

Energy Optimization in Smart Urban Buildings using Bio-inspired Ant Colony Optimization

Fakhri Alam Khan¹, Kifayat Ullah², Atta ur Rahman³ and Sajid Anwar⁴

1. Department of Information & Computer Science, King Fahd University of Petroleum & Minerals, Dhahran, Saudi Arabia. Email: fakhri.khan@kfupm.edu.sa
2. Centre of Excellence in IT, IMSciences, Peshawar, Pakistan. Email: sheikhkifayat248@gmail.com
3. Centre of Excellence in Data Science, IMSciences, Peshawar, Pakistan. Email: attaurrehman@imsciences.edu.pk
4. Centre of Excellence in IT, IMSciences, Peshawar, Pakistan. Email: sajid.anwar@imsciences.edu.pk

Abstract

Instead of planting new electricity generation units, there is a need to design an efficient energy management system to achieve a normalized trend of power consumption. Smart grid has been evolved as a solution, where Demand Response (DR) strategy is used to modify the nature of demand of consumer. In return, utility pay incentives to the consumer. The increasing load demand in residential area and irregular electricity load profile have encouraged us to propose an efficient Home Energy Management System (HEMS) for optimal scheduling of home appliances. In order to meet the electricity demand of the consumers, the energy consumption pattern of a consumer is maintained through scheduling the appliances in day-ahead and real-time bases. In this paper we propose a hybrid algorithm Bacterial foraging Ant colony optimization is proposed (HB-ACO) which contain both BFA and ACO properties. Primary objectives of scheduling is to shift load from On-peak hour to Off-peak hours to reduce electricity cost and peak to average ratio. A comparison of these algorithms is also presented in terms of performance parameters electricity cost, reduction of PAR and user comfort in term of waiting time. The proposed techniques are evaluated using two pricing scheme time of use and critical peak pricing. The HB-ACO shows better performance as compared to ACO and BFA which is evident from the simulation results Moreover the concept of coordination among home appliances is presented for real time scheduling. We consider this is knapsack problem and solve it through Ant colony optimization algorithm.

Keywords: Smart urban home, day-ahead and real-time scheduling, bacterial foraging optimization, ant colony optimization, real time pricing.

I. INTRODUCTION

Non-commercial sector consume a large scale world electricity globally. As population increase day by day thus the demand for electricity will increase. Research shows that major portion of world electricity, about 30%-40% is consumed by residential sector [1]. This is challenge to reduce the consumption of electricity. Existing grid have a lot of challenges like maintenance, reliability, and system loses. Researchers have improve the existing technology of conventional grid, thus to become it smart and dynamic. Smart grid and end user can communicate with each other to improve reliability, efficiency, and cost effectiveness. The main strategy in smart grid is Demand side management (DSM), which maintain grid stable by developing a flexible and diverse strategies. The objective of DSM is to motivate end user to optimally used electricity to reduce stress on the grid utility for continuous power supply. Early load shifting technique is Direct load control (DLC) used in DSM [2], in which utility companies disconnect certain load of consumers at short notice. This system is not frequent and not suitable for building contain a huge amount of appliances with relatively low power consumption. The most frequent technique for a load shifting management in literature is demand response (DR) [3]. In Demand Response strategy utility demand to change power consumption with respect to current price signal i.e. when price is high reduce power consumption and use more power when price is low, it will reduce monetary cost, stress on grid and as well as reduce Peak to average ratio [4]. DSM implementation need, smart meters, and smart appliances. Implementation of SG and DSM is challenging task, because we need a generic system to achieve objectives independently. Different technique and architecture are used to implement DR in smart home, because number of appliance are multiple in smart home, to minimize electricity cost and schedule home appliances in a balance away to reduce stress on the grid. Researchers in,[14]-[25] designed different architecture and algorithms for home energy management systems (HEMSs) using different pricing approaches i.e. CPP, TOU, RTP along with renewable and sustainable energy to reduce electricity cost and peak to average ratio during the day when electricity price is high. HEMS is a system using to monitor usage and flow of electricity. Some nature inspired technique are also used to solve load shifting problem. Nature is a source of inspiration from the past few decades which develop a searching algorithm to solve a complex engineering problem. These heuristic algorithm give near optimal solution to a specific problem. [26]-[34] researchers used different bio-inspired algorithm, these algorithm provide

search space and from that search space one with optimal solution is used for scheduling different home appliances. There are many bio-inspired algorithms used for scheduling home appliances. The Authors designed a home energy management system HEM using two heuristic algorithm Harmony Search Algorithm (HSA) and Bacterial foraging optimization algorithm (BFA) [5]. On the basis of HSA and BFA authors proposed another hybrid algorithm called Hybrid Bacterial Harmony (HBH) algorithm. Authors proposed a HEMS (Home energy management system) for scheduling different home appliance on day ahead and real time basis, to reduce electricity cost, peak to average ratio, and user discomfort [6]. Authors use Genetic algorithm (GA) and Bacterial foraging optimization algorithm (BFA) and designed a Hybrid Bacterial foraging and Genetic algorithm (HBG) having both qualities. Authors proposed a hybrid GA-PSO (HGPO) algorithms using existing algorithms heuristic Genetic Algorithm (GA), Binary Particle Swarm optimization Algorithm (BPSO), Bacterial foraging optimization (BFO) [7]. Authors proposed an architecture for DSM and evaluate the performance of energy management controller using Genetic algorithm (GA), binary particle swarm optimization (BPSO) and ant colony optimization algorithm (ACO) [8]. Authors proposed a hybrid technique HGWDE technique, based on heuristics algorithms Enhanced differential Evolution (EDC) and Gray Wolf optimization (GWO) inspired from the hunting and leadership nature of wolves [9]. Real time pricing RTP and critical peak pricing are also used.

In this research work SHEM system is proposed for load shifting to reduce electricity cost and PAR, for scheduling home appliances two heuristic algorithms BFA and ACO are used. BFA provide local search and limitation having global search, for global search we use ACO because it is simple, robust, short computation time. After analyzing the performance of existing algorithms, it is require to improve search efficiency so on the basis of these algorithms we proposed a hybrid algorithm called Hybrid Bacterial Foraging and Ant Colony Optimization Algorithm HB-ACO. We use the concept of coordination among home appliances, when a user switch-off appliance the remaining time slot will be used to reschedule high priority appliances to reduce the waiting time of appliance in run time, this is a Knapsack problem and to solve problem we used ACO algorithm. Contribution of this research are follows:

1. HB-ACO: In this research we are focus on designing a hybrid optimization technique. We demonstrate the performance of existing technique. After analyzing BFA and ACO, it is observed to design a technique which can further improve search efficiency. This hybrid technique will reduce cost and PAR.

2. Real time scheduling is a binary Knapsack problem, when a user switch-off certain load the remaining time of appliance is allocate to other preference appliance(s) by rescheduling to reduce waiting time of appliance, to solve this knapsack problem we are using ACO algorithm.

II. LITRATURE REVIEW

Energy management is not essential at a grid level, but it is also important to have a energy management system at user level, thus to participate in demand response program (DR) more actively. The Home energy management (HEM) system plays a vital role during optimum use of electricity. SHEM system provide an efficient service to monitor and control electricity storage, generation and consumption. Smart home contain different sensors through which different sensing data is collected from appliances, this data will communicate through HAN,s (Home area network) for real time monitoring and different operational mode are perform from personal laptop or mobile [13]. In this regard different techniques are used.

Authors in [14], proposed smart Home energy management system (SHEMS) which is based on 802.15.4 standard and ZigBee called “ZigBee Sensor Network”. The proposed system divide and assign different home network task to appropriate components. It can sense various physical device and control various home appliances. The authors also proposed a new routing protocol DMPR (*Disjoint Multi Path based Routing*) to improve the performance of ZigBee sensor Network. In this system different sensors, video camera, and actuator are used to gain data from physical objects and humans which is very high cost. It create privacy issues and maintenance of such system is also issue. Authors proposed a three layer architecture for DSM in smart building, Admission Controller (AC) layer communicate with physical devices, Load balancing (LB) middle layer communicate between AC and DRM, and Demand Response Manager (DRM) and Load Forecaster (LF) communicate with grid and LF provide information to DRM about price signal [15]. This system handle different price signal like current peak price (CPP), Time of Use (TOU). In this system change in line capacity and energy price occur when change occur in the whole grid. Disadvantage of this system is that it will create another peak to average ratio when all the load is shift at the same time. Authors proposed a SHEMS to control home appliance, battery storage, distributed generation using approximate dynamic programming (ADR) and temporal difference learning, an approach applied for grid level storage [16]. This system enable user to purchase less electricity from grid thus to reduce electricity cost. Exact price signals i.e. Time of use price signal are used in this system. In this system when uncertain condition occur like weather this will effect PV storage so this will effect whole system, user comfort

