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# Cotton Chronology: Convolutional Neural Network Enables Single-Plant Senescence Scoring with Temporal Drone Images

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#### **Method Article**

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# 12 Abstract

13 Senescence is a degenerative biological process that affects most 14 organisms. Timing of senescence is critical for annual and perennial crops 15 and is associated with yield and quality. Tracking time-series senescence data 16 has previously required expert annotation and can be laborious for large-17 scale research. Here, a convolutional neural network (CNN) was trained on 18 unoccupied aerial system (UAS, drone) images of individual plants of cotton 19 (*Gossypium hirsutum* L.), an early application of single-plant analysis (SPA). 20 Using images from 14 UAS flights capturing most of the senescence window, 21 the CNN achieved 71.4% overall classification accuracy across six 22 senescence categories, with class accuracies ranging between 46.8% to 23 89.4% despite large imbalances in numbers of images across classes. For example, the number of images ranged from 109 to 1,129 for the lowestperforming class (80% senesced) to the highest-performing class (fully
healthy). The results demonstrate that minimally pre-processed UAS images
can enable translatable implementations of high-throughput phenotyping
using deep learning methods. This has applications for understanding
fundamental plant biology, monitoring orchards and other spaced plantings,
plant breeding, and genetic research.

31

#### 32 Keywords

33 Deep learning; convolutional neural networks; drones; phenomics; cotton;34 senescence

35

# 36 List of abbreviations

37 CNN: convolutional neural network

38 FHTP: field-based high-throughput phenotyping

39 ReLU: rectified linear unit

40 SPA: single-plant analysis

- 41 UAS: unoccupied aerial system, drone
- 42

# 43 Background

44 Senescence

45 Senescence is a term encompassing the summation of gene-, cell-,46 tissue-, and organism-level changes that lead to deterioration of biological

47 function. In monocarpic plants (mostly annuals), a single reproductive 48 episode precedes the organism's death, whereas in polycarpic plants (mostly 49 perennials), at least two reproductive episodes take place (1). Shuttling of 50 assimilates from vegetative to reproductive organs in crop plants is a key 51 feature of end-of-season senescence as it impacts harvest index of fruit, grain 52 or seed, composition, as well efficiency of nutrient use (2). Animal and plant 53 senescence can be conceptualized similarly, though due to the sessile nature 54 of plants, senescence is specifically characterized by greater susceptibility to 55 environmental stresses, pathogens, or physical damage (3). Contrary to 56 appearing as a disorganized process at the cellular level, structural changes 57 to senescing cells occur in an ordered manner, with leaf senescence under 58 nuclear control (4, 5). Though leaf senescence is often viewed as a proxy for 59 plant age, plant breeders frequently interpret it as a response to stress and 60 factor it into selection decisions (6), underscoring importance and potential 61 as a quantitative selection metric.

Despite history of cultivation dating back at least 3,000 years (7), 62 63 *Gossypium* (cotton) maintains an indeterminate growth habit characteristic 64 of perennials (8). In cotton, avoidance of adverse late-season weather 65 conditions is dependent on optimal senescence timing, with premature 66 senescence and late boll maturity potentially conferring reductions in fiber 67 quality and yield (9). Leaf senescence can be accelerated by extreme high or 68 low temperatures, with high temperatures promoting an increase in 69 chloroplast reactive oxygen species, thus damaging the chloroplast, thylakoid

70 membrane, and photosynthesis-associated proteins, which ultimately impacts 71 photosynthetic electron transfer (10). Drought, high salinity, and low 72 temperatures can result in increased abscisic acid concentration in leaves, 73 which is also associated with senescence progression (11). The source-sink 74 ratio can impact aging of cotton, as well as cereal crops. Senescence of cotton 75 was delayed by removal of fruiting branches (increasing the source-sink ratio) 76 and accelerated by removing leaves (decreasing the ratio) (8, 12). Kumar et 77 al. (13) proposed a model for source-sink-regulated senescence in maize in 78 which programmed cell death and senescence are induced by the confluence 79 of abscisic acid signaling, oxidative stress, and photosynthetic feedback 80 inhibition.

