-2 vaccination (any vaccine) were recruited 199 before receiving their initial vaccine dose. They were then followed up after each vaccination 200 and beyond. During study visits, participants contributed blood and saliva to a specimen 201 repository. Informed consent was obtained from all participants. All procedures were 202 performed after obtaining approval from UCLA IRB (IRB#20-000500) and were conducted in 203 compliance with applicable guidelines and regulations<sup>19</sup>.

#### 204 EFIRM PLATFORM

205 EFIRM is an innovative platform capable of quantifying target molecules in both blood 206 and saliva samples. The technology involves immobilizing capture moieties on an electrode 207 structure, enabling the capture of target analytes. Quantification of the target analyte is 208 accomplished through electrochemical measurements of the oxidation-reduction reaction 209 between hydrogen peroxide and a tetramethylbenzidine substrate, along with the involvement 210 of a peroxidase enzyme in a completed assay sandwich. This assay is performed on electrodes 211 packaged in a traditional 96-well microtiter plate format (EZLife Bio, Woodland Hills, CA)<sup>18,19</sup>. The schematic of the EFIRM SARS-CoV-2 vRNA, antigen, BAb, and NAb assays is 212 213 shown in Figure 1.

#### 214 DESIGN OF EFIRM SARS-COV-2 ASSAYS

#### 215 Design of EFIRM vRNA assay

216 In order to enhance the sensitivity of the RT-LAMP assay, we designed multiple 217 amplification targets within highly conserved regions and assessed the performance of various 218 combinations of LAMP targets. The most favorable results were obtained when targeting two 219 genomic regions within the N gene of SARS-CoV-2, namely N2 and NL. These regions were 220 identified to confer highest specificity to SARS-CoV-2 detection. The N2 and NL RT-LAMP 221 targeting sequences are highly conserved among different SARS-CoV-2 variants. An in-silico 222 inclusivity analysis was performed aligning the assay primers to 20,329 SARS-CoV-2 223 sequences from GISAID's EpiCov database, including all defined variants. Analysis 224 demonstrated only one out of six primers to include one mismatch to each targeted sequence. 225 Among 20K variant sequences, 99.97% and 99.92% of the mismatches are not located in the 226 last 3 nucleotides near the 3' end. This analysis suggested that N2 and NL primer designs not 227 only have the capability to detect SARS-CoV-2 but also its variants. While one primer set of 228 N2 or NL alone only reaches 99.18% and 98.81% variant matches, respectively, the dual 229 combination of N2 and NL primer sets achieved 100% match to all of the tested SARS-CoV-230 2 variant strains. Therefore, this LAMP-based assay has the capability to maintain high level 231 detection even with the continued rise in variants. Furthermore, RT-LAMP of N2 and NL led 232 to amplicons that can be cleaved by two sets of restriction enzymes to yield 60-bp (HaeII and 233 HincII) and 48-bp (Pst I and BcoD I) short DNA fragments that are optimal lengths for EFIRM 234 detection<sup>20</sup>.

The virus in saliva samples from patients were inactivated by incubation for 15 minutes
at 92 °C. The NL primer set for RT-LAMP targeting the last part of the N gene of SARS-CoV2 sequence (GenBank accession number MN908947) was designed with PrimerExplorer V5
(http://primerexplorer.jp/e/). The N2 primer set was designed as described<sup>6</sup>. 20 µL of saliva

239 samples were mixed with the same volume of TAE buffer and were pretreated by heating at 97 240 °C for 10 minutes and subsequently adding 4 µL of 10% Tween-20. The RT-LAMP reactions were conducted as described by the manufacturer's protocols with WarmStart Colorimetric 241 242 LAMP 2X Master Mix with UDG (NEB, Massachusetts, USA). 20 µL reactions contained 10 243 µL LAMP master mix, 1 µL of 20X primer mix [4 µM F3 and B3, 32 µM Forward Inner Primer 244 (FIP) and Backward Inner Primer (BIP), and 8 µM of Loop Forward (LF) and Loop Backward 245 (LB) primers)], 1µL 0.8M Guanidine hydrochloride (Sigma), 5 µL nuclease-free water, and 3 246 µL pretreated saliva samples. The RT-LAMP reactions were incubated at 65 °C using 247 thermocycler for 40 minutes. The positive control was heat-inactivated SARS-CoV-2 virus (SARS-CoV-2 USAWA1/2020, BEI Resources, cat# NR-52286) spiked into pooled saliva 248 249 collected from donors who tested negative for SARS-CoV-2. The restriction enzyme digestion 250 was performed with four endonucleases (Hae II, Hinc II, BcoD I, Pst I) from New England 251 Biolab. 30 µL reactions contained 3 µL of 10 x Cutsmart Buffer, 0.5 µL Hae II, 0.5 µL Hinc 252 II, 0.5 µL Pst I, 1 µL BcoD I, 19.5 µL water and 5 µL products from RT-LAMP reaction. The mixture was incubated at 37 °C for 15 minutes. The amplified and digested N2 and NL targets 253 were determined by EFIRM assays as described<sup>21</sup>. The sequences of capture and detect probes 254 255 are listed in Supplementary Table 1.

EFIRM vRNA assay was developed and tested on RT-qPCR-positive archived saliva samples collected from acutely infected hospitalized COVID-19 patients within 3 to 15 days after symptom onset (n = 10) vs. infection-naïve patient samples (n = 33).