is did not consider in this model. Authors proposed a stochastic model for Home energy Management (HEM) by considering uncertainty of availability of EVs (Electric Vehicle) and small scale renewable energy generation to reduce electricity cost with a reasonable user satisfaction [17]. In this model dissatisfaction occur due to discharging of batteries. Researchers in [18], designed a middleware framework called Hydra, it provide communication to smart devices on P2P connection. This framework provide real time data of smart devices collect through smart meter plugs for monitoring and analyzing. Mobile application is work on the top of middleware framework which show that which appliance consume how much energy, the object is recognize in image recognition server, every appliance is object ID and position. So the user is better understanding to develop a strategy to save energy and reduce cost. This system is highly cost and contain security and management issues. Authors, propose mixed-integer quadratic programming model predictive control (MPC) which have both thermal and electrical key qualities, proposed model optimally manage PEV, Thermal storage, battery storage [19]. Unsupervised occupancy prediction is used decrease cost due to user thermal comfort constraints. There is no coordination between renewable and sustainable energy. Authors in [20], design hardware for SHEMS with communication and sensing capability, and machine learning algorithm to detect human activities in a smart home to reduce electricity cost . This system will send consumer the weather information so the user can better manage their home appliances. However User comfort is ignored in this model. Authors proposed a human-centric model for the management of energy in a smart home at butler level [21]. The model sensing data from physical and cyber space to find the pattern of power usage, and know the behaviors of human beings. Authors proposed a multi-agent model for smart home energy management for efficient use of energy, it communicate with energy source and smart devices in the smart home [22]. Smart appliances are the multiple agent and optimization algorithm is used for decision making of agent. Authors proposed an architecture and control algorithm for efficient manage of renewable energy in building [23]. In this article researchers proposed multi-objective (mixed integer nonlinear Programming) MINLP-based algorithm which schedule different task for the optimal use of energy in smart home [24]. Authors designed architecture and a functional module of smart HEMS. Authors also survey different renewable energy resources like solar mass thermal, and presented home appliances scheduling to reduce EC and to improve energy efficiency at grid level [25].

The aforementioned technique like MINLP, MPC, LP, are very slow convergence rate. And when appliances are large in number it cannot handle this situation. Therefore we are using heuristic algorithms because it give near optimal solution to a problem, when it is difficult to find exact solution of a problem.

Authors, used meta-heuristic algorithms BFA and HAS and proposed HEMS and a hybrid algorithm Hybrid Bacterial Harmony algorithm (HBH) [26]. These algorithm provide search space and optimal one is selected for scheduling to reduce electricity cost and PAR, and user comfort. Dynamic programming are used for the coordination between home appliances. However tradeoff, exist between user comfort and EC. In this article [27], authors designed an architecture for Demand side management and evaluate the performance of home energy management controller using Genetic algorithm GA, binary particle swarm optimization (BPSO), and Ant colony optimization (ACO) algorithm, using time of use (TOU) and inclined block rate (IBR) tariff rate. From result it shows that GA based energy management controller perform well. Authors formulate scheduling problem is a multiple knapsack problem and solve it through ACO. However tradeoff exist between user comfort and EC, when consider user comfort electricity cost will be high or to reduce cost user comfort will be compromise. Researchers proposed an architecture of home Energy management system (EMS) and automated demand response (DR) framework for scheduling smart home appliance [28], using run time price (RTP) and inclined base rate (IBR) a model in which energy price exceed to high level when customer power consumption exceed a threshold, and optimization problem is solve through Genetic algorithm. In this method authors reduce EC and PAR but ignored user comfort. Authors schedule home appliance using bio-inspired GA and BFA [29]. It solve real time scheduling using dynamic programming and using the concept of coordination among home appliances. When large amount of appliances are added then dynamic programming cannot handle. Tradeoff exist between EC and PAR. In this article [30], authors proposed OHEMS which and integrate RES (Renewable Energy Sources) and ESS (Electricity Storage System), and also implement DSM on it. Different meta-heuristic optimization algorithms are applied like GA, binary particle swarm optimization (BPSO), wind driven optimization (WDO), BFA and proposed hybrid GA-PSO (HGPO) to reduce electricity cost and PAR. However user comfort is not consider. Authors implement DSM (demand side Management) [32], using nature inspired algorithms Elephant herding optimization (EHO), adaptive cuckoo search (ACS) algorithms, and proposed an hybrid algorithm which have both EHO and ACS qualities to reduce EC cost, PAR, and user discomfort. Also used the concept of coordination using Game theory and Dynamic programming. in this article [33], authors designed energy management system for smart home using shuffle frog leaping algorithm (SFLA) for the optimum schedule of different resources like PV (photovoltaic) panels, electric plug-in electric vehicle (PEV), batteries, electric heaters (EH) to balance electric and gas consumption and reduce daily electricity cost. Author in [34], proposed efficient home energy management controller (EHEMC), using heuristic GA, wind driven optimization (WDO), harmony search algorithm (HAS) and proposed a hybrid Genetic harmony search algorithm (GHSA) for single home and multiple home to reduce cost and waiting time of

appliances, using price tariff RTP, and CPP. Authors, in [35] proposed HEM scheme to reduce cost, PAR, and user discomfort using heuristic GA, Cuckoo search optimization algorithm (CSOA), and Crow search algorithm (CSA). To improve performance of smart home authors, take electric storage system (ESS). Real time price (RTP), critical peak pricing (CPP) are taken to measure the performance of parameters.

It is observe from literature generally researchers have focus on various conflicting multi-objective problems. Some researchers focus on reducing cost and PAR but user comfort is compromised in in the form of high waiting time. Some of the existing literature focus on reducing cost and PAR along with increasing user comfort. A list of literature review is shown in Table 1.

Table 1. Literature Review

| Year/Reference | Name | Algorithms/technique used | Key Points | Short Comings |
|----------------|---|--|--|---|
| 2010[11] | (SHEMS) based on Zig-Bee Sensor Network | Disjoint Multi Path Based Routing Protocol (DMPR) | Manage Energy at smart home, real time information of energy usage. | There is no such threshold and parameters to control home appliances. Highly cost |
| 2012[12] | Architecture for Demand side load Management System (DSM) | Appliance Scheduling and Control Algorithm | Integration of renewable energy and Load management at grid, on the basis of Real time Pricing | It will create another PAR (Peak to average Ratio) by shifting load of all consumer at the same time. |
| 2018[13] | SHEMS | Approximate Dynamic programming and temporal difference learning | Reduce cost, | User comfort is not consider |
| 2013[25] | Architecture for Smart Home Energy Management (HEMS) | Genetic Algorithm | Reduce EC and PAR. | Focus on reducing PAR (Peak to Average Ratio) and EC (Electricity Cost) but ignored user comfort |

| | | | | |
|----------|---|--|---|--|
| 2014[21] | HAEMS | MO-MINLP (Multi-object Mixed integer nonlinear programming) | Energy Saving, reduce EC with user satisfaction. | Did not consider PAR |
| 2016[22] | HEMS | Functional module for scheduling | Reduce EC, Energy efficiently at power utility | Model is not suitable for Cold region, no coordination among renewable and sustainable energy |
| 2017[27] | OHEMS | GA, WDO, BFO, Hybrid GA-PSO (HGPO) | Reduce EC and PAR | Coordination among renewable and sustainable energy is not addressed |
| 2018[16] | MPC (A Model Prediction Controller) | MIQP (Mixed Integer Quadratic Programming) | Reduce EC, User Comfort specially Thermal comfort | PAR is not consider |
| 2018[29] | DSM (Demand side management) | EHO, ACS, HEAC coordinating with Game theory (GA) and Dynamic programming (DP) | Reduce EC, reduce PAR, Maximize User Comfort | Tradeoff exist cannot achieve best values for EC, PAR, and user comfort at the same time |
| 2018[23] | HEMS | BFA,HSA, HBH | Reduce EC, PAR and increase User comfort | Tradeoff exist between electricity Cost and User Comfort, no solution for Big Data created by multiple homes |
| 2018[26] | HEMS | HBG, GA, BFA using Dynamic programming | Reduce EC, PAR, and maximize user comfort | Tradeoff exist between EC and user comfort |

III. PROBLEM STATEMENT

Irregular usage of electricity increase load on utility, thus extra an generation of electricity require at some specific hours to overcome electricity demand, this will increase PAR and electricity cost at peak hours. To educate consumers to optimally use electricity DSM is used for optimal use of energy we need an efficient algorithm for HEMS to shift load from On-peak hours to Off-peak hours in a balance

way. Researchers have used many system is to minimize cost, PAR, and increase user comfort, but trade-off always exist between cost and PAR and cost and comfort, thus researchers did not consider all the targets at the same time. Researchers in [15] [16], [21], did not consider PAR which will create a burden on utility. While, [21], [25], did focus on reducing PAR but ignore EC and user comfort.