#### 81 *High-throughput phenotyping analyses of senescence*

82 Despite importance of senescence as a crop trait, robust evaluations of 83 large numbers of genotypes and/or genotype-by-environment combinations 84 are complicated by the need to evaluate across time based on repeated 85 observations that span multiple stages of the overall maturation period. 86 Because the flowering habit of cotton is indeterminate, the maturation period 87 can last weeks to months. This "phenotyping bottleneck" for senescence 88 might be effectively overcome using unoccupied aerial systems (UAS, also 89 known as UAVs or drones) analysis and modeling of temporal phenotypes 90 through the capture of images (14) which forms the basis of field-based high-91 throughput phenotyping (FHTP). Makanza et al. (6) previously reported a 92 senescence index for a single time-point in maize derived from UAS images

93 and visual scores, both with moderately high heritabilities, with the 94 senescence index displaying a significant association with grain yield. Using 95 multispectral images of wheat, Hassan et al. (15) found temporal vegetation 96 indices were more effective at selecting slow-senescing lines than a single 97 ground-based score. Visually scoring senescence in time-series orthomosaics 98 of maize hybrids, DeSalvio et al. (16) identified quantitative phenotypic 99 indicators of senescence progression through plot-based temporal vegetation 100 indices. While each of these studies demonstrated the applicability of UAS to 101 decrypt senescence quantitatively using spectral data, further 102 methodological development is required to increase the scale and throughput 103 of phenomics-based senescence characterization for plant biology, plant 104 breeding, genetics, and commercial applications.

# 105 Deep learning for plant phenotyping

106 Plant breeding programs depend on generational or yearly recording 107 of phenotypic traits, many of which require time-consuming and labor-108 intensive collection methods. Accurately mapping connections between 109 phenotype and genotype and ultimately saturating the phenome (17) will 110 require analysis methods that can shuttle broad classes of data through 111 automated processing pipelines requiring little modification in each use case. 112 Representation learning circumvents the feature extraction required by 113 conventional machine learning techniques by allowing algorithmic discovery 114 of important features needed for classification or detection tasks (18). 115 Beginning with raw inputs such as images, deep learning methods function 116 via a series of nonlinear layers that transform the raw input to slightly more 117 abstract representations with each layer, ultimately amplifying signal from 118 noise (18). For example, early layers may detect basic features such as plant 119 material vs. soil while more abstract layers might enable distinction between 120 different types of fungal disease within the same plant species. Within the 121 deep learning class of models, convolutional neural networks (CNNs) are 122 suitable for image recognition and categorization as they can learn complex 123 and nonlinear mappings from large example data sets (19). CNNs are 124 generally characterized by three types of neural layers: convolutional, 125 pooling, and fully connected layers (20). During the forward stage of training, 126 the input image is passed through each layer where the current weights and 127 biases within each layer are applied, and the output (a prediction) is 128 subsequently compared with the ground "truth" labels to calculate the loss. 129 After each convolutional layer, nonlinearity is often introduced using the 130 Rectified Linear Unit (ReLU) function (21) which also combats the vanishing 131 gradient problem (22). During the second stage of training, backpropagation 132 entails iterative application of the chain rule to calculate the gradient of the 133 loss function with respect to each parameter, with parameters updated based 134 on these calculations (20). Loss cost (differences between predicted and true 135 labels) is reduced through repetitions of forward and backward stages until 136 either a predesignated number of epochs is reached or a loss cutoff defining 137 a stagnated learning rate is surpassed. Fully connected layers generally 138 employ dropout to avoid overfitting (22-24).