#### 259 Design of EFIRM nucleocapsid antigen assay

Diluted saliva (1:10) in casein PBS was pipetted into a 96-well electrode microtiter
plate containing pre-immobilized anti-SARS-Cov-2 antibody mouse monoclonal antibody
(mAb) (SinoBiological, Beijing, China) in pyrrole (W338605; Sigma-Aldrich Corp., St. Louis,

263 MO). It was incubated for 10 minutes and then rinsed using PBS-T wash buffer — 1x phosphate-buffered saline (Affymetrix Inc, Sunnyvale, CA) and 0.05% Tween 20 (Bio-Rad, 264 Hercules, CA). 30 µL of 1:500 diluted anti-SARS-CoV-2 antibody Rabbit mAb 265 266 (SinoBiological, Beijing, China) was pipetted into each microplate well. After a 10-minute incubation, the wells were rinsed using PBS-T wash buffer. 30 µL of diluted biotinylated Goat-267 268 anti-Rabbit mAb (Abcam, Waltham, MA) was pipetted into each microplate well. Incubation 269 for 10 minutes followed, and then the wells were rinsed using PBS-T wash buffer. 270 Subsequently, 30 µL of diluted streptavidin-Poly80 Horseradish peroxidase (HRP) solution 271 was pipetted into each microplate well. Another 10-minute incubation was performed, and the 272 wells were rinsed using PBS-T wash buffer. Finally, 60 µL of 3,3',5,5'-tetramethyl-benzidine 273 (TMB)/H2O2 (Thermo Fisher Scientific, Waltham, MA) readout substrate was added, and 274 electrochemical measurement of the plate was carried out at -200 mV for 1 minute.

EFIRM antigen test was developed using saliva samples from acutely infected hospitalized COVID-19 patients (n = 10) and infection-naïve patients (n = 33).

277 Design of EFIRM BAb assay

The EFIRM BAb assay is similar to the methods in our previous publications<sup>18,21-28</sup>. 278 279 The EFIRM anti-RBD IgG/IgM/IgA antibody analytical assays were developed using recombinant monoclonal human IgG, IgA, or IgM antibody against Spike SARS-CoV-2 RBD 280 281 (CR3022) (InvivoGen, San Diego, CA). Diluted detector antibody, IgG Fc goat anti-human biotin (1:500, eBiosciencesTM, San Diego, CA), rabbit anti-human IgA monoclonal biotin 282 (1:800, RevmAb Biosciences, San Francisco, CA), or goat anti-human IgM (1:500, Thermo 283 284 Fisher Scientific, Waltham, MA) in Casein/PBS (Thermo-Fisher, Waltham, MA) was pipetted 285 into each well and incubated for 10 minutes at room temperature to determine the analytical

linearity range, limit of detection, and the standard curve. All positive samples were repeatedto minimize false positives due to analytic variability.

BAb assay was developed and tested on archived saliva samples collected from acutely infected hospitalized COVID-19 patients (n = 35), vaccinated recovered COVID-19 outpatients (n = 13), and vaccinated infection naïve patients (n = 13) along with pre-pandemic ADA saliva samples (n = 88) as the control cohort.

### 292 Design of EFIRM NAb assay

293 Our test was designed to mimic the virus-host interaction in an EFIRM plate well by using purified RBD from SARS-CoV-2 S protein and the host cell receptor ACE2. The EFIRM 294 295 NAb assay development involved immobilizing hACE2 protein onto a gold electrode. A 296 mixture of hACE2 protein (GenScript, Piscataway, NJ) was diluted in a 1 mL master mix 297 containing 5 µl of pyrrole, 50 µl of 3M potassium chloride, and 945 µl of UltraPure water 298 (Thermo Fisher Scientific, Waltham, MA). The hACE2 mixture was added to the wells, 299 ensuring that each well contained 500 ng of hACE2. For receptor immobilization, a cyclic 300 square-wave electrode field was applied for 5 cycles of 1 second at 350 mV and 1 second at 301 950 mV (10 seconds total). After electrochemical polymerization, each electrode underwent a 302 6-cycle wash in PBS-T buffer. Saliva samples underwent centrifugation at 2,600 xg for 15 303 minutes at 4°C. The resulting supernatant, containing cell-free saliva, was used for further 304 analysis. Saliva samples were diluted at 1:2, plasma samples at 1:10, and cPass positive and negative controls at 1:10 using a sample dilution buffer (GenScript, Piscataway, NJ). HRP 305 306 conjugated wild-type RBD was diluted 1:800 with RBD dilution buffer (GenScript, 307 Piscataway, NJ). 60 µL of diluted saliva, plasma, and positive and negative controls, were pre-308 incubated with 60 µL of diluted RBD-HRP for 30 minutes to allow the interaction and binding 309 of neutralization antibodies to RBD-HRP. Subsequently, 100 µL of the mixture was added to

the EFIRM capture plate pre-coated with hACE2 protein. All samples and controls were tested in duplicates. If the sample contained SARS-CoV-2 neutralizing antibodies, they would bind to the RBD-HRP during the initial 30 minutes, inhibiting the interaction with hACE2. However, if the sample lacked neutralizing antibodies, the RBD-HRP would bind to the ACE2coated wells during a 15-minute incubation at 37°C. Wash step was repeated. Finally, 100  $\mu$ L of the TMB solution was applied, and after 5 minutes, a current readout was performed on the reader with a potential of -200 mV for 60 seconds (Figure 1).