If sudden change in electricity usage occur this is an issue, author in [29], reschedule home appliances on the basis power in which high priority appliances are ON according to the user requirement at real time and the running appliance is schedule to next scheduled appliance during the next hour, but it increase on end hour because of static input. Thus the concept of coordination is used for dynamic scheduling [30] designed a system provide consumer to reschedule appliance at real time with coordination with energy management unit. Thus rescheduling operation need flexible system neither it create peak nor effect cost and comfort.

IV. PROPOSED SYSTEM MODEL

To efficiently utilize energy HEMS is designed in this section. We assume a smart home have smart appliances which communicate with the energy management system. Monitoring unit which communicate with utility for price signal, it monitor user power demand. Management unit which schedule home appliance and control unit which decide to which appliance should be ON or OFF according to assign working hour. HEMS work efficiently depend upon fair coordination between user and system. Run time scheduling is the responsibility of management unit, in which one appliance is turn OFF and other appliance is reschedule is particular space time.

The overview of system model is proposed in Fig. 1. It demonstrated that power flow and service is flow between service provider and end user. Smart meter deployed in each smart home have two way communication system, communicate between consumer and utility by sending power consumption of user to grid and grid response to sending electric signal price. The proposed optimization technique for HEMS schedule smart home load on day-ahead and real time basis using met-heuristic algorithm. The main objective is of our proposed model is to reduce electricity cost and PAR. And for user comfort real time scheduling is used, real time scheduling is a Knapsack problem and solved through ACO algorithm.

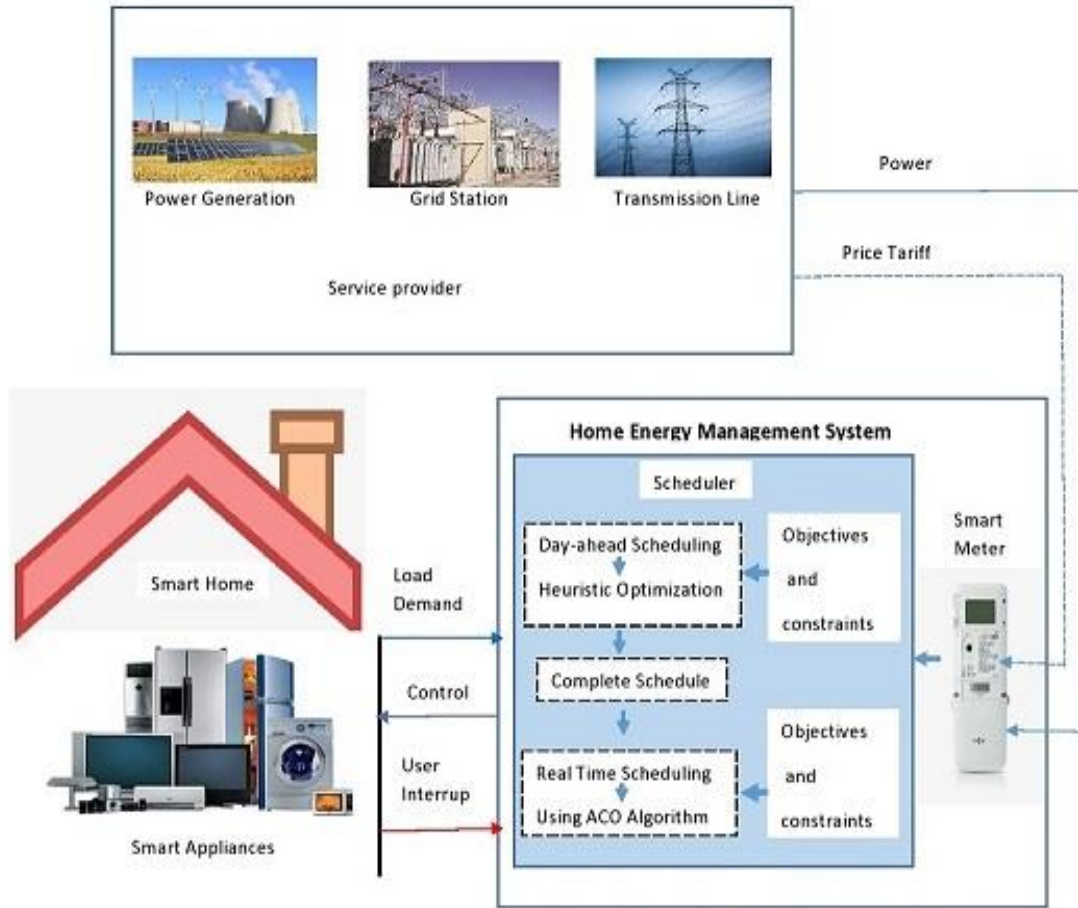


Fig. 1 System Model and information flow between service provider and user

A. Problem formulation

The proposed HEMS shifts the load on a day-ahead basis. Considering the scheduling techniques, we focus on achieving multiple objectives. The day-ahead objective includes the minimization of the electricity cost, PAR and minimizing the distance between the objective load curve and the actual energy consumption pattern. The day-ahead model for home load management is given by the following:

1) Load Shifting:

To schedule home appliances in a balance way neither it create PAR nor it compromise user comfort the proposed algorithms schedule home appliance optimally. Power consumption of appliances during a particular hour is given in equation 1.

\mathcal{K}_l electric power load per hour, represent average load of ON appliance during a particular time.

$$\mathcal{K}_l = \sum_{a=1}^D \text{App}_{p_{rate}^a}^a \rho \quad (1)$$

$\rho = 1, 0$ represent ON and OFF status of appliance during a particular hour and D represent number of appliances.

(2) Electricity Cost Minimization:

Second objective is cost minimization which can be mathematically represented as follow:

$$M_1 = \min(\mathcal{K}_{cost}^{total}) \quad (2)$$

$\mathcal{K}_{cost}^{total}$ total electricity cost of all appliances for a single day.

where per hour cost is calculated using equation 3.

$$\mathcal{K}_{cost}^{hour} = \sum_{a=1}^D (E_{price}^{hour} * \text{App}_{p_{rate}}^a * \rho) \quad (3)$$

$\text{App}_{p_{rate}}^a$ represent power consumption of each appliance is given in table 2.

(3) PAR reduction:

To maintain grid stable it is necessary to reduce PAR. The reduction of PAR is one the objective of research, it can be mathematically represented as:

$$M_2 = \min(PAR) \quad (4)$$

which is accomplished by equation 1. Formally, the PAR can be written as:

$$PAR = \frac{\max(\mathcal{K}_{load}^N)^2}{(\text{avg}(\mathcal{K}_{load}^N))^2} \quad (5)$$

where \mathcal{K}_{load}^N is equal to $\{\mathcal{K}_{load}^1, \mathcal{K}_{load}^2, \dots, \mathcal{K}_{load}^{24}\}$ is a per hour electricity load calculated using equation 1.

(4) User Comfort

In some situation user want to turn off an appliance and reschedule other appliance in this particular time to reduce waiting time of that appliance. This will maximize user comfort, which can be written mathematically as follows:

$$M_3 = \max(\text{Comfort}) \quad (6)$$

When interrupt T occur to switch Off appliance and request for real time scheduling from list of reschedule appliances App_{list}^r . Mathematically represented as follows:

$$\text{App}^r = \begin{cases} 1 & \text{if } T \\ 0, & \text{otherwise} \end{cases} \quad (7)$$

App_{wait}^d Appliance waiting time and comfort have inverse relation with each other.

Waiting time of appliance d is calculated equation 8.

$$\text{App}_{wait}^d = \min |\text{App}_{demandh}^d - \text{App}_{scheduleh}^d| \quad (8)$$

B. Proposed algorithm

In this research we follow the concept of hybridization to solve scheduling problem of smart building. We proposed a model called hybrid Bacterial foraging and Ant Colony optimization algorithm (HB-ACO). The purpose of our proposed model is load shifting to reduce cost and electricity, moreover we are using concept of coordination among appliances and consider as knapsack problem and solve it through ACO algorithm. The proposed model have two algorithms discuss below:

(1) Day-ahead Scheduling:

In this research we follow the concept of hybridization to solve scheduling problem of smart building. We proposed a model called hybrid Bacterial foraging and Ant Colony optimization algorithm (HB-ACO). Bacterial foraging optimization algorithm have three

steep chemotactic, reproduction and elimination and dispersal. Chemotactic is the life time of bacteria by taking different steps for searching food, reproduction is when specific group of bacteria have good performance in their life cycle are selected for next generation, in elimination and dispersal bacteria are discarded due to poor performance and new random samples are introduced with

low probability. Following these steps at the end best solution is used for schedule.