139 CNNs have previously demonstrated utility in plant sciences, with 140 applications including segmentation of overlapping field plants in maize (25), 141 soybean stress (26), and disease detection in bell pepper, potato, and tomato 142 (27), wheat (28), and within the PlantVillage data set, which includes 39 143 classes of plant leaves with varying diseases (29). Ubbens and Stavness (30) 144 demonstrated an early application of neural networks for leaf counting, 145 classifying mutants, and plant age using primarily the International Plant 146 Phenotyping Network (IPPN) phenotyping data set (31). This was followed by 147 the development of a method to augment plant phenotyping data sets using 148 rendered synthetic plant images that improved model performance for 149 counting leaves in rosette plants (32). Using UAS images, Hosseinv et al. (33) 150 reported a CNN-based framework to count maize (Zea mays L.) plants from 151 RGB images. Osco et al. (34) trained a CNN to both detect and geolocate 152 plantation-rows in maize (Zea mays L.) and citrus (Citrus sinensis). These 153 varied studies serve as early examples of a synergy between FHTP and deep 154 learning. To our knowledge, no CNN method has yet been reported to enable 155 single-plant analysis (SPA) of field-grown row crops. SPA would represent a 156 paradigm shift from whole-plot analysis that is currently common in 157 agricultural experiments. Most SPA studies to date have focused on 158 individual tree phenotyping and have been conducted manually without the 159 benefit of CNNs. Zarco-Tejada et al. (35) quantified tree height and crown 160 shapes using digital surface models (DSMs) generated from UAS images; 161 Díaz-Varela et al. (36) estimated olive tree crown parameters using DSMs;

162 Fujimoto et al. (37) developed a process to detect individual trees within a 163 forest ecosystem, estimate forest structure, and predict future carbon 164 examples of SPA for row crops pre-dating dynamics. Early the 165 implementation of CNN-based analysis include an exploration of individual 166 plant height variability in cabbage, pumpkin, barley, and wheat (38) and the 167 analysis of the relationship between single-plant normalized difference 168 vegetation index scores with full plot yield in winter wheat (*Triticum aestivum* 169 L.) (39), however SPA remains underexplored for row crops. Novel SPA 170 methods are hypothesized to enable early-generation selection in plant 171 breeding, increased statistical power without requiring increased land usage, 172 and refine dissection of genotype I environment interactions at the single-173 plant level. From a crop improvement perspective, SPA could enable 174 advancing the best individual plants to near homozygosity, saving time, 175 space, resources, and removing the need to randomly select individual plants 176 within a plot in single seed descent.

The methods proposed in this article serve to address the growing need for novel analysis methods in dissecting the plant phenome and conducting targeted plant biology studies as well as applied field breeding. The main objectives of this methods article were to: 1) develop a pipeline to rapidly segment orthomosaics from multiple time points into labeled individual-plant images for model training; 2) train a CNN to classify images of single cotton plants into six senescence categories; 3) demonstrate that time-intensive

184 preprocessing techniques such as cropping soil or removing background may185 not be needed to achieve robust classification accuracy.

186

187 **Results** 

188 Across 235 cotton plants imaged 14 times, a total of 3,290 images were 189 used, along with visual ratings classified from 0 (healthy) to 5 (senesced) to 190 train the model. After 200 iterations of randomly splitting the data set by 191 genotype into 80% training and 20% validation partitions (and further 192 partitioning the training set into an 80/20 split) and training the model for 50 193 epochs in each iteration, the average classification accuracy (regardless of 194 senescence class) was 0.714±0.030 (Fig. 1A). Compiling the training 195 accuracy and validation accuracy revealed a plateau in validation accuracy 196 near epoch 15, indicating the model could be trained with less time and 197 computing resources while still achieving robust classification accuracy (Fig. 198 **1B**). In the same training scenario as previously described, loss converged to 199 1.506±0.396 (Fig. 1C). Near the 15-epoch mark, validation loss plateaued 200 near 0.73 and started to increase past 20 epochs. A notable spread existed 201 between loss and validation loss at epoch 50, which, as was observed with 202 accuracy and validation accuracy, suggests early stoppage could be 203 implemented for this model without detriment to classification accuracy (Fig. 204 **1D**).