317 The percent signal inhibition for the detection of neutralizing antibodies was calculated318 from the formula below.

319

320 %Inhibition =  $(1 - \text{electric current of sample / electric current of negative control}) \times 100.$ 

321

The test was calibrated for the quantitative detection of anti-SARS-CoV-2 neutralizing antibodies using the SARS-CoV-2 Neutralizing Antibody Calibrator (GenScript, Piscataway, NJ). The NAb concentrations were as follows: 300U/mL, 150U/mL, 75U/mL, 37.5U/mL, 18.75U/mL, 9.375U/mL, and 4.688U/mL. The data generated from the NAb calibration curve was plotted with EFIRM current on the Y-Axis versus concentration on the X-Axis using a 4PL model with GraphPad Prism. Quantitative results were expressed in Units/mL<sup>19</sup>.

Saliva NAb assay was developed using saliva samples collected from vaccinated recovered COVID-19 outpatients and vaccinated infection naïve patients (n = 31) along with pre-pandemic SMC saliva samples (n = 60) as the control group. Plasma NAb assay was developed and tested on paired plasma samples obtained at the same visit from vaccinated recovered COVID-19 outpatients and vaccinated infection naïve patients (n = 30) and plasma samples from pre-pandemic plasma cohort (n = 60).

#### 334 STATISTICAL ANALYSIS

335 All the signal readout was calibrated with a SARS-CoV-2 antigen standard (SARS-336 Related Coronavirus 2, Isolate USA-WA1/2020, Gamma-Irradiated, NR-52287, BEI 337 resource), recombinant monoclonal human IgG, IgA, and IgM antibody against Spike RBD 338 (CR3022) (InvivoGen, San Diego, CA), or Neutralizing Antibody Calibrator (GenScript, 339 Piscataway, NJ). Test results were only performed after the positive (SARS-CoV-2 standard) 340 and negative controls (non-SARS-CoV-2 standard) and standard curve had been examined and 341 determined to be valid and acceptable. If the controls were not valid, the patient results could 342 not be interpreted, and the entire assay was repeated. The level of analytes between the groups 343 were compared using the two-tailed test. P values < 0.05 were considered significant. The 344 discriminatory performance of measured analytes in saliva was assessed using the area under the receiver operating characteristic (ROC) curves<sup>29</sup> with the associated 95% confidence 345 interval by the Wilson/Brown method on GraphPad Prism 8<sup>30</sup>. 346

347 **Results** 

#### 348 EFIRM SARS-COV-2 VRNA ASSAY

#### 349 Development of EFIRM vRNA assay

The Saliva SARS-CoV-2 infection/vRNA assay allows direct detection of SARS-CoV-2 vRNA in 3 uL of whole saliva in a tandem reaction of RT-LAMP, restriction enzyme digestion and EFIRM. Two genomic regions of the nucleocapsid gene of SARS-CoV-2 RNA, N2 and NL, were identified to confer highest specificity to SARS-CoV-2 detection. RT-LAMP of N2 and NL led to amplicons that can be cleaved by two sets of restriction enzymes to yield 60-bp (HaeII and HincII) and 48-bp (Pst I and BcoD I) short DNA fragments which are optimal lengths for EFIRM detection.

#### 357 Determination of analytical performance

358 To evaluate the analytic performance of the RT-LAMP assay with N2 and NL, we 359 conducted the assay with different concentrations of purified SARS-CoV2 RNA standards 360 (Figure 2a-d). SYTO-9 double-stranded DNA binding dye was used for monitoring the reaction 361 in real-time on a qPCR machine. As shown in Figure 2c, all 12 replicates of LAMP assay with 362 as low as 6.25 copies/reaction were successfully amplified in 25 min. The other advantage of 363 the LAMP assay was that it could detect the colorimetric change of the reaction<sup>6</sup>. The LOD of 364 the RT-LAMP assay was further determined by 20 replicates with 12 and 6 copies/reaction of 365 RNA template by colorimetric reaction (Figure 2e-f). The LOD of the assay reached 6 366 copies/reaction (detect 19 out 20 replicates) which was at the same level of all quantitative 367 PCR-based assays and 8 times better than published sensitivity of the RT-LAMP assay from 368 New England Biolabs<sup>6</sup>.

369 We further tested the assay for viral direct detection with saliva specimens. The 370 heterogeneity of saliva from different donors can produce different colors between yellow and 371 pink in the colorimetric LAMP assay (data not shown) leading to ambiguous results. To reduce 372 the rate of false positive and false negative results from direct RT-LAMP assay, EFIRM assay 373 was developed by targeting the 60-bp and 48-bp short DNA fragments from restriction enzyme 374 digestion of N1 and NL target, respectively. The analytic performance of this LAMP-EFIRM 375 direct saliva vRNA assay is shown in Figure 3. The LOD of the assay with 100 copies/reaction (12 positive out 12 replicates) was determined using saliva spiked with heat inactivated SARS-376 377 CoV-2 virus (Figure 3a).

#### 378 Clinical validation of vRNA test with saliva

We conducted further testing of the direct detection assay using clinical samples. A total of 43 samples were tested, including 10 from hospitalized COVID-19 patients within 3 to 15 days after symptom onset with confirmed RT-qPCR positive nasopharyngeal swabs, and 33 samples from infection naïve participants. Out of the 10 saliva samples obtained from hospitalized patients, 90% (9/10) showed LAMP-EFIRM positivity (Figure 3b). The vRNA assay distinguished COVID-19 positive patients (n = 10) from healthy (n = 33) with an area under the ROC curve (AUC) of 0.9818 (95% CI: 0.9435–1.000) (Figure 3c).

#### 386 EFIRM SARS-COV-2 ANTIGEN ASSAY

#### 387 Development of SARS-CoV-2 EFIRM antigen assay

388 The Saliva SARS-CoV-2 N Antigen assay detects the N protein by antibody sandwich 389 assay using anti-N mouse mAb to capture SARS-CoV-2 N protein followed by detector 390 antibodies, rabbit anti-N mAb and biotinylated goat anti-rabbit IgG.