To improve efficiency of BFA we are using ACO algorithm together with BFA. BFA selects best population from a group of bacteria having low cost during their searching for food and eliminates bacteria having less nutrient or not favorable environment and disperses other bacteria with less probability with elimination and dispersal. We use pheromone trail of ACO algorithm and select a population through probability having high pheromone level and with less pheromone level are eliminated to select a best population. The proposed hybrid algorithm can replace elimination and dispersal step of BFA through update pheromone and probability selection of ACO.

Algorithm 1: Day-ahead Scheduling

```
1 Initialization (PoP,  $N_p, N_e, N_r, N_c, N_s, C$ )
2 Evaluate the initial PoP using Equation 15
3  $J_{last} \leftarrow J_i$ 
4 for  $l = 1 \rightarrow N_e$ 
5   for  $k = 1 \rightarrow N_r$  do
6     for  $j = 1 \rightarrow N_c$  do
7       for  $i = 1 \rightarrow N_p$  do
8         Tumble the bacteria and find new position  $Q_i[j, k, l]$  for PoP update Fitness  $J_i[j, k, l]$ 
9         for  $s = 1 \rightarrow N_s$ 
10          if  $J_i < J_{last}$  then
11             $J_{last} \leftarrow J_i$ 
12            Move bacteria according to the current position
13          else
14            Tumble bacteria and move in that direction
15          end if
16        end for
17      end for
18    end for
19  Evaluate the population by objective function select the best pattern
20  end for
21 Elimination-dispersal step using ACO
22 Start ACO for maximum iteration
23 End ACO
24  $Sch(l) \leftarrow best$ 
25 end for
```

a) ACO:

Ant search for food at random direction and deposit some chemical called pheromone. Depending on pheromone level and attractiveness function other ant follow route having maximum pheromone because ant have sensing ability. After some time all ants follow the shortest route. Marco Dorigo, [33] present this concept in computer programming to solve complex problem.

The amount of pheromone level deposit by an ant is mathematically represented as follow:

$$\Delta\tau_{i,j}^k = \begin{cases} \frac{1}{L_k} & \text{if } k_{th} \text{ ant travel from edge } i \text{ to } j \\ 0, & \text{otherwise} \end{cases} \quad (9)$$

where $\Delta\tau$ represent amount of pheromone deposit by ant k travelling from edge i to j . In $\frac{1}{L_k}$ L_k represent length of path found by k th ant, the shorter the path the higher pheromone should be deposit by the ant.

pheromone level with out vaporization:

$$\tau_{i,j}^k = \sum_{k=1}^m \Delta\tau_{i,j}^k \quad (10)$$

Pheromone level with vaporization:

$$\tau_{i,j}^k = (1 - \rho)\tau_{i,j} + \sum_{k=1}^m \Delta\tau_{i,j}^k \quad (11)$$

if ρ is equal to 1 it mean all pheromone are evaporate before depositing new pheromone. If ρ is equal to 0 it mean no vaporization.

The probability of ant to select a node is mathematically represented as follow:

$$P_{i,j} = \frac{(\tau_{i,j})^\alpha (\eta_{i,j})^\beta}{\sum((\tau_{i,j})(\eta_{i,j}))} \quad (12)$$

$P_{i,j}$ represent the probability of choosing edge i and j . Where $\eta_{i,j}$ represent quality of edge i and j on the graph. We are interested in shortest path so,

Where

$$\eta_{i,j} = \frac{1}{L_{i,j}} \quad (13)$$

α and β are used to find relative importance between pheromone and distance.

b) BFA:

To represent the social behavior bacterial foraging in a computer program M. Passino, represent an algorithm to solve complex engineering problem [34]. Bacterial foraging optimization is a nature inspired technique to find the optimal solution of a problem When E. Coli bacteria search for food it follow three steps: Chemotaxis, Single bacterium or a group of bacteria swim for food, when it find environment with good nutrients it swim further to gain more nutrients to perform extra activities like reproduction, sleep. Otherwise it will tumble mean change its position.

To represent cell-to-cell attractant effect to the nutrient as follow.

$$J[j, i, k] = J[j, k, l] + J_{cc}\theta^i(j, k, l), P(j, k, l) \quad (14)$$

Where J_{cc} is calculated using Rosenbrock equation given below:

$$J_{cc} = \sum_{d=1}^M (100 \times (\theta(i, d + 1) - (\theta(i, d) - 1)^2)^2 + (\theta(i, d) - 1)^2) \quad (15)$$

When a bacteria change its position this is represented as follow:

$$\theta^i[j, k, l] = \theta^i(j, k, l) + C(i) \frac{\Delta(i)}{\sqrt{\Delta^p \Delta(i)}} \quad (16)$$

Where Δ is a vector having value between [-1 to 1]

reproduction: When a group of bacteria swim for food and perform well in their life time gain more food having less cost function. These bacteria will reproduce next generation in a constant way. Reproduction of i_{th} bacteria having k_{th} chemotactic step and l_{th} reproduction step is represented as follow:

$$J_{health}^i = \sum_{j=1}^{N_c+1} J(i, j, k, l) \quad (17)$$

n and dispersal: Due to unfavorable condition like less nutrients, high temperature. These bacteria are killed are eliminate to some other place and dispersed other bacteria having low probability. Elimination and dispersal destroy chemotaxis progress, but the other hand dispersal may put bacteria in a place having good nutrients.

(c) HB-ACO

In this research we follow the concept of hybridization to solve scheduling problem of smart building. We proposed a algorithm called hybrid Bacterial foraging and Ant Colony optimization algorithm (HB-ACO) explained in algorithm 1. HB-ACO followed the step of BFA as explained in previous section with the difference elimination and dispersal. The proposed algorithm replace elimination and dispersal step of BFA which randomly eliminate bacteria after reproduction survive for next generation, through update pheromone and probability selection.