205 To dissect the performance of the CNN within each of the six206 senescence classes, an average confusion matrix was calculated from

207 applying the model generated in each of the 200 iterations to the unseen data 208 within the 20% partition of validation images. As described in Methods, 209 images were minimally preprocessed: the dimensions were resized to be 210 identical and the pixel values were normalized from [0, 255] to [0, 1]. 211 Normalizing performance by predicted class revealed the model performed 212 best in the senescence category of 5 at 89.4% accuracy, followed by 213 predicting scores of 0 with 80.5% accuracy (**Fig. 1E**). The model was able to 214 achieve moderate accuracy when classifying images with scores of 1 (63.3%) 215 and 2 (58.0%), however in these cases, misclassification was between 14.0% 216 and 22.6%, with the model often incorrectly predicting a lower score than the 217 ground truth senescence label. The model struggled more with categories 3 218 (51.7%) and 4 (46.8%), with misclassification biased toward lower and higher 219 scores in 3 and 4, respectively.

220 Precision, the number of correct predictions of a given class divided by 221 all instances of that class in the data set, was highest for categories 0 and 5 222 (Fig. 1F). Precision decreased beginning with class 1 moving up to class 4, 223 ranging from 0.655 to 0.381. For recall, the number of correct predictions of 224 a given class divided by all predictions for that class, the CNN revealed the 225 same order of performance, though categories 2, 3, and 4 revealed 226 improvements over their respective precision values (Fig. 1F). The F1 score, 227 the harmonic mean of precision and recall, was calculated for each category, 228 and again revealed 5 and 0 as the top-performing categories with 1, 2, 3, and 229 4 again following in descending order (**Fig. 1F**).



230

231 232 Figure 1. Evaluation metrics are reported for the six-category senescence scoring CNN. All metrics were calculated after training and evaluating the CNN in 200 instances, each with a 233 random train/test split by genotype and each iteration allowed to train for 50 epochs. (A) 234 Validation accuracy is given for the average classification performance, regardless of 235 senescence category. (B) Accuracy and validation accuracy are reported with black lines 236 indicating the average of each metric and shaded regions denoting  $\pm$  standard deviation. (C) 237 Validation loss across the entire training process. (**D**) Average loss and validation loss across 238 50 epochs. (E) The average confusion matrix normalized according to row. (F) Precision, 239 recall, and F1 scores for each senescence category.

240

#### 241 Discussion

In this article, a convolutional neural network (CNN) was trained to predict single-plant senescence scores using raw images extracted from RGB orthomosaics. This serves as the first incidence of applying field-based highthroughput phenotyping (FHTP) with drones to enable automated CNN single-plant senescence scoring. Notably, this model did not draw upon pre247 trained weights as an objective of the study was to evaluate the robustness 248 of image-based learning with in-house data taken directly from temporal 249 drone images. However, pre-trained networks have demonstrated success in 250 tasks such as classifying tomato diseases (40), rice diseases (41), and 251 identifying plant pests (42), though largely in controlled environments where 252 SPA is routine. After developing a CNN aimed at identifying species of 253 Miridae ("plant bugs") using curated training images, Knyshov et al. (42) 254 reported a model accuracy of 62% when applied to live field images, 255 indicating generalizability of the model despite a modest number of images 256 belonging to each class. In the present study, however, the model was only 257 provided access to field images and achieved performance on par with this 258 result, indicating that non-curated images have potential to enable robust 259 classification accuracy with limited manual pre-processing before model 260 training. A significant challenge in the present study was the uneven 261 distribution of images belonging to each senescence score category (**Table** 262 **1**). Cotton, with wide spacing, is an ideal crop species to test single-plant 263 analysis (SPA), however as a perennial, its ability to withstand drought and 264 heat stress produced a disproportionate number of low-senescent plants with 265 many plants exhibiting stay-green or demonstrating a resurgence in vigor 266 (Fig. 3). Thus, it is expected that when trained on images of annual crops 267 such as maize and sorghum where senescence must occur before harvest, the 268 class imbalances will be resolved, providing more examples of scores in the 269 3 and 4 categories lacking in this data set; however, the close spacing and overlapping canopies of such crops will pose novel challenges in FHTP SPA.
Future SPA studies using cotton or other perennials might focus on
introducing extreme heat or nutrient stress to induce senescence in a greater
proportion of individual plants.