#### 391 Determination of analytical performance

The linearity of the assay is displayed in Figure 4a with the range from 300 to 0 TCID<sub>50</sub>/mL. The assay confers exquisite LOD of  $3.5 \text{ TCID}_{50}$ /mL (Figure 4b), which is 7 times more sensitive than the highest performance EUA test at LOD of 22.5 TCID<sub>50</sub>/mL (nasal swab)<sup>31-37</sup> (Supplementary Table 2). Testing was conducted with heat inactivated SARS-CoV-2 strain isolated from positive nasopharyngeal swab specimen with titer of 2.8 x 10<sup>5</sup> TCID<sub>50</sub>/mL or 1.7 x 10<sup>9</sup> genome equivalents/mL (BEI resources, cat# NR-52287).

#### 398 Clinical validation of antigen test with saliva

Saliva clinical samples from acute hospitalized COVID-19 patients within 3 to 15 days after symptom onset with RT-qPCR positive nasopharyngeal swabs, exhibited positive detection of N antigen in all samples (n = 10) with negative detection from healthy control individuals (n = 33) (Figure 4c). Saliva collected from vaccinated infection naïve outpatient samples (n = 33) were used to determine the analytical specificity of 100% with cutoff positivity at 3 standard deviations above the mean. Samples above the cutoff level of 4.04 log10 genome equivalents/mL are considered as true positives. The antigen test has a clinical 406 performance with an AUC of 1.000 (95% CI: 1.000–1.000) (Figure 4d). The mean  $\pm$  SD of N 407 antigen level in acute hospitalized patients was 77.05  $\pm$  35.90 TCID<sub>50</sub>/mL compared to 7.02  $\pm$ 408 3.76 TCID<sub>50</sub>/mL in healthy controls (p < 0.0001) (Figure 4e). Some have suggested that 409 antigen positivity could be a method to identify persons with active infection who are most at 410 risk to transmit to others<sup>38</sup>, as PCR-based tests are known to remain positive beyond the 411 infectious window. The antigen test serves to concordantly affirm the SARS-CoV-2 vRNA 412 results and provides additional information regarding active versus recent infection.

#### 413 EFIRM SARS-COV-2 BINDING ANTIBODY ASSAY

#### 414 Development of SARS-CoV-2 EFIRM BAb assay

The EFIRM anti-SARS-CoV-2 RBD IgG/IgM/IgA antibody assays were developed using recombinant SARS-CoV-2 RBD immobilized onto the gold electrode. Biotinylated antihuman detector antibodies were used to detect anti-SARS-CoV-2 RBD IgG, IgM or IgA in saliva samples. The signal was then enhanced through a standard streptavidin/horseradish peroxidase reaction that generates an electric current measured by the EFIRM reader at the nanoampere (nA) scale.

#### 421 *Determination of analytical performance*

### 422 Linearity

Figure 5a-c demonstrates analytical linearity range of anti-RBD IgG, IgM, and IgA and limit of detection of 39 pg/mL. The Y-axis shows amperage measured in nA and the X-axis is spiked-in concentration of IgG in pg/mL. This allows us to create a standard curve containing the following points: 5 ng/mL, 2.5 ng/mL, 1.25 ng/mL, 0.625 ng/mL, 0.3125 ng/mL, 0.156 ng/mL, 0.7813 ng/mL, and 0 ng/mL. Unknown clinical samples are correlated to the concentration of the antibody by comparison of the normalized current to the curve.

#### 429 Specificity and Reference Range

We analyzed a series of 81 samples collected between 2006 and 2009 at the annual meeting of the ADA. Scatter plots of these data for both nA and ng/mL are shown in Figure 6. We established the mean and standard deviation for both raw nA values and concentration in ng/mL. The analytical specificity was determined by reference range of 5 SD above the mean. A five-sigma level is considered the gold standard significance and would lead to a specificity of 99.9994%.

#### 436 Clinical validation of BAb test with saliva

437 Saliva samples collected from acutely infected hospitalized patients (n = 35, COV+), 438 vaccinated recovered COVID-19 outpatients (n = 13, COV+ VAC+), and vaccinated infection 439 naïve patient samples (n = 13, COV- VAC+) were assayed by EFIRM anti-RBD IgG/IgM/IgA. 440 Pre-pandemic ADA samples were used as controls (n = 88). The first column in the box plot 441 of Figure 5d shows that 33 out of 35 acutely infected hospitalized patients tested positive for 442 anti-RBD antibodies with a sensitivity of 94%. Figure 5e displays combined antibody test 443 performance of 81 healthy controls and 35 hospitalized patients with an AUC of 0.9481 (95%) 444 CI: 0.8792–1.000). The combined antibody assay can detect 100% antibody positivity in 445 vaccinated recovered COVID-19 outpatients and vaccinated infection naïve patients (Figure 446 5d columns 2 and 3). The antibody assay can distinguish COV+ VAC+ and COV- VAC+ from 447 healthy with AUC values of 1.000 (95% CI: 1.000-1.000) and 0.9962 (95% CI: 0.9875-1.000), 448 respectively (Figure 5f-g).

#### 449 EFIRM SARS-COV-2 NEUTRALIZING ANTIBODY ASSAY

#### 450 Development of SARS-CoV-2 EFIRM NAb test

451 The EFIRM NAb assay was developed using hACE2 protein immobilized onto a gold 452 electrode. The protein-protein interaction between RBD-HRP and hACE2 is disrupted by

453 NAbs against SARS-CoV-2 RBD, if present in a clinical sample. The current of the sample is
454 inversely dependent on the titer of the anti-SARS-CoV-2 NAbs.