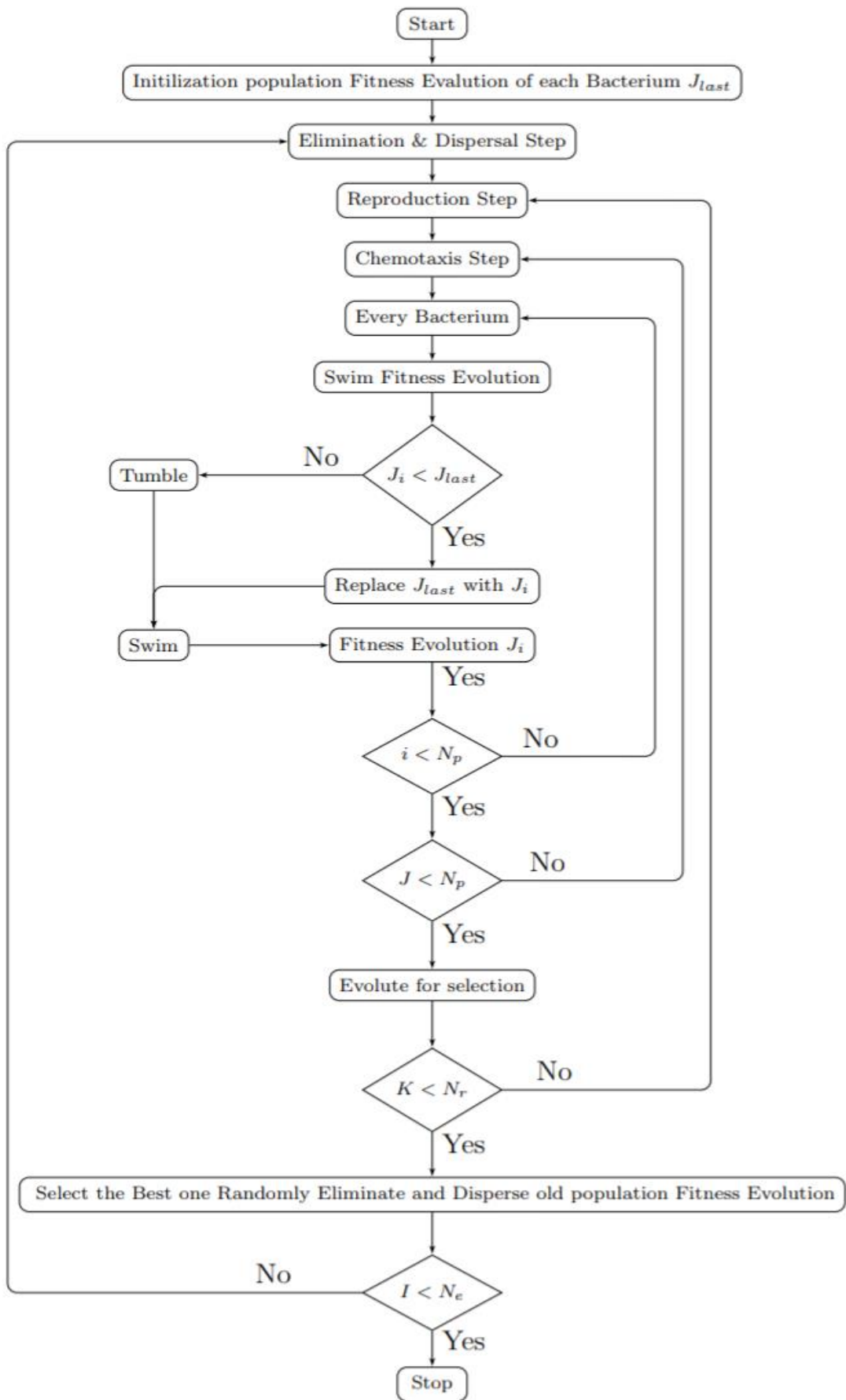


Fig. 2 Flow Chart of BFA

C. Real time Scheduling:

When a user interrupt in emergency situation to use high priority appliance the scheduler switch off such appliance at real time and allocate the reaming time of appliance to high priority appliance. We consider this problem is a knapsack problem and to solve this problem we are using Ant Colony Optimization algorithm. For selection we generate artificial ant which calculate width of object which is operational time of particular appliance and cost of object which is total cost of object in that particular hour. We consider Knapsack capacity is the reaming time of stooped object.

Start real time scheduling by scheduling 24 hours in to On-peak and Off-peak discuss in Table 3 and Table 4.

Also schedule home appliance according to load scheduled those appliance which use less electricity during On-peak hours and schedule appliance with high load in Off-peak hours in a balance way. if Interrupt occur during emergency we consider this is Knapsack problem. Stop appliance and calculate reaming time of running appliance and allocate this time to Knapsack Capacity.

ACO start its working by moving an artificial ant containing a list of appliances APP_{list}^f to reschedule. Where knapsack Capacity is available time interval when an interrupt τ occur. Weight of an item is consider is operations time of a particular appliance and value is cost of appliance during particular hour depend upon operational time and price signal.

Algorithm 2: Real Time Scheduling using Ant Colony Optimization

```
1 Input:(Sch,  $APP_d^{Sch}$ )
2 for Hour= 1  $\rightarrow$  24 do
3 if  $\tau == yes$  then
4 Ask for  $APP_{of}$  and calculate remaining time
5  $Time_{aval}$ 
6 end If
7 Select Appliance from list using equation 12
8 if Knapsack capacity < remaining time
9 select another appliance from list
10 else
11 Update the Sch according to the  $Sol_{set}$  Switch OFF  $APP_{of}$  and switch ON  $APP_{list}^\alpha$ 
    according to  $sol_{set}$ 
12 end for
```

Section V. Result and Discussion

Home appliances are divided into three categories on the basis of power consumption. Interruptible burst load are such appliances which can be turn OFF or ON any time during a day. For example water heater, vacuumed cleaner, water pump, water heater. Non-interruptible appliance which cannot disturb during their execution time. Example of non-intractable load are washing machine, cloth dryer. Base load is the regular load of home which cannot be change or shift during their execution time. Example are refrigerator, lighting, computer, oven, AC. Maximum and minimum power consumption of appliances are taken from [35]

Table 2. Power rate and consumption

| Category | Appliances | Power Rating (KWh) | Daily usage (hours) |
|------------------------|-----------------|--------------------|---------------------|
| Interruptible load | Water heater | 4.8 | 10 |
| | Water Pump | 0.7 | 4 |
| | Dish washer | 1.7 | < 4 |
| | Iron | 1.2 | 2 |
| Base Load | Refrigerator | 0.3 | 20 |
| | AC | 4.5 | 12 |
| | TV | 0.25 | 8 |
| | Oven | 1.6 | 2 |
| Non-interruptible load | Washing Machine | 0.45 | 4 |
| | Cloth dryer | 3.8 | 3 |

Price Tariff:

Time of Use ToU

This is time base pricing scheme where day are divided into different block and price are fixed for different blocks [36]. The load is dived into peak hour, mid peak hours and On- peak hour. Price in On-peak hours are high then other pricing scheme.

Table 3. Summer ToU time

| S. No | Time | ToU |
|-------|---------------------|----------------|
| 1 | 11:00 AM to 4:00 PM | On-peak hours |
| 2 | 7:00 AM to 10:00 AM | MID-peak hours |
| 3 | 1:00 AM to 6:00 PM | Off-peak hours |
| 4 | 5:00 PM to 6:00 PM | MID-peak hours |
| 5 | 7:00 PM to 12:00 PM | Off-peak hours |

Critical Peak Pricing CPP

During summer weekday a critical event may occur when utility observe high market price. Electricity price are high during this critical event. Utility define two variants for CPP first price of peak hour are predefined and second electricity rate depend on demand to reduce load on grid. Theses hours are limited 10 to 15 times during a season. From [37] CPP are taken.

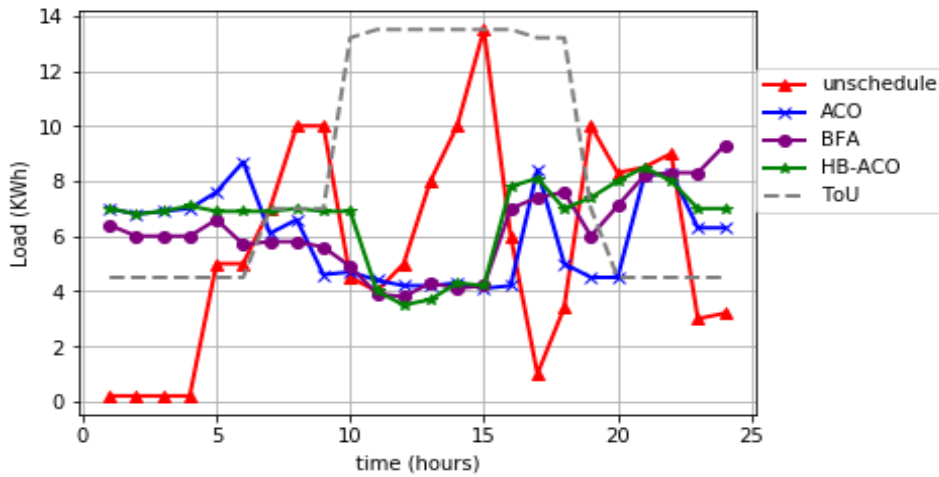
Table 4. Summer CPP time

| S. No | Time | CPP |
|-------|---------------------|----------------|
| 1 | 11:00 AM to 4:00 PM | On-peak hours |
| 2 | 5:00 PM to 12:00 PM | Off-peak hours |
| 3 | 1:00 AM to 10:00AM | On-peak hours |

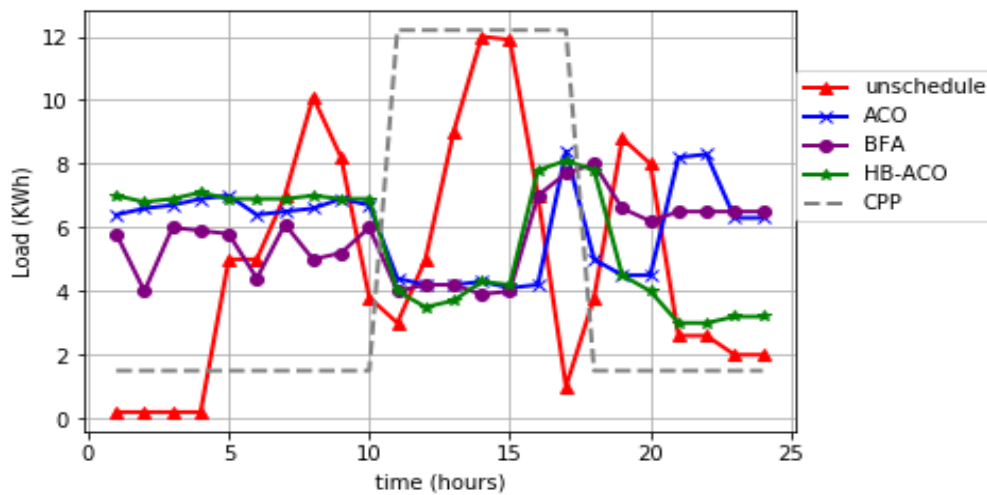
Result before coordination:

In this section proposed solution are analyzed using two different pricing rates: ToU and CPP. Fig. 5 and Fig. 8 show that price for unscheduled load is high for both tariffs. Fig. 5 and fig. 8 show the total unscheduled electricity cost 1800 cents for ToU and 6700 cents for a CPP. The simulation results before coordination are clear from Figs. 3, fig. 4 and 5. From result it is clear that implemented optimization techniques shift load which directly affect electricity cost shows if figure 3. HB-ACO shows relatively low price signals for high peak hours. Graphical representation of per hour cost for an unscheduled and HB-ACO proposed approach along with ACO and BFA are shown in Fig. 5. Due to load shifting from On-peak hours to Off-peak hours cost payed is less compare to unscheduled load.