274 One of the most promising aspects of drone-based FHTP is its potential 275 to assign quantitative measures to temporal phenotypes. As a selection 276 metric, tracking senescence temporally can provide value to plant biologists 277 and breeders seeking to elucidate relationships between different germplasm 278 and critical agronomic traits such as heat stress tolerance, drought 279 resilience, and timing of yield-related traits such as boll opening in cotton or 280 grain filling duration in other crops. The CNN trained in this study provides 281 early evidence that single-plant FHTP images can train models that are 282 deeper than binary classification. Even with a limited number of example 283 images of each category, a CNN could delineate differences between subtly 284 distinct images. However, as demonstrated in Fig. 1E and 1F, a higher 285 number of examples of each class would likely have led to improved 286 classification accuracy, precision, and recall. The ability of CNNs to filter 287 signal from noise was demonstrated in this study as the lighting conditions 288 between drone flights were often different, indicating the model was able to 289 identify that plant pixels, not soil, were critical for delineating scores between 290 images.

It is possible that the subjective visual "ground truth" ratingsimplemented in this study to score senescence were part of the classification

293 error, and future work might include an additional objective measure such as 294 grouping/binning vegetation indices of individual plants across time into 295 categories, thereby providing an objective measure of senescence scoring to 296 be used as a comparison against visual rating. As in DeSalvio et al. (16), visual 297 scores in this study were recorded based on orthomosaics, and using 298 senescence-sensitive indices such as the red chromatic coordinate index may 299 lead to improved model performance and could resolve some of the errors in 300 the 3 and 4 categories seen in the confusion matrix (**Fig. 1E**).

301 The analysis pipeline developed in this study (**Fig. 2**) is adaptable to 302 quantitative temporal analysis of single-plant images of other phenotypes 303 involving spectral changes and could likely be applied to disease scoring or 304 plant growth rates as estimated by vegetation indices. Though manual 305 annotation (visual scoring or note-taking from plants or images of plants, or 306 a field-based device) is required as a ground truth to train CNNs, the potential benefits to research programs from automating laborious and bias-prone 307 308 plant selection metrics likely outweigh the time required for initial image 309 annotation. A considerable amount of time within many research programs is 310 spent in the data wrangling and curation phase before analysis is conducted, 311 which delays decision-making regarding which genotypes are selected for 312 future trials. CNNs have the potential to minimize or remove the need to 313 annotate data with features such as spatial and environmental data, as the 314 phenome of each plant, comprising the summation of all effects within and 315 on a plant regarding genotype and environment, is of interest (17). CNNs can learn these features from raw images and have the potential to supplement
and improve visual selection methods that currently persist in plant sciences.

319 Conclusions

320 Using minimally pre-processed, time-series images of senescence in 321 single cotton plants, a convolutional neural network (CNN) demonstrated the 322 ability to classify senescence across six categories with 71.4% accuracy, with 323 performance varying by category. The novel methods presented in this report 324 highlight translatable implementations of field-based high throughput 325 phenotyping (FHTP) and enable a paradigm shift from whole-plot analysis to 326 single-plant analysis (SPA), which has the potential to allow more precise 327 selection and advancement of germplasm, to guantify plant resilience at the 328 individual level, and to study temporal morphology differences within 329 genotypes.

330

331 Methods

Fig. 2 depicts a graphical summary of the methods employed in this
article. Descriptions of methods used are listed in the order in which they
appear.



336 Figure 2. Graphical overview of data collection, image annotation, and model training. 337 Except for  $(\mathbf{B})$ , image saturation is enhanced to highlight differences in senescence 338 progression between plants. Images were not modified in any way for CNN model training 339 and testing purposes. (A) Orthomosaics from a representative sample of 7 of the 14 flights 340 capturing the senescence window are shown in sequential order; (B) single-plant shapefiles 341 were constructed and overlaid on each orthomosaic with minor adjustments to boundary 342 boxes made as needed: (C) individual TIFs were extracted from each orthomosaic: (D) 343 individual plants were scored for senescence;  $(\mathbf{E})$  data were partitioned by genotype into 344 80% (training) and 20% (testing) sections; (F) the CNN was constructed with three 345 convolutional layers, three pooling layers, a flattening layer, and two dense layers; (G) model 346 metrics were calculated including overall accuracy, recall, precision, and F1 scores for each 347 class.