#### 455 *Determination of analytical performance*

456 To determine the LOD, we conducted a comprehensive experiment to assess the 457 repeatability of the assay. Two different operators independently performed two replicates of 458 negative controls using three different cPass SARS-CoV-2 Neutralization Antibody Detection 459 Kits on three separate EFIRM plates over the course of three days. Using the mean and standard 460 deviation of 108 datasets, we calculated the LOD current using the formula: LOD current = 461 mean current  $-3 \times$  SD and determined the LOD U/mL using a 4PL model in GraphPad Prism. 462 The assay demonstrated high repeatability and reproducibility, with minimal variation due to 463 different effectors (Supplementary Fig. 1). The calculated LOD is 31.6 U/mL (Figure 7a).

#### 464 Comparison to current EUA test

The cPass SARS-CoV-2 Neutralization Antibody assay has an LOD of 47 U/mL for detecting NAbs<sup>13</sup>. In comparison, the EFIRM NAb assay exhibits superior performance with an LOD that is substantially lower than the cPass assay.

#### 468 Clinical validation of NAb test with saliva

469 To validate the clinical performance of the EFIRM saliva NAb assay, we compared 31 470 saliva samples from vaccinated recovered COVID-19 outpatient cohort and vaccinated 471 infection naïve patient cohort (24 vaccinated recovered COVID-19 outpatient samples and 7 vaccinated infection-naïve outpatient samples) with 60 saliva samples from the pre-pandemic 472 473 SMC saliva cohort. The mean  $\pm$  SD of %inhibition in the COVID group was 40.06%  $\pm$  23.65% 474 compared to  $6.42\% \pm 14.45\%$  in the pre-pandemic group (p < 0.0001) (Figure 7b). Based on 475 the %inhibition of each sample, we plotted an ROC curve and determined a cutoff value of 22% signal inhibition. The EFIRM saliva NAb assay distinguished COVID-19 recovered or 476

477 vaccinated infection naïve patients from the pre-pandemic group with an AUC of 0.923 (95%)

478 CI: 0.869 to 0.976), a sensitivity of 87.10%, and a specificity of 86.67% (Figure 7c).

#### 479 Clinical validation of NAb test with plasma

480 For clinical validation of the plasma NAb assay, we compared 30 paired plasma 481 samples obtained at the same visit from COVID-19 recovered or vaccinated patients (23 482 vaccinated recovered COVID-19 outpatient samples and 7 vaccinated infection-naïve patient 483 samples) with 60 plasma samples from pre-pandemic plasma cohort. The mean ± SD of 484 % inhibition in the COVID group was  $93.16\% \pm 4.17\%$  compared to  $6.27\% \pm 9.12\%$  in the pre-485 pandemic group (p < 0.0001) (Figure 7d). The EFIRM plasma NAb assay differentiated 486 COVID-19 recovered or vaccinated patients from the pre-pandemic samples with an AUC of 487 1.000 (95% CI: 1.000-1.000), a sensitivity of 100%, and a specificity of 100%. The cutoff value for the plasma assay was determined to be 26.5% signal inhibition (Figure 7e) 488

#### 489 Clinical agreement between EFIRM plasma NAb assay and PRNT50

To validate the clinical performance of the EFIRM plasma NAb assay a clinical agreement study was conducted using as comparator the PRNT which is the gold standard for detecting NAbs. The cutoff for the PRNT comparator tests was determined as described in Supplementary Table 3. The combined cohort comprised samples from normal healthy people (n = 6) and samples from RT-PCR confirmed SARS-CoV-2 positive patients (n = 9). The EFIRM plasma NAb assay showed 100% positive percent agreement and 100% negative percent agreement with PRNT.

#### 497 Correlation between NAb titers in cPass and EFIRM plasma NAb assays

We assessed the NAb titer in the mentioned 30 plasma samples utilizing both the EFIRM plasma NAb assay and the cPass SARS-CoV-2 Neutralization Antibody assay (GenScript, Piscataway, NJ). Results showed a strong correlation between the level of NAbs 501 measured by the two assays (r = 0.98, p < 0.0001). Pearson correlation coefficient (r) and p-502 value are indicated in Figure 7f.

#### 503 Correlation between NAb concentration in saliva and plasma

504 We compared the level of NAbs in the saliva and plasma samples of vaccinated 505 recovered COVID-19 outpatient and vaccinated infection naïve patient cohorts (n = 30)506 through the EFRIM saliva and plasma NAb assays. A significant correlation was observed 507 between the levels of NAbs in paired saliva and plasma, emphasizing their interrelationship 508 (r = 0.75, p < 0.0001) (Figure 7g).

#### 509 Saliva equivalence of neutralizing activity to SARS-CoV-2 in plasma

510 We also compared the level of NAbs in paired saliva and plasma samples using EFIRM 511 and cPass platforms, respectively. A significant correlation was found between the NAb titers 512 (r = 0.77, p < 0.0001) (Figure 7h). A recent study estimated that a neutralization level of 54 513 international units (IU)/mL in plasma provides 50% protection from SARS-CoV-2 infection<sup>39</sup>. 514 GenScript showcased that titers interpolated from the cPass assay can be converted to WHO IU/mL by multiplying the cPass U/mL titer by a factor of 1.62613<sup>13</sup>. Thus, 54 WHO IU/mL 515 516 will be equal to 33.2 U/mL NAbs interpolated from the cPass calibration curve. This is 517 equivalent to 664 U/mL total NAbs in the plasma sample considering the sample dilution 518 factor. Using a second-order local polynomial regression model (in the log scale), we 519 conducted interpolation to ascertain the saliva equivalency of this level of total NAbs in plasma. 520 The anticipated interpolated value for this level is 87 U/mL total NAb in saliva.