Performance of HB-ACO for ToU tariff compare with other two approaches is better, HB-ACO has reduced 48% PAR and 17% cost. Cost reduction of BFA is same as HB-ACO but PAR is comparatively higher than HB-ACO. For the CPP, HB-ACO again outperformed and reduced 42% PAR and 40% cost. Though, PAR reduction of ACO is 57%. From simulation it shows that HB-ACO outperform other two approaches on the basis of cost reduction and high PAR with less waiting time as well as low convergence rate.

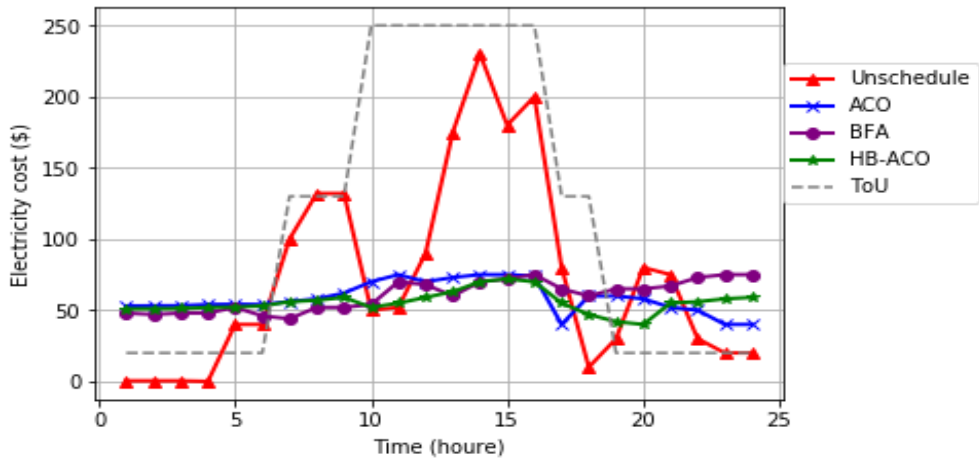


(a) ToU

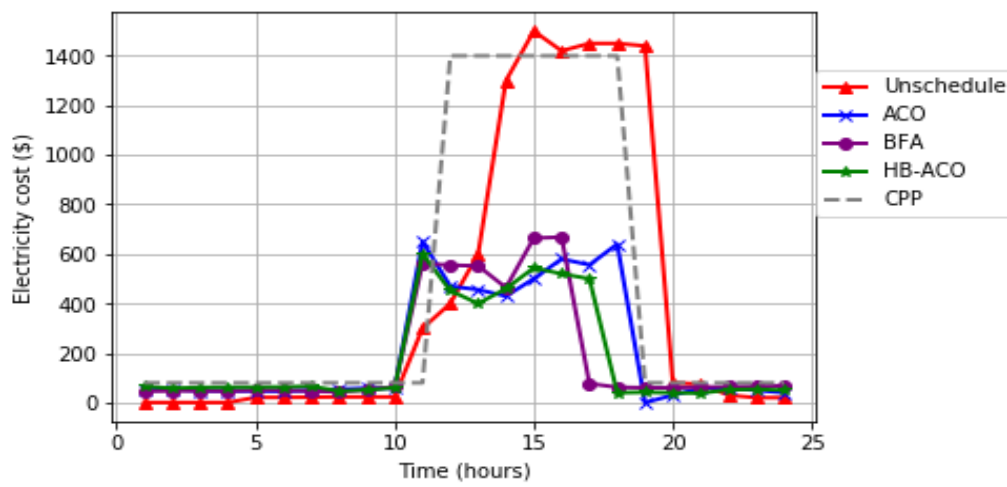


(b) CPP

Fig. 3. Per hour scheduled load before coordination.



(a) ToU



(b) CPP

Fig. 4. Electricity cost before coordination for (a) TOU. (b) CPP.

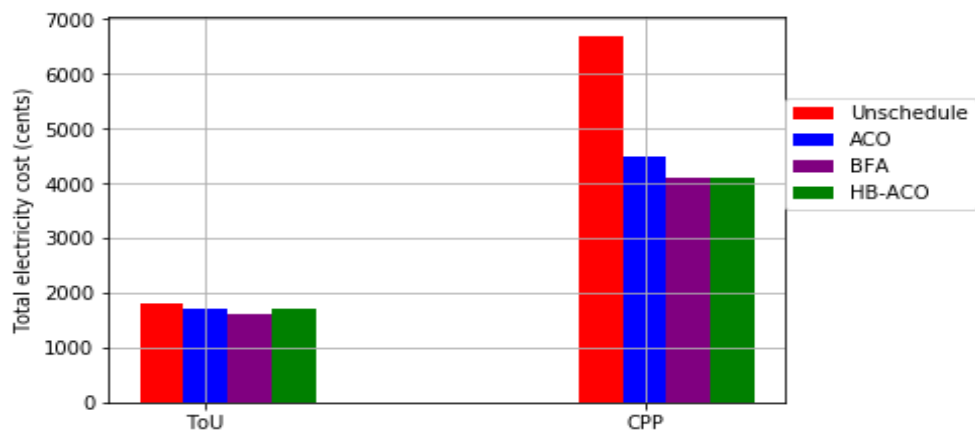
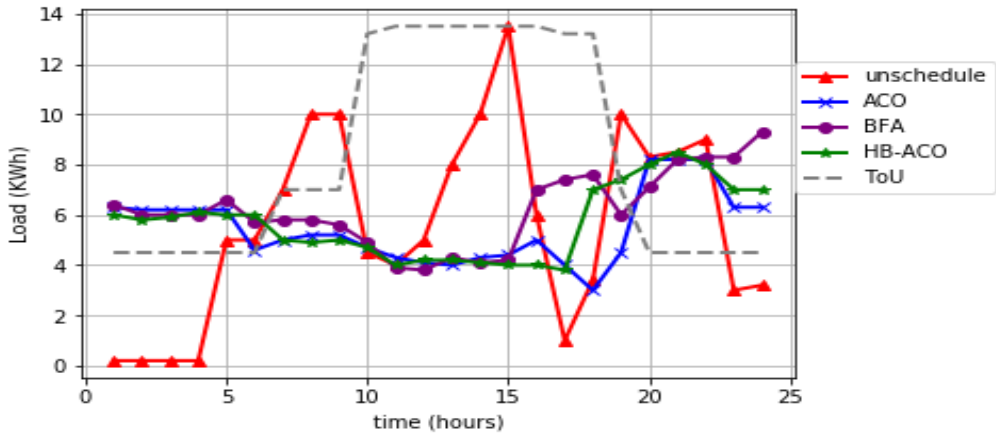


Fig. 5 total electricity before coordination for a day.

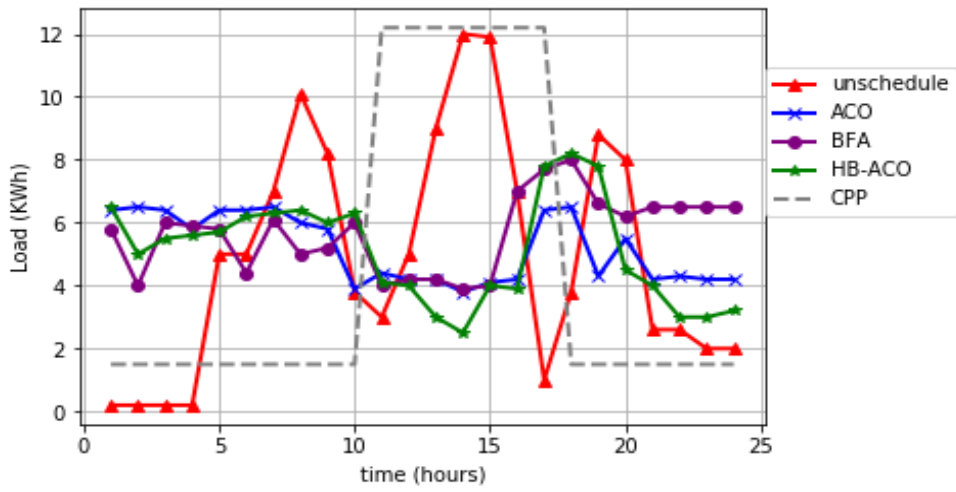
Result After coordination

Heuristic algorithms perform well as compare to evolutionary approaches, where hybrid algorithms outperform both algorithm use in this paper as shown if figure 8. It also shown from fig. 8 that 5% , 8% and 8% less cost than without coordination, for ACO, BFA and HB-ACO. Fig. 8 clearly shown 5% maximum cost is reduced by HB-ACO with 9% increase in PAR and 14% reduce waiting time shown in fig. 12. However, tradeoff occur between PAR and cost during coordination because sometimes it passes heavy load appliance . From result it clearly shows the difference between before and after coordination because of reduction in the load and increase in the peak load.