348

335

#### 349 Cotton germplasm and experimental design

A field experiment was conducted in College Station, TX, between April and September 2023 to evaluate upland cotton (*G. hirsutum*) BC5 backcrossinbred lines containing small proportions of the genome of the wild Hawaiian cotton *G. tomentosum* (Nutt. ex Seem.), including 12 chromosome substitution lines, 35 chromosome segment substitution lines, two pure upland lines, a check, and a "filler". Greenhouse-grown three-week old seedlings of 49 unique genotypes were mechanically space-transplanted into a randomized complete block design with 10 rows (*ca.* 200-cm spacing) of 10 plants (*ca.* 180-cm spacing), with outer rows and end hills serving as nonexperimental "border", thus yielding 48 spaced transplants in each of the five blocks. Each genotype had approximately 5 replications with a total of 240 individual plants. Due to poor germination or other environmental causes, 5 plants perished early in the growing season, leaving 235 individual plants.

363 *G. tomentosum* is a wild allotetraploid species native to the Hawaiian 364 Islands, where it is referred to as Ma'o (43). Several *G. tomentosum* traits are 365 desirable for introgression into cultivated cotton species, including its 366 characteristic heat tolerance, resistance to pests and diseases such as 367 fleahoppers, tarnished plant bug, bollworm, and boll rot (44), thrips and 368 jassids (45), and for desirable agronomic traits including fiber quality, length, 369 and fineness (46, 47). Whole-genome sequencing and comparison revealed 370 higher genetic similarity between G. hirsutum and G. tomentosum versus 371 other wild allotetraploid relatives, such as G. mustelinum and G. darwinii 372 (48).

373 *High-throughput phenotyping of cotton fiber quality trial* 

Fig. 2A - After transplanting the individual plants to the field, UAS flights were conducted two or three times each week totaling to 46 flights across the growing season, of which 14 late-season flights are used to measure senescence. RGB images were captured with a DJI Phantom 4 Pro V2.0 with a 1-inch 20MP CMOS sensor with a mechanical shutter (SZ DJI

379 Technology Co., Ltd., Shenzhen, China). The DJI GS Pro application was used 380 for mission planning, with all missions conducted at 20 m (above ground), 381 90% forward and 80% side overlap, flight speed of 1.0 m/s, and a 2.0 s shutter 382 interval, producing a ground sampling distance of 5 mm/pix. Geotagged 383 images were orthorectified and stitched with Agisoft Metashape Version 384 2.0.2 (Agisoft LLC, St. Petersburg, Russia) and orthomosaics (Fig. 2A) were 385 generated via the program's structure from motion with multi-view stereo 386 (SfM-MVS) workflow. Ground control points (GCPs) were recorded using an 387 Emlid Reach M2 UAV RTK Kit (Emlid Tech Kft., Budapest, Hungary).

388 The procedures used to generate orthomosaics were as follows: 1) RGB 389 images were imported into a Metashape project; 2) photos were aligned using 390 referenced preselection, the key point limit was set to 40,000, the tie point 391 limit was set to 4,000; 3) initial bundle adjustment was performed with the f, 392 cx/cy, k1, k2, k3, p1, and p2 distortion parameters selected; 4) GCPs were 393 imported as a .csv file and were manually tagged in six raw images per GCP; 394 5) all images were unchecked in the reference pane, the GCPs were 395 integrated into the point cloud with the "update" button, and camera 396 alignment was optimized using all available distortion parameters; 6) the 397 dense point cloud and digital elevation map (DEM) were built using default settings: 7) the orthomosaic was constructed using the DEM as the surface. 398

399 Single-plant image extraction

400 Fig. 2B - Using the UAStools R package (49), a shapefile was
401 generated in which a bounding box was placed over each plant. Boxes were

named according to the convention "Genotype ID", where the genotype was 402 403 a four-digit code corresponding to one of the 49 unique genotypes and ID was 404 a three-digit code that delineated individual plants within the same genotype. 405 Of the 46 flights conducted across the growing season, 14 were deemed as 406 capturing the senescence window, occurring on: 24, 28, and 31 July; 4, 8, 11, 407 14, 16, 18, 21, 24, and 28 August; 1 and 5 September 2023. These 408 orthomosaics were loaded into QGIS (50) along with the shapefile and manual 409 corrections to bounding box dimensions and locations were made as 410 necessary.