#### 521

#### EFIRM saliva COVID-19 assays compared with current EUA assays

522 The clinical performance of EFIRM's detection of SARS-CoV-2 compared to approved 523 EUA assays for vRNA, antigen, binding antibodies and neutralizing immunity is shown in 524 Table 1. 40 µL of saliva is sufficient for EFIRM to concurrently detect all 4 dimensions of 525 SARS-CoV-2, directly, non-invasively with a performance that surpasses current EUA 526 approved assays.

#### 527 **Discussion**

The EFIRM SARS-CoV-2 RNA assay test offers multiple advantages compared to 528 currently EUA approved viral RNA tests<sup>40</sup>. These include direct detection in only 3 µL of saliva 529 530 without the need for extraction, as well as a detection performance of 100 copies per reaction. 531 The EFIRM antigen assay is compared with other EUA antigen assays on analytical LOD, clinical sensitivity and specificity<sup>41-45</sup>. The assay has an LOD of 3.5 TCID<sub>50</sub>/mL, which 532 533 is 7 times more sensitive than the highest performance EUA test at LOD of 22.5 TCID<sub>50</sub>/mL (nasal swab)<sup>31-37</sup>. For clinical samples, EFIRM demonstrated 100% specificity and 100% 534 sensitivity when samples were collected within 15 days of symptom onset. In addition, EFIRM 535 536 is a quantitative assay as other antigen assays are qualitative. The EFIRM antigen test is a non-537 invasive and easily accessible saliva-based test. It eliminates the need for sample pre-treatment, 538 utilizing the whole saliva sample with 3 µL saliva required for each assay. Since COVID-19 539 antigen level is very time sensitive, the antigen assay developed here is easy for long time 540 monitoring of the viral load.

541 Current EUA serology assays only include IgG and IgM analytes. EFIRM BAb assay 542 is the only quantitative SARS-CoV-2 anti-RBD assay in saliva with comparable sensitivity and 543 specificity to existing EUA serology assays that include IgA detection. Our goal was to create 544 a quantitative saliva-based antibody assay with enhanced sensitivity and specificity by 545 combining detection of IgG/M/A and a reference range of 5 sigma greater than the mean to 546 overcome false positives. The anti-RBD antibody test is plate-based and high-throughput that 547 performs with an AUC greater than 0.94. With healthcare workers at high risk of exposure to 548 SARS-CoV-2 and mandatory immunization, this test can serve as an appropriate longitudinal assessment of antibody levels. 549

550 Our exclusive electrochemical saliva-based assay for quantifying SARS-CoV-2 551 functional neutralizing antibodies is multiplexable, quantitative, and non-invasive. It stands as 552 the only testing method capable of accurately assessing neutralizing antibodies in saliva 553 samples. The saliva NAb assay demonstrates sufficient sensitivity and specificity, making it 554 valuable for population-based monitoring and individual monitoring post-vaccination. To explore the potential diagnostic utility of saliva in measuring systemic neutralizing antibodies, 555 556 we investigated the correlation between NAb levels in saliva and plasma. The findings revealed 557 a significant positive correlation in neutralizing antibody titers, suggesting that saliva could 558 serve as a surrogate measure of systemic immunity to SARS-CoV-2. This study marked the first comparison of neutralizing antibody levels in saliva and plasma<sup>19</sup>. 559

#### 560 LIMITATIONS

This study has a few limitations that should be considered. Firstly, the sample size was relatively small, indicating the need for larger studies to confirm the reproducibility of the findings. Secondly, the cohorts used in the analysis of saliva NAb assay were from two different countries, serving as the pre-pandemic and vaccinated recovered COVID-19 outpatient and vaccinated infection naïve patient cohorts. Ideally, it would have been preferable for the cohorts to be from the same country to minimize potential confounding factors.

### 567 Conclusion

568 Our comprehensive assay, capable of detecting SARS-CoV-2 vRNA, antigen, BAbs, 569 and functional NAbs, holds immense value in diagnosing both acute and convalescent COVID-570 19 infections, as well as assessing an individual's immunization status following vaccination. 571 This versatile assay not only allows for the swift and precise identification of SARS-CoV-2 572 but also establishes a framework for addressing potential future pandemics. Its capability for 573 the rapid development of EFIRM tests for various antigens makes it a valuable tool for early

- 574 identification and monitoring of emerging infectious diseases. This diagnostic platform has the
- 575 potential to revolutionize future pandemic preparedness and response strategies, facilitating
- 576 prompt and efficient containment of novel pathogens.

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#### 679 AUTHOR CONTRIBUTIONS

C.L., F.I., J.F., O.Y., D.C., Y.K., and D.W. contributed to the study conception and
design. A.M., S.C., F.L., F.W., and M.A. contributed to data collection, statistical analysis, and
interpretation of the results. The first draft of the manuscript was written by A.M., S.C., F.L.,
and F.W. All authors reviewed the paper, provided significant feedback, and approved the final
manuscript.

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were supplied by GenScript.

#### 689 COMPETING INTERESTS

690 O.Y. is the scientific advisory board for CytoDyn (stock options and cash) and board
691 of directors for Applied Medical (stock and cash). D.W. gets a consulting fee from
692 AIONCO/Avellino and has stock options in AIONCO/Avellino. Other authors declared no
693 conflict of interests.