Simulation result further shows that there is tradeoff between cost, PAR and waiting time of appliances Table 5 shows affect on electricity cost , PAR and waiting time after coordination. Difference between before and after waiting time for base load and interruptible load appliances because list of reschedule appliance belong to this category.

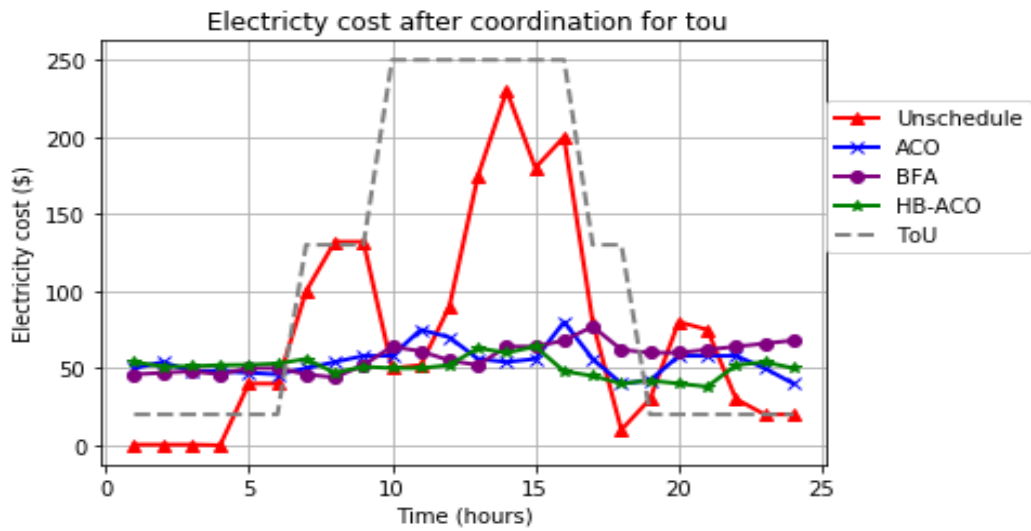


(a) TOU

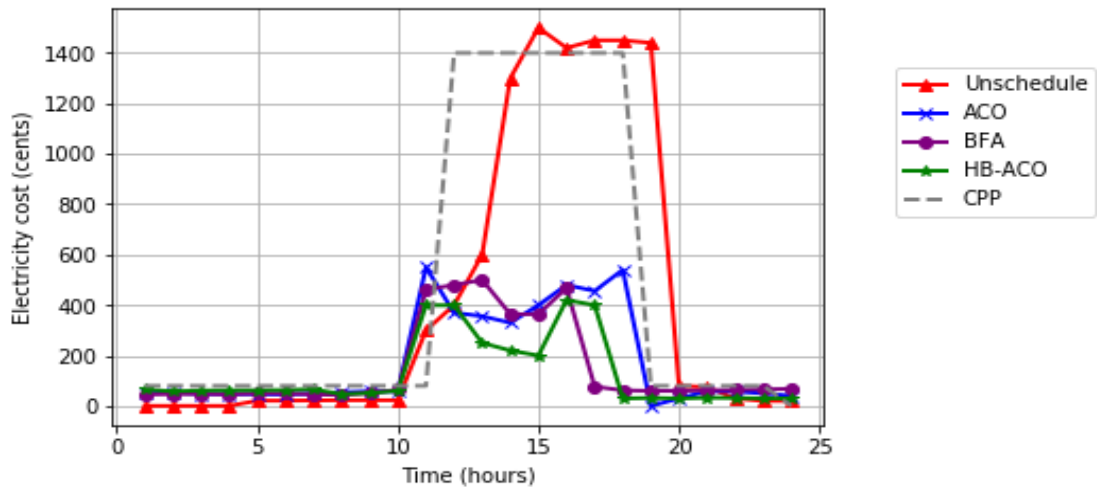


(b) CPP

Fig. 6 Per hour scheduled load after coordination.



(a) ToU



(a) CPP

Fig. 7. Electricity cost of each hour during a day after coordination (a) TOU. (b) CPP

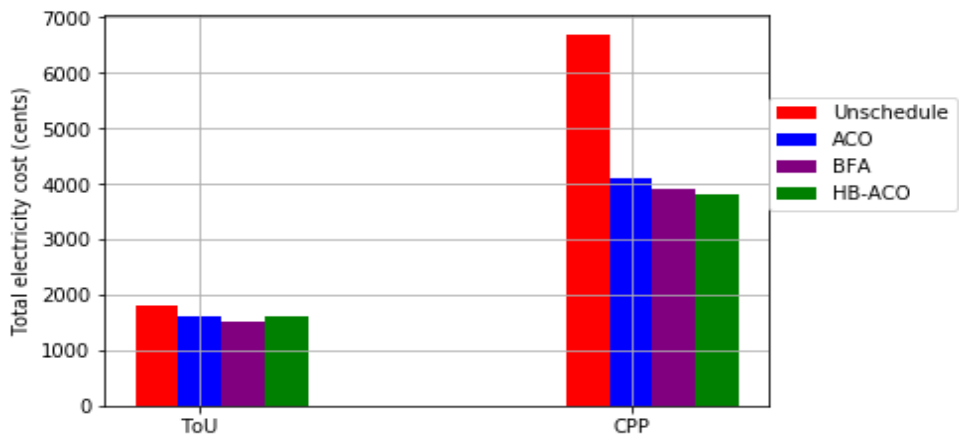


Fig. 8. Impact of coordination on total electricity for a day.

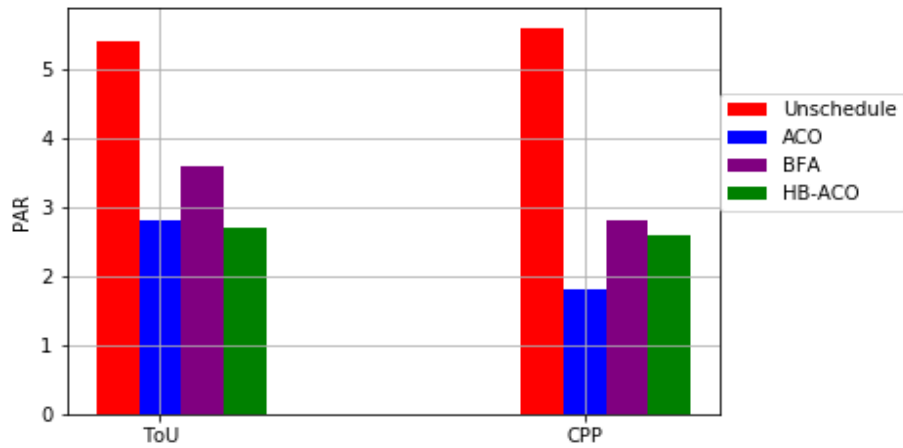


Fig. 9. PAR for proposed HB-ACO and adopted ACO and BFA approaches without coordination