411 Single-plant temporal image extraction

412 Fig. 2C - The st read function within the sf R package (51) was used 413 to import the shapefile into the R environment. The *fieldCrop grid* function 414 from FIELDimageR.Extra (52) iterated through each bounding box within the 415 shapefile and extracted one image per bounding box in TIF format (it is also 416 possible to extract JPEGs), producing 240 images per flight. As five plants did 417 not survive after being transplanted, effectively 235 images were obtained 418 per flight, leading to a total data set size of 3,290 images (235 images per 419 flight  $\times$  14 flights). Using a loop in R, images were renamed to the format 420 "YYYYMMDD-Genotype ID.tif" such that each image in the data set had a 421 unique file name, where YYYYMMDD is the ISO 8601 date format of the drone 422 flight. Images were separated into folders named according to the genotype 423 and ID such that senescence could be scored rapidly in order of flight date 424 for individual plants within each folder.

425 Senescence scoring

426 Fig. 2D - Within each folder (i.e., for each plant), 14 senescence scores 427 were assigned in succession according to flight date and were recorded in 428 tabular format (3,290 total senescence scores). A scoring system with six 429 categories was implemented, where 0 = 0% senescence (completely green), 430 1 = 20% senescence, 2 = 40% senescence, 3 = 60% senescence, 4 = 80%431 senescence, and 5 = 100% senescence (completely brown). Examples of 432 plants representing each score are shown in **Fig. 3**. Three distinct temporal 433 phenotypes were observed: senescence progressed toward plant death (Fig. 434 **3A**), stay-green occurred and plants maintained vigor until the end of the 435 season (**Fig. 3B**), or plants presented an initial drop in vigor but displayed 436 resiliency and resurgence of vigor (Fig. 3C). The transient display of 437 intermediate senescence stages led to an imbalanced data set, which was 438 dominated primarily by scores of 0, 1, and 5, with notably less examples seen 439 for 2, 3, and 4, respectively (**Table 1**).



Figure 3. Three distinct temporal phenotypes of senescence are shown for individual plants
across 10 of the 14 flights selected for senescence scoring. For "Phenotype A", senescence
progressed until permanent plant death. For "Phenotype B", the stay-green phenomenon was
observed, where the plant maintained intermediate greenness despite heat stress and
drought. Lastly, "Phenotype C" experienced an initial senescent episode but recovered and
displayed late-season vigor.

447

Table 1. Distribution of senescence scores belonging to each category. Since cotton is a
perennial, many plants displayed either stay-green or a resurgence in vigor after an initial
period of senescence, leading to a low number of scores of 3 (60% senescence) and 4 (80%
senescence).

Scor	0	1	2	3	4	5
е						
Coun	1,12	939	448	106	109	469
t	9			190		

452

# 453 Data preparation and partitioning

454 **Fig. 2E** – All TIFs were imported in R via the *readImage* function within 455 the EBImage package (53) and were resized such that all images had uniform 456 dimensions of 163163 pixels corresponding to the approximate average dimensions of each image. The array reshape function from the reticulate R 457 458 package was used to transform each image into a 163016303 array, thereby 459 splitting the red, green, and blue color channels. A loop was constructed to 460 successively run 200 iterations of training and evaluating the CNN. With each 461 iteration, the data set was randomly split into 80% and 20% partitions based 462 on genotype, with 188 genotypes (2,632 images) belonging to the training 463 data set and 47 belonging to the testing data set (658 images). Training and 464 testing image sets were each converted to 4D arrays, where the first 465 dimension corresponded to the number of images (either 2,632 or 658), and 466 the remaining dimensions corresponded to 163016303. Pixel values within 467 each 4D array were normalized to a range of [0, 1] from [0, 255] by dividing
468 by 255. Vectors of ground truth senescence scores for training and testing
469 images were one-hot encoded using the *to\_categorical* function in the keras
470 R package (54).