#### 694 DATA AVAILABILITY

All data generated or analyzed during this study are included in this published article(and its Supplementary Information files).

Figure 1. Schema and biorecognition elements of saliva SARS-CoV-2 viral RNA, N antigen,
binding antibody, and neutralizing antibody assay

699

700 Figure 2. The analytical performance of RT-LAMP vRNA assay with extracted viral RNA. 701 The N2 + NL RT-LAMP assay performance using quantitative PCR (qPCR) control SARS-702 CoV-2 viral RNA from BEI resources (cat# NR-52346) at A, 25 copies/reaction, B, 12.5 703 copies/reaction, C, 6.25 copies/reaction, and D, no-template negative control. The assays were 704 conducted with SYTO-9 dye for monitoring the reaction on qPCR machine. 12 replicate 705 reactions were performed at each concentration. The LOD of the assay was further determined 706 with colorimetric RT-LAMP reaction on 20 replicates with 12 (E) and 6 (F) copies/reaction of 707 SARS-CoV-2 RNA.

708

Figure 3. Analytical and Clinical performance of LAMP-EFIRM direct Saliva SARS-CoV-2 vRNA assay. A, The LOD was determined with saliva spiked with heat inactivated SARS-CoV-2 virus. NTC, no-template control. B, Viral RNA test analysis results for RT-qPCRpositive samples of acutely infected hospitalized patients (n = 10) vs vaccinated infection-naïve patient samples (n = 33). Box plot of vRNA test results corresponding to EFIRM measurement. The dotted line indicates cutoff of mean + 3 × SD. C, ROC analysis of vRNA assay performance within 15 days post onset of symptoms resulted in an AUC of 0.9818.

Figure 4. Analytical and clinical performance of EFIRM direct Saliva SARS-CoV-2 N Antigen assay. A, Analytical linearity with NR-52287 (gamma inactivated virus) from 0–300 TCID<sub>50</sub>/mL. B, LOD determined by 24 replicates at LOD, 2 LOD and  $\frac{1}{2}$  LOD. C, Antigen test analysis results for RT-qPCR-positive samples of acutely infected hospitalized patients (n = 10) vs vaccinated infection naïve patient samples (n = 33). Box plot of antigen test results

corresponding to Log10 genome equivalence. The dotted line indicates cutoff of mean + 3 ×
SD. D, ROC analysis of antigen assay performance within 15 days post onset of symptoms
resulted in an AUC of 1.000. E, Box plot of antigen test corresponding to EFIRM antigen level
(TCID50/mL).

726

727 Figure 5. Analytical and Clinical performance of EFIRM direct saliva SARS-CoV-2 antibody 728 assay. A-C, Linear range for anti-RBD IgG, B, IgM, and C, IgA assays. D, Antibody test results 729 for ELISA serum-positive samples of acutely infected hospitalized patients (n = 35, COV+), 730 vaccinated recovered COVID-19 outpatients (n = 13, COV+ VAC+), and vaccinated infection 731 naïve patient samples (n = 13, COV- VAC+) vs healthy control samples (n = 81). Box plot of 732 antibody test results corresponding to measured IgG/IgM/IgA in ng/mL. E-G, ROC analysis 733 of antibody test performance resulted in AUC of 0.9481, 1.000, and 0.9962 for COV+, COV+ 734 VAC+, and COV- VAC+ groups, respectively.

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Figure 6. Healthy reference range of saliva anti-RBD antibody assay of 81 healthy subjects in normalized current ( $\Delta$ nA) and ng/mL of A-B, IgG, C-D, IgM, and E-F, IgA assays.

738

739 Figure 7. Analytical and clinical performance of EFIRM saliva and plasma SARS-CoV-2 740 neutralizing antibody assay. A, SARS-CoV-2 NAb Calibration Curve and calculated LOD. B, 741 NAb test results for saliva samples of vaccinated recovered COVID-19 outpatients and 742 vaccinated infection naïve patients (n = 31) vs pre-pandemic SMC saliva samples (n = 60). 743 Box plot of NAb test results corresponding to measured %inhibition. C, ROC analysis of saliva 744 NAb test performance resulted in an AUC of 0.923. D, NAb test results for plasma samples of 745 vaccinated recovered COVID-19 outpatients and vaccinated infection naïve patients (n = 30) 746 vs pre-pandemic plasma samples (n = 60). E, ROC analysis of plasma NAb test performance resulted in an AUC of 1.000. F, A correlation of r = 0.98 was found between NAb titers in cPass and EFIRM plasma NAb assays. G, A correlation of r = 0.75 was observed between NAb titers in paired saliva and plasma measured on EFIRM platform. H, A correlation of r = 0.77was found between NAb titers in paired saliva and plasma measured on EFIRM and cPass platforms, respectively.