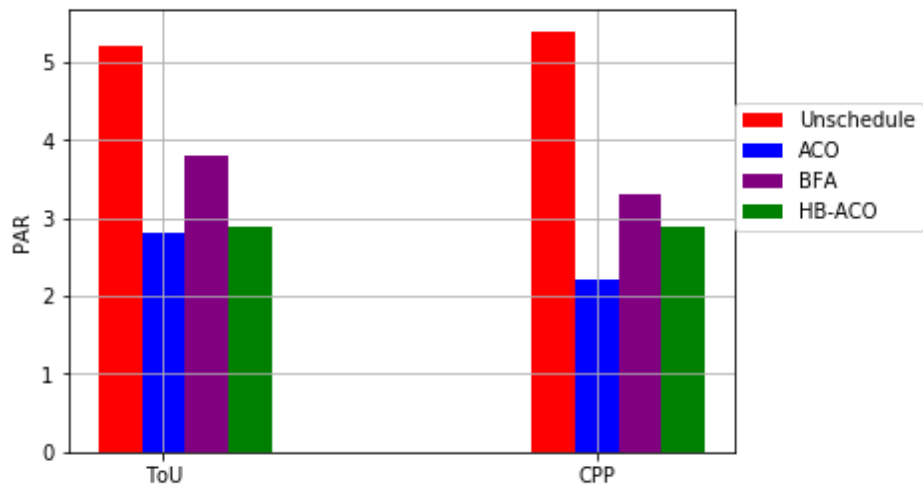
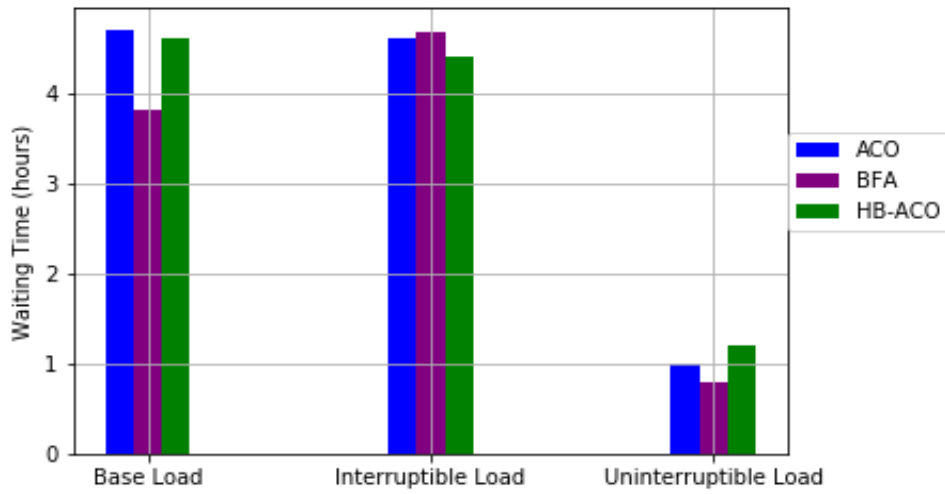
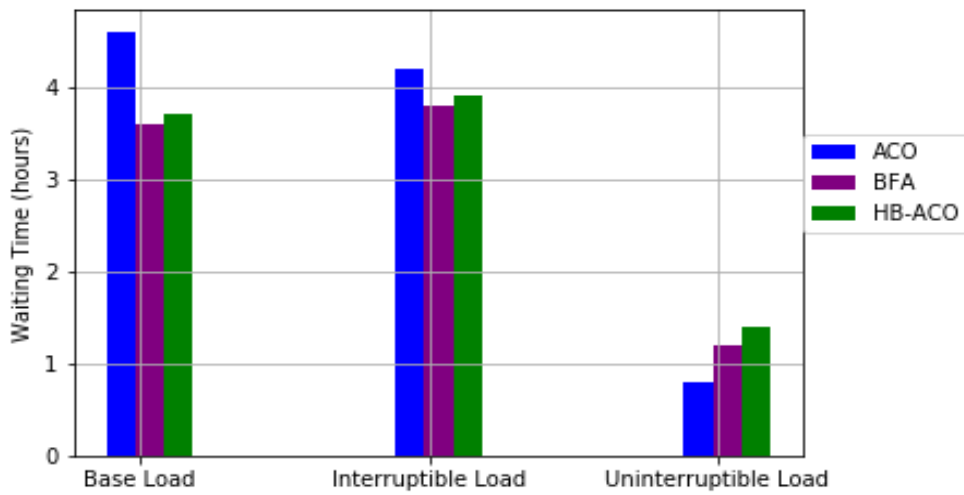


Fig. 10. PAR for proposed HB-ACO and adopted ACO and BFA approaches with coordination

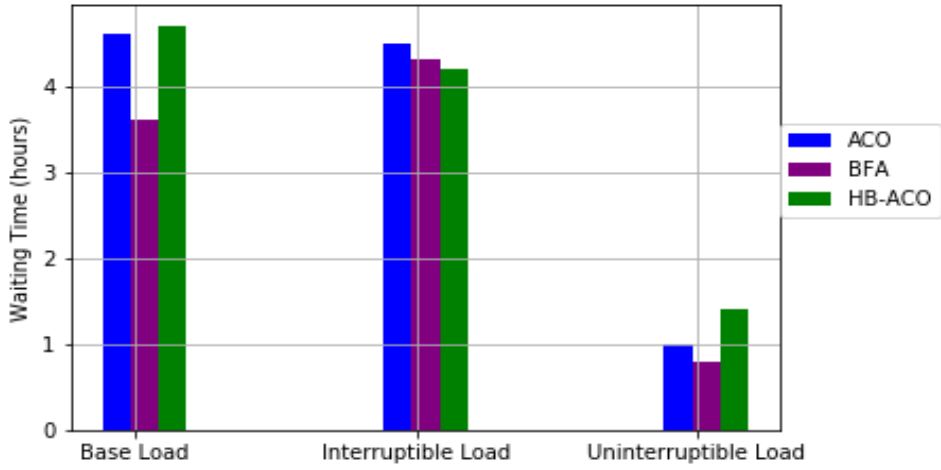


(a) ToU

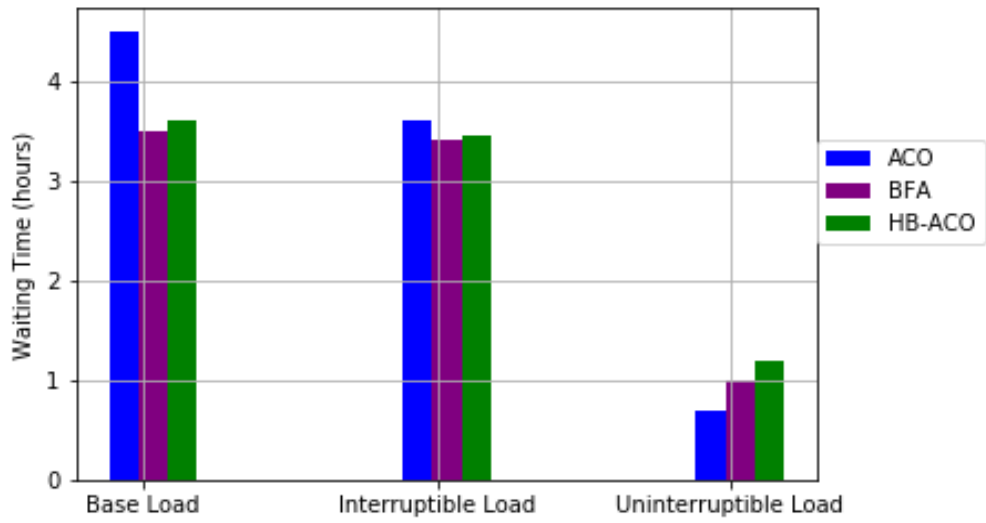


(b) CPP

Fig. 11. Waiting time of appliance before coordination



(a) ToU



(b) CPP

Fig. 12. Waiting time of appliances after coordination

Table 5. After effect of coordination on different parameters

| Tariff | ACO | BFA | HB-ACO |
|---------------------|--------------|--------------|--------------|
| | Cost | | |
| ToU | 4% decrease | 5% decrease | 6% decrease |
| CPP | 7% decrease | 8% decrease | 9% decrease |
| PAR | | | |
| ToU | 2% increase | 4% increase | 8% increase |
| CPP | 15% increase | 12% increase | 12% increase |
| Waiting Time | | | |
| ToU | 12% decrease | 24% decrease | 16% decrease |
| CPP | 16% decrease | 15% decrease | 8% decrease |

Section VI. Conclusion and Future Work

In this research HEMS is propose to shift electricity load in a single home having multiple appliances. A hybrid algorithm HB-ACO is used for scheduling home appliances on day-ahead basis, for real time scheduling when interrupt occur by consumer to turn ON some other home appliance, we consider this is a knapsack problem and solve it through ACO. To evaluate the performance of proposed algorithm two pricing scheme ToU and CPP are used. For efficiency result of proposed algorithm is compare with ACO and BFA. From result it shows that 48% electricity cost and 38% PAR is reduce.

Appliances scheduling is a challenging task because different user have different behavior of consumption electricity. There always exist tradeoff between different parameters like electricity cost, peak to average ratio and user comfort. Opportunity always exist to improve search efficiency to further reduce load of electricity with maximum user comfort.

Section VII. Compliance with Ethical Standards

Disclosure of potential conflicts of interest: No conflicts of interest between the authors.

Research involving Human Participants and/or Animals: No Human participant and/or Animals involved in the research.

Informed consent: N/A

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Authorship contributions: Authors contributed as following:

Fakhri Alam Khan: Idea generation, Proposed Model, Evaluation framework

Kifayat Ulaah: realization of Idea, identification of objective, implementation of the algorithm

Atta ur Rahman: Econometric model proposal, evaluations design, discussions and interpretations of the results

Sajid Anwar: Proposed framework, designing literature review, results discussion

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