471 CNN parameters and model training

472 Fig. 2F - A CNN was parameterized using the *keras\_model\_sequential*473 function with the following settings:

474 1) The first 2D convolutional layer had 32 filters of dimensions 303 and
475 used the ReLU activation function. A max-pooling layer follows with a
476 202 pool size.

477 2) The second and third 2D convolutional layers had 64 and 128 filters,
478 respectively, both with 303 kernels that use the ReLU activation
479 function. Each were followed by a max-pooling layer with a 202 pool
480 size.

481 3) After the three convolutional layers, the output of the final max-pooling
482 layer was flattened to transform the 2D feature maps into a 1D vector.

- 483 4) A dense (fully connected) layer with 128 units followed the flattening484 layer and used ReLU activation.
- 485 5) A dropout layer with a rate of 0.5 followed the first dense layer to486 prevent overfitting.
- 487 6) Another dense layer with 6 units served as the output layer, where each488 unit corresponded to one of the six senescence categories. A softmax

489 activation function was used in this layer to obtain probability values490 that sum to 1 for each prediction.

- 491 7) A categorical cross entropy loss function was used to compile the
  492 model. Root-mean-square propagation was used as the optimizer with
  493 a learning rate of 0.0001 and accuracy as the performance metric.
- 494 8) Using the *fit* function from keras, the model was trained for 50 epochs
  495 with a batch size of 32. The *validation\_split* parameter was set to 0.2 to
  496 prevent overfitting. This resulted in the model being trained on 80% of
  497 the initial 80% training partition, or 64% of the original data set,
  498 resulting in approximately 2,106 training images.
- 499 *Model evaluation*

500 **Fig. 2G** - With each iteration of the CNN, the model was evaluated with 501 the unseen set of images via the *evaluate* function in keras. The overall 502 accuracy was calculated by the sum of the diagonal of the confusion matrix divided by the total number of testing images, given by  $\frac{\text{True positives}}{658}$ , with 658 503 504 being the number of validation images. For each iteration, the following 505 metrics were saved: 1) a matrix of the accuracy, validation accuracy, loss, 506 and validation loss for each epoch after subjecting the 20% validation data 507 set to the model; 2) the average validation accuracy and loss across all 508 epochs; 3) the confusion matrix. Both the confusion matrices and the 509 averages of validation accuracy and loss were subsequently averaged across 510 all 200 iterations. The average confusion matrix was used for calculations of precision, recall, and F1 scores. Where TP and FP denote true and false 511

512	positives, respectively, precision is given by $\frac{TP_{Class X}}{TP_{Class X} + FP_{Class X}}$ (correct class X
513	predictions divided by all class X predictions), recall is given by
514	$\frac{TP_{Class X}}{TP_{Class X} + FN_{Class X}}$ (correct class X predictions divided by all class X instances in
515	the data set), and F1 score is the harmonic mean of precision and recall, given
516	by $\frac{2 \times \text{Precision} \times \text{Recall}}{\text{Precision} + \text{Recall}}$ . Precision, recall, and F1 scores were calculated for each
517	senescence category.
518	
519	Declarations
520	Ethics approval and consent to participate
521	Not applicable.
522	
523	Consent for publication
524	Not applicable.
525	
526	Availability of data and materials
527	All of the code used to assess the CNN, calculate evaluation metrics, and
528	generate figures are available at the GitHub repository associated with this
529	manuscript (55): <u>https://github.com/ajdesalvio/cotton-chronology/tree/main</u> .
530	All files necessary to run the script, including the raw images, are available
531	in the repository.
532	
533	Competing Interests
	24

534 The authors declare that they have no competing interests.

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543

#### 544 *Authors' Contributions*

545 Data curation and analysis were performed by AJD. MAA processed and 546 extracted single-plant images. OGR, SMD, and DMS conceptualized and 547 implemented the field experiment. AJD wrote the first draft of the manuscript, 548 and MAA, SCM, OGR, SMD, and DMS each contributed to revising and 549 editing of previous manuscript versions. All authors read and approved the 550 final manuscript.

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# **Figures**



Figure 1



# Figure 2

Phenotype A: Standard senescence progression



Figure 3