Assay	LOD	Sensitivity	Specificity	Singular EUA Test (LOD or Sensitivity)	Comparison to EUA Tests	ТАТ	Volume	Variants	Costs per Assay	Test Setting	Multiplex
vRNA	100 copies/reaction	90% (9/10) (≤15 days post sx)	100% (33/33)	100 copies/reaction (SalivaDirect)	1X	60 min	3 µL	Yes	\$5.30	Point-of- care Collection/ Reference Lab	Yes
Antigen	3.5 TCID50/mL	100% (10/10) (≤15 days post sx)	100% (33/33)	22.5 TCID50/mL (Nasal swab)	7X	55 min	3 µL	Yes	\$6.46	Point-of- care Collection/ Reference Lab	Yes
Combined IgG/M/A Antibody	39 pg/mL	95% (33/35)	100% (81/81)	86-100% IgM serology; 90-100% IgG serology; No EUA IgA serology test available	1X to serology assays. No saliva EUA tests available	45 min	3 µL	Yes	\$9.42	Point-of- care Collection/ Reference Lab	Yes
Neutralizing antibody	31.6 U/mL	87.10% (27/31)	86.67% (52/60)	no EUA saliva neutralizing antibody test available	no EUA saliva neutralizing antibody test available	60 min	30 µL	Yes	\$9.50	Point-of- care Collection/ Reference Lab	Yes

# 752 Table 1. Performance of EFIRM saliva SARS-CoV-2 assays compared to EUA authorized tests



Figure 1. Schema and biorecognition elements of saliva SARS-CoV-2 viral RNA, N antigen, 

binding antibody, and neutralizing antibody assay



Figure 2. The analytical performance of RT-LAMP vRNA assay with extracted viral RNA. 760 761 The N2 + NL RT-LAMP assay performance using quantitative PCR (qPCR) control SARS-762 CoV-2 viral RNA from BEI resources (cat# NR-52346) at (a) 25 copies/reaction, (b) 12.5 763 copies/reaction, (c) 6.25 copies/reaction, and (d) no-template negative control. The assays were 764 conducted with SYTO-9 dye for monitoring the reaction on qPCR machine. 12 replicate 765 reactions were performed at each concentration. The LOD of the assay was further determined 766 with colorimetric RT-LAMP reaction on 20 replicates with 12 (e) and 6 (f) copies/reaction of 767 SARS-CoV-2 RNA.



768 Figure 3. Analytical and Clinical performance of LAMP-EFIRM direct Saliva SARS-CoV-2 769 vRNA assay. (a) The LOD was determined with saliva spiked with heat inactivated SARS-CoV-2 virus. NTC, no-template control. (b) Viral RNA test analysis results for RT-qPCR-770 771 positive samples of acutely infected hospitalized patients (n = 10) vs vaccinated infection-naïve 0.8792 - 1.000 patient samples (n = 33). Box plot of vRNA test results corresponding to EFIRM measurement. 772 773 The dotted line indicates cutoff of mean +  $3 \times SD$ . (c) ROC analysis of vRNA assay 774 performance within 15 days post onset of symptoms resulted in an AUC of 0.9818. 775



777 Figure 4. Analytical and clinical performance of EFIRM direct Saliva SARS-CoV-2 N Antigen 778 assay. (a) Analytical linearity with NR-52287 (gamma inactivated virus) from 0-300 779 TCID<sub>50</sub>/mL. (b) LOD determined by 24 replicates at LOD, 2 LOD and ½ LOD. (c) Antigen 0.8792 - 1.000 780 test analysis results for RT-qPCR-positive samples of acutely infected hospitalized patients (n 781 = 10) vs vaccinated infection naïve patient samples (not 33). Box plot of antigen test results 1.000 782 corresponding to Log10 genome equivalence. The dotted line indicates cutoff of mean +  $3 \times$ 783 SD. (d) ROC analysis of antigen assay performance within 15 days post onset of symptoms 784 resulted in an AUC of 1.000. (e) Box plot of antigen test corresponding to EFIRM antigen level 785 (TCID50/mL). 786



787 Figure 5. Analytical and Clinical performance of EFIRM direct saliva SARS-CoV-2 antibody assay. (a-c), Linear range for anti-RBD IgG, B, IgM, and C, IgA assays. (d) Antibody test 788 789 results for ELISA serum-positive samples of acutely infected hospitalized patients (n = 35, 790 COV+), vaccinated recovered COVID-19 outpatients (n = 13, COV+ VAC+), and vaccinated 791 infection naïve patient samples (n = 13, COV- VAC+) vs healthy control samples (n = 81). 792 Box plot of antibody test results corresponding to measured IgG/IgM/IgA in ng/mL. (e-g) ROC 793 analysis of antibody test performance resulted in AUC of 0.9481, 1.000, and 0.9962 for COV+, 794 COV+ VAC+, and COV- VAC+ groups, respectively.



Figure 6. Healthy reference range of saliva anti-RBD antibody assay of 81 healthy subjects in
normalized current (ΔnA) and ng/mL of (**a-b**) IgG, (**c-d**) IgM, and (**e-f**) IgA assays.



Figure 7. Analytical and clinical performance of EFIRM saliva and plasma SARS-CoV-2 neutralizing antibody assay. (a) SARS-CoV-2 NAb Calibration Curve and calculated LOD. (b) NAb test results for saliva samples of vaccinated recovered COVID-19 outpatients and vaccinated infection naïve patients (n = 31) vs pre-pandemic SMC saliva samples (n = 60). Box plot of NAb test results corresponding to measured %inhibition. (c) ROC analysis of saliva NAb test performance resulted in an AUC of 0.923. (d) NAb test results for plasma samples of vaccinated

- 803 recovered COVID-19 outpatients and vaccinated infection naïve patients (n = 30) vs pre-pandemic plasma samples (n = 60). (e) ROC analysis of
- 804 plasma NAb test performance resulted in an AUC of 1.000. (f) A correlation of r = 0.98 was found between NAb titers in cPass and EFIRM
- 805 plasma NAb assays. (g) A correlation of r = 0.75 was observed between NAb titers in paired saliva and plasma measured on EFIRM platform. (h)
- 806 A correlation of r = 0.77 was found between NAb titers in paired saliva and plasma measured on EFIRM and cPass platforms, respectively.

# Supplementary Files

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• MohammadiSupplementaryFile.pdf